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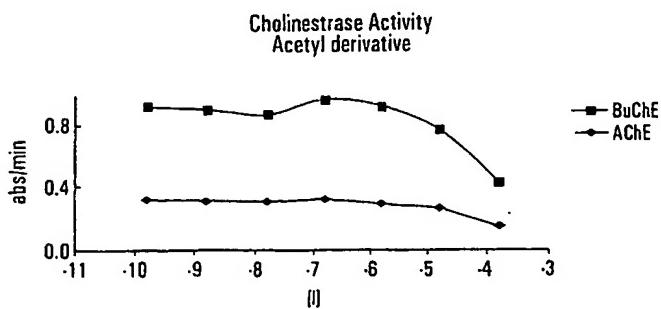
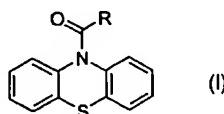
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ity Lane, Fredericton, New Brunswick E3B 7T3 (CA).*[Continued on next page]*(54) Title: NOVEL N-SUBSTITUTED PHENOTIAZINES AND THEIR USE AS MODULATORS OF SERINE HYDROLASE  
ENZYMES

A1

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(57) Abstract: The present invention is directed to phenothiazine compounds of formula (I), wherein R is: (a) a branched or straight chain ( $C_1$ - $C_6$ )alkyl group unsubstituted or substituted by phenyl, halo or  $-NR_1R_2$ , wherein  $R_1$  and  $R_2$  are independently H, a branched or straight chain ( $C_1$ - $C_6$ )alkyl group or  $R_1$  and  $R_2$  together with the nitrogen atom to which they are bonded form a 5- or 6-membered ring; (b) phenyl; or (c)  $-NR_3R_4$ , wherein  $R_3$  and  $R_4$  are independently; (i) H, (ii) a branched or straight chain ( $C_1$ - $C_6$ )alkyl group unsubstituted or substituted by ( $C_1$ - $C_4$ )alkoxy, phenyl or  $-NR_5R_6$ , wherein  $R_5$  and  $R_6$  are independently H, a branched or straight chain ( $C_1$ - $C_4$ )alkyl group, phenothiazine carbonyl or  $R_5$  and  $R_6$  taken together with the nitrogen atom to which they are bonded form a 5- or 6-membered ring; (v) a ( $C_5$ - $C_6$ )cycloalkyl group; or (iv)  $R_3$  and  $R_4$  together with the nitrogen atom to which they are bonded form pyrrolidino, piperidino, morpholino, piperazino or 4-methylpiperazino, or a pharmacologically acceptable salt thereof, for use in the treatment of Alzheimer's disease and other conditions. Compounds of formula (I) modulate the activity of serine hydrolase enzymes, for example, they are cholinesterase inhibitors.



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NOVEL N-SUBSTITUTED PHENOTHIAZINES AND THEIR USE AS  
MODULATORS OF SERINE HYDROLASE ENZYMES

FIELD OF THE INVENTION

5           The present invention is directed to novel  
N-substituted phenothiazines and their use as modulators of  
serine hydrolase enzymes.

BACKGROUND OF THE INVENTION

10           Alzheimer's disease (AD) is a common  
neurodegenerative disorder causing dementia. The incidence  
of AD increases with age (1). The prevalence of dementia  
rises from 3% at age 65 years to 47% after age 85 years  
(1). The population of the elderly continues to rise and  
15 hence incidence of AD is also expected to rise. The  
frequency of dementia doubles every 5 years after the age  
of 60 years. In the United States, the annual cost for AD  
is estimated to be in excess of \$60 billion annually (2,  
3). With the rise in numbers of elderly individuals, the  
20 prevalence of AD is also expected to rise with concomitant  
rise in the cost for AD. Development of drugs to delay the  
progression of AD as well as provide symptomatic treatment  
of this disorder is thus of paramount importance (1, 2, 3).

25           In AD there are three major microscopic features  
that are recognized as the hallmarks of the disease, namely  
neuritic plaques (NP), neurofibrillary tangles (NFT) and  
amyloid angiopathy (AA) (4). In addition, there is

widespread cell loss, particularly of cholinergic neurons in the brain (5). Loss of cholinergic cells leads to reduction in the levels of the neurotransmitter acetylcholine, its synthesizing enzyme choline 5 acetyltransferase, as well as its deactivating enzyme acetylcholinesterase (AChE) (5, 6). Reduction of cholinergic neurotransmission leads to some of the symptoms of AD (6).

Although the level of AChE is reduced in AD, the 10 level of the closely related enzyme butyrylcholinesterase (BuChE 3.1.1.8) is increased in AD brains (7). BuChE is found in all the neuropathological lesions associated with AD, namely, NP, NFT and AA (7). Importantly, BuChE is found 15 in NP in brains of patients with AD. BuChE is found in a higher number of plaques in brains of elderly individuals with AD relative to those without AD (8). BuChE in Alzheimer brains requires 10-100 times the concentration of inhibitors to completely inhibit its esterase activity relative to BuChE in normal brains (9). 20 It has been shown that some BuChE inhibitors not only improve cognition in an animal model but also reduce the production of  $\beta$ -amyloid which is one of the principal constituents of neuritic plaques (10).

From a neuropathology perspective, deposition of 25 amyloid and formation of NP is one of the central mechanisms in the evolution of AD (11, 12). However, amyloid plaques are also found in brains of elderly individuals who do not have dementia (13). It has been suggested that the amyloid plaques in individuals without dementia are "benign" and they become "malignant", causing 30

dementia, when they are transformed into plaques containing degenerated neurites (13). These plaques are called neuritic plaques (NP). The mechanism of transformation from "benign" to "malignant" plaques is as yet unknown. It 5 has been suggested that BuChE may play a major role in this transformation based on the observation that BuChE is found predominately in plaques that contain dystrophic neurites and not in plaques without dystrophic neurites (13).

Taken together these observations suggest that in 10 brains of patients with AD there is a significant alteration of the biochemical properties of BuChE that alters its normal regulatory role in the brain thus contributing to the pathology of AD.

Recently, a brain specific serine protease called 15 trypsin IV has been isolated and it is presumed to be involved in APP processing (24). Amyloid precursor protein (APP) is a transmembrane glycoprotein, which possesses a Kunitz-type serine protease inhibitor domain. The APP may be involved in protease regulation in the brain (14, 15). 20 Abnormally cleaved APP may result in the formation of a 40-42 amino acid residue  $\beta$ -amyloid protein fragment. This fragment may be the main constituent of NP (16).

The proteolytic sites in APP have been studied extensively (18). There are three known proteolytic sites. 25 The first is the  $\alpha$ -secretase site which when cleaved yields a 120 KDa fragment that does not accumulate in amyloid plaques (18). A basic amino acid residue such as arginine at this site is required for cleavage (19). Enzymes that require a basic amino acid residue at the cleavage site of

their substrates are serine peptidases, such as trypsin. The second cleavage site, the  $\gamma$ -secretase site, cleaves at lys-28 (also a tryptic-site), which is the last amino acid of the extracellular APP domain (20). The third cleavage site, the  $\beta$ -secretase site, occurs at the N-terminus (21).  
5 The latter two sites lead to fragments that accumulate in amyloid plaques.

The enzymes that cleave amyloid precursor protein are called "secretases" but they have not been fully identified (22). It has been observed that a basic amino acid residue is required at some of the sites where APP undergoes proteolytic cleavage (19). Two well-known enzymes that cleave peptides at basic amino acid residue sites are trypsin and carboxypeptidase B (23). Both of  
10 these enzymes are mainly recognized as pancreatic enzymes involved in digestion, but trypsin-like serine proteases have been found in the brain and are thought to be involved in APP processing (24, 25, 26, 27). Interestingly, an enzyme with tryptic-like activity is closely associated  
15 with BuChE (28, 29). Recent observations that BuChE considerably enhances tryptic activity under normal circumstances (30, 31) and the observations that BuChE, which is found in high levels in NP, has altered biochemical properties, suggests that there may be a loss  
20 of regulation of tryptic activity, and other serine peptidase activity, associated with BuChE. This loss of regulation may play a role in abnormal proteolytic processing of APP. Recent evidence suggests that  
25 inhibition of BuChE enhances cognitive performance in rats,

and that it promotes non-amyloidogenic processing of amyloid precursor protein (10).

Development of molecules that inhibit the activity of BuChE and/or AChE and simultaneously enhance 5 the activity of serine proteases would not only provide symptomatic treatment of AD but would also lead to discovery of drugs that stop the progression of AD.

#### SUMMARY OF THE INVENTION

10 The present invention provides novel N-substituted phenothiazines, or pharmacologically acceptable salts thereof, that modulate serine hydrolase activity.

In accordance with the present invention, there is provided a compound of the formula (I):



15

wherein R is:

(a) a branched or straight chain ( $\text{C}_1\text{-}\text{C}_6$ ) alkyl group unsubstituted or substituted by phenyl, halo or  $-\text{NR}_1\text{R}_2$ , wherein  $\text{R}_1$  and  $\text{R}_2$  are independently H, a branched or 20 straight chain ( $\text{C}_1\text{-}\text{C}_6$ ) alkyl group or  $\text{R}_1$  and  $\text{R}_2$  together with the nitrogen atom to which they are bonded form a 5- or 6-membered ring;

(b) phenyl; or

(c)  $-\text{NR}_3\text{R}_4$ , wherein  $\text{R}_3$  and  $\text{R}_4$  are independently;

(i) H,

(ii) a branched or straight chain ( $\text{C}_1\text{-}\text{C}_6$ )alkyl

5 group unsubstituted or substituted by ( $\text{C}_1\text{-}\text{C}_4$ )alkoxy, phenyl or  $-\text{NR}_5\text{R}_6$ , wherein  $\text{R}_5$  and  $\text{R}_6$  are independently H, a branched or straight chain ( $\text{C}_1\text{-}\text{C}_4$ )alkyl group, phenothiazine-10-carbonyl or  $\text{R}_5$  and  $\text{R}_6$  taken together with the nitrogen atom to which they are bonded form a 5- or 6-membered ring,

10 (iii) a ( $\text{C}_5\text{-}\text{C}_6$ )cycloalkyl group, or

(iv)  $\text{R}_3$  and  $\text{R}_4$  together with the nitrogen atom to which they are bonded form pyrrolidino, piperidino, morpholino, piperazino or 4-methylpiperazino,

or a pharmacologically acceptable salt thereof.

15 The phenothiazines of the present invention, or pharmacologically acceptable salts thereof, inhibit the activity of cholinesterases, such as BuChE and AChE, and are useful in the treatment of Alzheimer's disease and/or other neurological disorders.

DETAILED DESCRIPTION

Preferably, R is a branched or straight chain (C<sub>1</sub>-C<sub>6</sub>)alkyl group unsubstituted or substituted by phenyl, or R is -NR<sub>3</sub>R<sub>4</sub>. More preferably, R is -NR<sub>3</sub>R<sub>4</sub>, a straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or a straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group substituted by phenyl.

Preferably, R<sub>3</sub> and R<sub>4</sub> are independently H or a branched or straight chain (C<sub>1</sub>-C<sub>6</sub>)alkyl group unsubstituted or substituted by -NR<sub>5</sub>R<sub>6</sub>. More preferably, one of R<sub>3</sub> or R<sub>4</sub> is H and the other is a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group substituted by -NR<sub>5</sub>R<sub>6</sub>.

Preferably, R<sub>5</sub> and R<sub>6</sub> are independently H, a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a saturated 5- or 6-membered ring. More preferably, R<sub>5</sub> and R<sub>6</sub> are independently a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a saturated 5- or 6-membered ring.

Most preferably, R is methyl, ethyl, n-propyl, -CH<sub>2</sub>-phenyl, -(CH<sub>2</sub>)<sub>2</sub>-phenyl, -NH-(CH<sub>2</sub>)<sub>2</sub>-NR<sub>5</sub>R<sub>6</sub> or -NH-CH<sub>2</sub>-C(CH<sub>3</sub>)<sub>2</sub>-CH<sub>2</sub>-R<sub>5</sub>R<sub>6</sub>, wherein R<sub>5</sub> and R<sub>6</sub> are methyl, ethyl or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a pyrrolidino or a piperidinyl ring.

The present invention extends to a pharmaceutical composition that comprises a phenothiazine of formula (I) as defined herein, or a pharmaceutically acceptable salt thereof, together with one or more pharmaceutically

acceptable diluents, carriers or excipients, for modulating serine hydrolase activity in a mammal, preferably a human. The pharmaceutical composition can be used to treat, inhibit or prevent a pathological condition that is 5 manifested in an abnormal concentration of, and/or activity of, a serine hydrolase enzyme. Among those pathological conditions are Alzheimer's disease; tumours such as brain tumours, for example gliomas; glaucoma; cardiac disease; central nervous system disorders; respiratory infections; 10 gastrointestinal diseases; renal diseases; and other dementias such as Lewy body dementia and vascular dementia.

Cholinesterases are not only involved in cholinergic neurotransmission but also in other biological processes such as development of the nervous system (33, 15 34). BuChE is found in high levels during neuroblast proliferation while AChE is found in high levels during neuronal maturation (34). BuChE is found in high levels in certain tumours, particularly primary brain tumour such as gliomas. Because BuChE is involved in the process of 20 cellular proliferation, the phenothiazine compounds of the present invention that are more specific as BuChE inhibitors can be used to slow or stop growth of such brain tumours.

Glaucoma is one the common eye disease leading to 25 blindness. In glaucoma, there is increased intraocular pressure. Intraocular pressure can be decreased by pupillary constriction. The pupil is innervated by both sympathetic (adrenergic) and parasympathetic (cholinergic) nervous systems. The parasympathetic nervous system, and 30 cholinergic enhancing drugs, causes pupillary constriction,

which can reduce intraocular pressure. The phenothiazine compounds of the present invention that inhibit cholinesterases and raise acetylcholine levels could be used for the treatment of ophthalmic diseases such as  
5 glaucoma.

Thus, the active compounds of the invention may be formulated for oral, buccal, transdermal (for example, patch), intranasal, parenteral (for example, intravenous, intramuscular or subcutaneous), ophthalmic or rectal  
10 administration or in a form suitable for administration by inhalation or insufflation.

For oral administration, the pharmaceutical compositions may take the form of, for example, tablets or capsules prepared by conventional means with  
15 pharmaceutically acceptable excipients such as binding agents (for example, pregelatinised maize starch, polyvinylpyrrolidone or hydroxypropyl methylcellulose); filters (for example, lactose, microcrystalline cellulose or calcium phosphate); lubricants (for example, magnesium stearate, talc or silica); disintegrants (for example, potato starch or sodium starch glycollate); or wetting agents (for example, sodium lauryl sulphate). The tablets may be coated by methods well known in the art. Liquid preparations for oral administration may take the form of,  
20 for example, solutions, syrups or suspensions, or they may be presented as a dry product for constitution with water or other suitable vehicle before use. Such liquid preparations may be prepared by conventional means with pharmaceutically acceptable additives such as suspending  
25 agents (for example, sorbitol syrup, methyl cellulose or  
30

hydrogenated edible fats); emulsifying agents (for example, lecithin or acacia); non-aqueous vehicles (for example, almond oil, oily esters or ethyl alcohol); and preservatives (for example, methyl or propyl p-hydroxybenzoates or sorbic acid).

For buccal administration the composition may take the form of tablets or lozenges formulated in conventional manner.

The active compounds of the invention may be 10 formulated for parenteral administration by injection, including using conventional catheterization techniques or infusion. The active compounds of the invention may also be formulated for topical ophthalmic administration.

Formulations for injection or topical ophthalmic 15 administration may be presented in unit dosage form, for example in ampoules, or in multi-dose containers, with an added preservative. The compositions may take such forms as suspensions, solutions or emulsions in oily or aqueous vehicles, and may contain formulating agents such as 20 suspending, stabilizing and/or dispersing agents.

Alternatively, the active ingredient may be in powder form for reconstitution with a suitable vehicle, for example sterile pyrogen-free water, before use.

The active compounds of the invention may also be 25 formulated in rectal compositions such as suppositories or retention enemas, for example containing conventional suppository bases such as cocoa butter or other glycerides.

For intranasal administration or administration by inhalation, the active compounds of the invention are conveniently delivered in the form of a solution or suspension from a pump spray container that is squeezed or 5 pumped by the patient. The compounds of the invention can also be delivered in the form of an aerosol spray presentation from a pressurized container or a nebulizer, with the use of a suitable propellant, for example dichlorodifluoromethane, trichlorofluoromethane, 10 dichlorotetrafluoroethane, carbon dioxide or other suitable gas. In the case of a pressurized aerosol, the dosage unit may be determined by providing a valve to deliver a metered amount. The pressurized container or nebulizer may contain a solution or suspension of the active compound. Capsules 15 and cartridges (made, for example, from gelatin) for use in an inhaler or insufflator may be formulated containing a powder mix of a compound of the invention and a suitable powder base such as lactose or starch.

As used herein, the term "effective amount" means 20 an amount of a compound of the invention that is capable of inhibiting the symptoms of a pathological condition described herein by modulation of serine hydrolase activity. The specific dose of a compound administered according to this invention will be determined by the 25 particular circumstances surrounding the case including, for example, the compound administered, the route of administration, the state of being of the patient, and the severity of the pathological condition. A proposed dose of an active compound of the invention for oral, parenteral, 30 buccal or topical ophthalmic administration to the average

adult human for the treatment of the conditions referred to above is 0.01 to 50 mg/kg of the active ingredient per unit dose which could be administered, for example, 1 to 4 times per day.

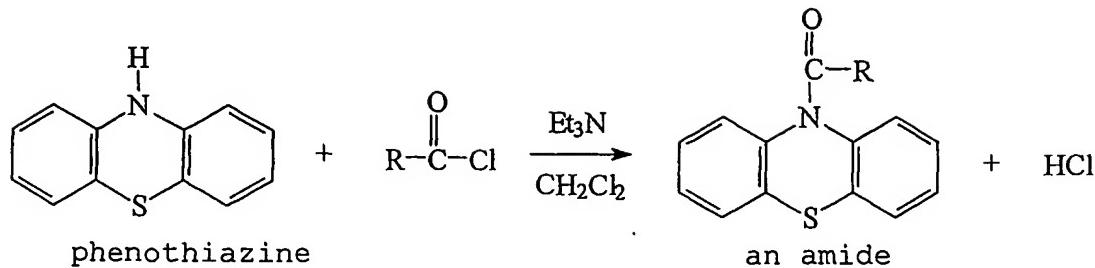
5           Aerosol formulations for treatment of the conditions referred to above in the average adult human are preferably arranged so that each metered dose or "puff" of aerosol contains 20 $\mu$ g to 1000 $\mu$ g of the compound of the invention. The overall daily dose with an aerosol will be  
10 within the range 100 $\mu$ g to 10 mg. Administration may be several times daily, for example 2, 3, 4 or 8 times, giving for example, 1, 2 or 3 doses each time.

The present invention also extends to the use and to methods of using the compounds and compositions described herein for treating the various conditions. The present invention also extends to the use of the compounds described herein for preparing a medicament for treating the various conditions.

The compounds and compositions are generally sold  
20 in the form of commercial packages or kits together with instructions for their use in treating the conditions described herein.

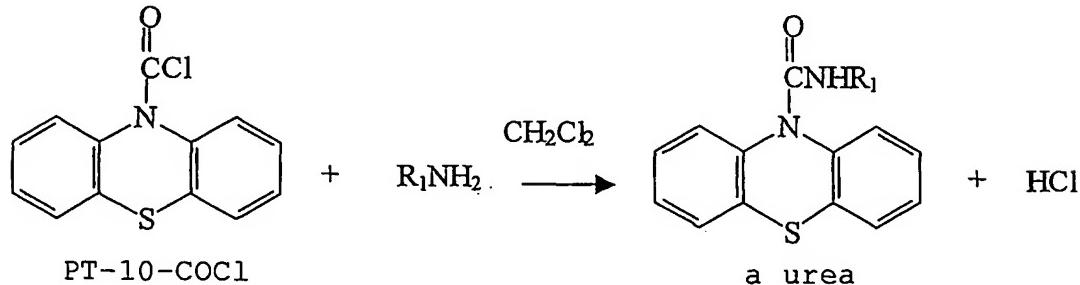
Synthetic approaches:

Scheme 1:



5 Scheme 2:

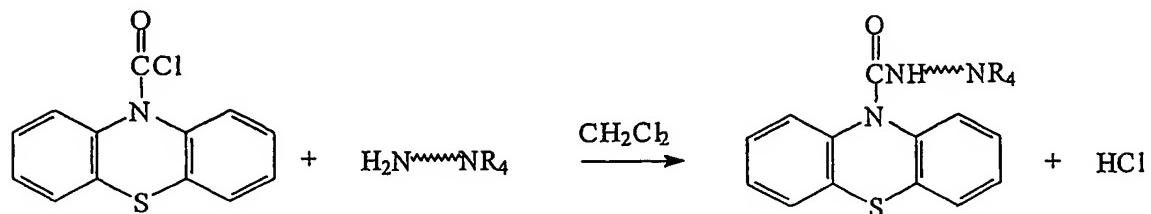
Scheme 2 involves the reaction of phenothiazine-10-carbonyl chloride (PT-10-COCl) with primary and secondary amines. Scheme 2 shows the general reaction with a primary amine to give an N-substituted phenothiazine 10 urea. The reactions are generally fast, producing clean, easily-purified products.



Scheme 3:

15 Compounds resulting from a reaction in accordance with Scheme 3 have a urea-type group ( $-\text{N}-(\text{C}=\text{O})-\text{N}-$ ), and, an amine functionality at the end of the N-substituted chain.

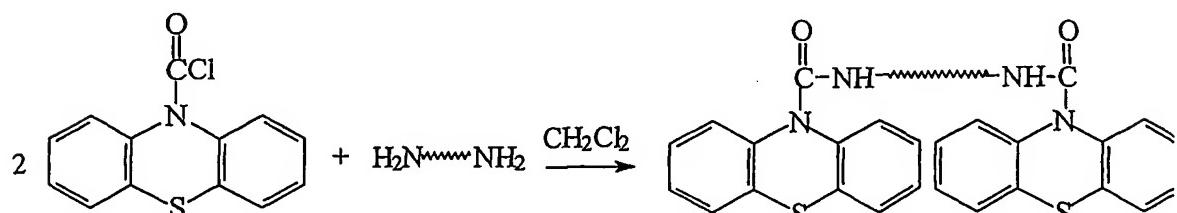
The linkage between the two nitrogen atoms in the chain can be varied using selected diamines.



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Scheme 4:

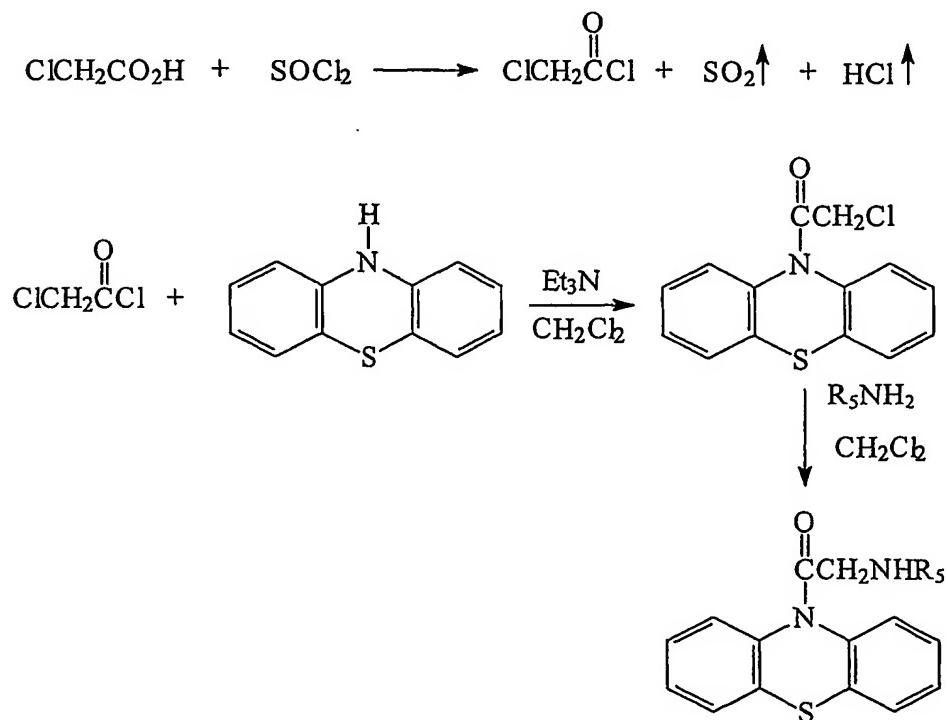
Diamines with two primary amino groups can form both the 1:1 product (as in Scheme 3) and the 2:1 product (as in Scheme 4) with PT-10-COCl. Adding the diamine dropwise to an excess of PT-10-COCl produced the 2:1 product. Reversing the order and adding the PT-10-COCl to an excess of diamine produced the corresponding 1:1 product.



15

## Scheme 5:

Scheme 5 involves the acylation of the secondary amine functionality of phenothiazine with chloroacetyl chloride (prepared from thionyl chloride and chloroacetic acid) to produce N-chloroacetyl phenothiazine. N-chloroacetyl phenothiazine is then reacted with a variety of amines to produce an N-substituted phenothiazine with a methylene group between the amide carbonyl and the amine nitrogen.



Selected compounds:

Table 1 provides a list of selected compounds or salts that are within the scope of the invention.

TABLE 1

Cmpd.	<u>Structure of R</u>
1	-CH <sub>3</sub>
2	-CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>
3	-CH <sub>2</sub> -Phenyl
4	-CH <sub>2</sub> CH <sub>2</sub> -Phenyl
5	-NH-CH <sub>2</sub> CH <sub>2</sub> -N(CH <sub>3</sub> ) <sub>2</sub>
6	-NH-CH <sub>2</sub> CH <sub>2</sub> -N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>
7	-NH-CH <sub>2</sub> CH <sub>2</sub> - <u>N</u> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub>
8	-NH-CH <sub>2</sub> CH <sub>2</sub> - <u>N</u> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub>
9	-NHCH <sub>2</sub> C(CH <sub>3</sub> ) <sub>2</sub> CH <sub>2</sub> N(CH <sub>3</sub> ) <sub>2</sub>
10	-NH-CH <sub>2</sub> CH <sub>2</sub> -NH <sub>2</sub>
11	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -NH <sub>2</sub>
12	-NH-CH <sub>2</sub> C(CH <sub>3</sub> ) <sub>2</sub> CH <sub>2</sub> -NH <sub>2</sub>
13	-NH-CH <sub>2</sub> CH <sub>2</sub> -N <sup>+</sup> H(CH <sub>3</sub> ) <sub>2</sub>
14	-NH-CH <sub>2</sub> CH <sub>2</sub> -N <sup>+</sup> H(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>

TABLE 1 - continued

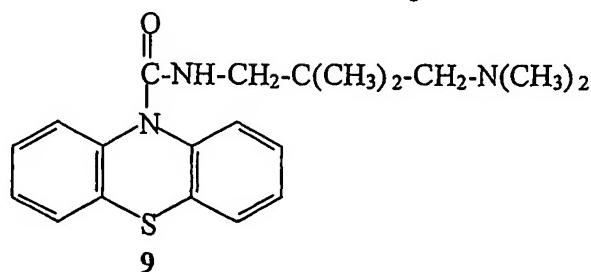
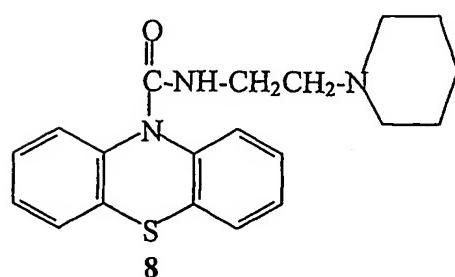
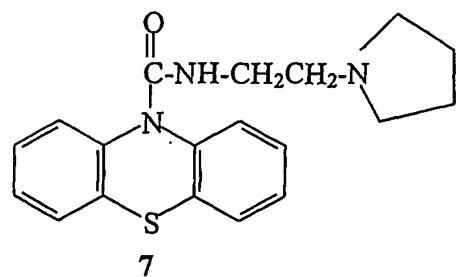
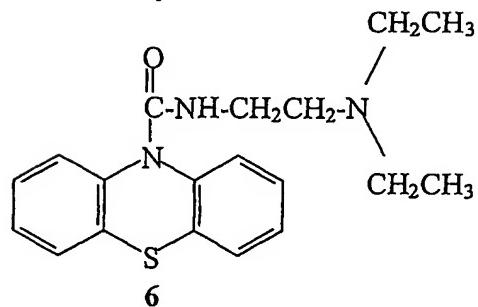
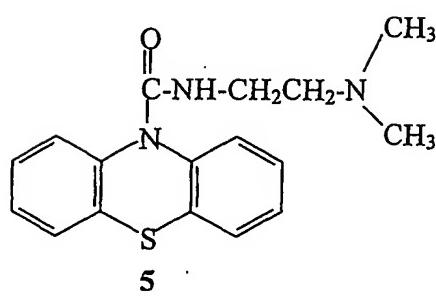
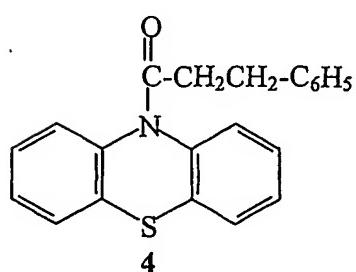
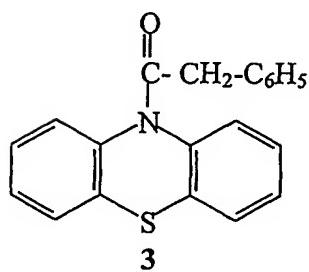
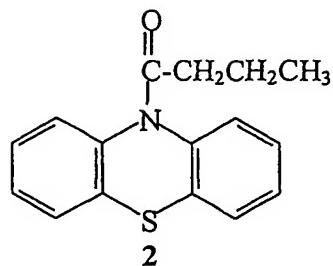
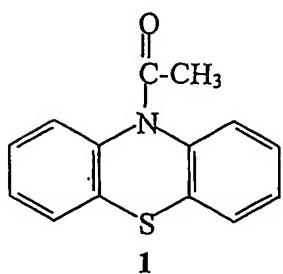
<u>Cmpd.</u>	<u>Structure of R</u>
15	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -N(CH <sub>3</sub> ) <sub>2</sub>
16	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -N <sup>+</sup> H(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>
17	-NH-CH <sub>2</sub> C(CH <sub>3</sub> ) <sub>2</sub> CH <sub>2</sub> -N(CH <sub>3</sub> ) <sub>2</sub>
18	 $\begin{array}{c} \text{CH}_2\text{CH}_2 \\ \diagup \quad \diagdown \\ -\text{N} & & \text{N}^+\text{H}-\text{CH}_3 \\ \diagdown \quad \diagup \\ \text{CH}_2\text{CH}_2 \end{array}$
19	-NH-CH <sub>2</sub> CH <sub>2</sub> -NH-(PT-10-CO) <sup>1</sup>
20	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -NH-(PT-10-CO) <sup>1</sup>
21	-NH-CH <sub>2</sub> C(CH <sub>3</sub> ) <sub>2</sub> CH <sub>2</sub> -NH-(PT-10-CO) <sup>1</sup>
22	-CH <sub>2</sub> Cl
23	-CH <sub>2</sub> -N <sup>+</sup> H <sub>2</sub> -CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>
24	-CH <sub>2</sub> -N <sup>+</sup> H <sub>2</sub> -CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>
25	-CH <sub>2</sub> -N <sup>+</sup> H <sub>2</sub> -CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
26	-CH <sub>2</sub> -Pyrrolidino
27	-CH <sub>2</sub> CH <sub>3</sub>
28	-CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
29	-Phenyl

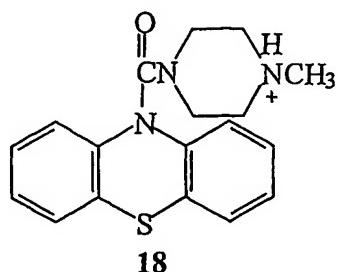
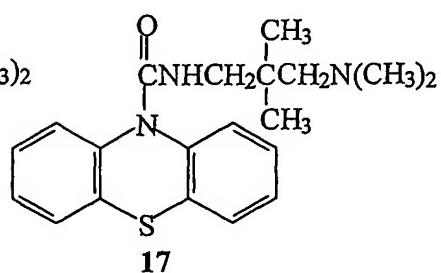
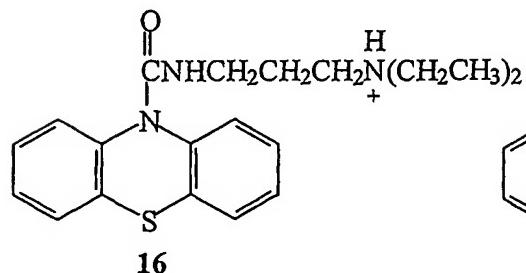
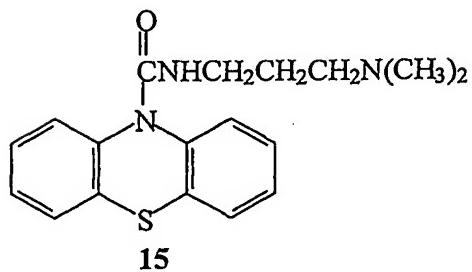
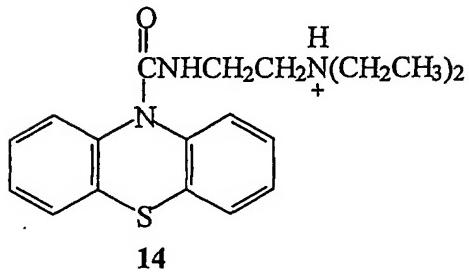
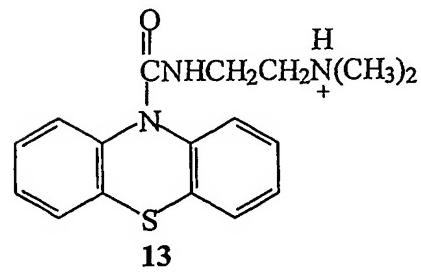
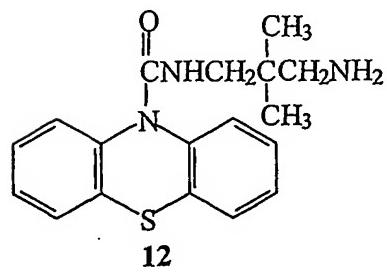
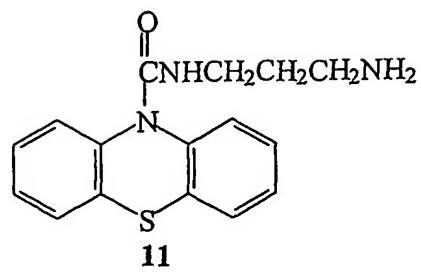
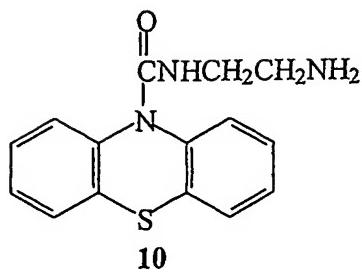
TABLE 1 - continued

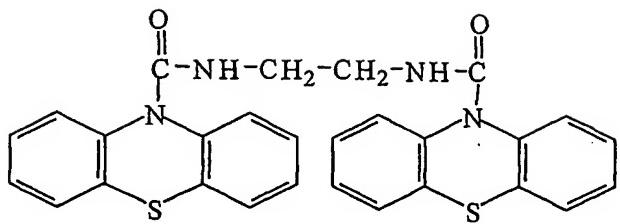
<u>Cmpd.</u>	<u>Structure of R</u>
30	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>
31	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>
32	-NH-CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
33	-NH-CH(CH <sub>3</sub> )(CH <sub>2</sub> CH <sub>3</sub> )
34	-NH-C(CH <sub>3</sub> ) <sub>3</sub>
35	-NH-CH <sub>2</sub> CH <sub>2</sub> OCH <sub>3</sub>
36	-NH-CH <sub>2</sub> C(CH <sub>3</sub> ) <sub>3</sub>
37	-NH-Cyclohexyl
38	-NH-CH <sub>2</sub> -Phenyl
39	-N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>
40	-(1-Pyrrolidino)
41	-(1-Piperidino)
42	-Morpholino
43	-NH-CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> -N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>

<sup>1</sup> PT-10-CO is a phenothiazine-10-carbonyl radical bonded to the rest of the molecule through the carbonyl carbon atom.

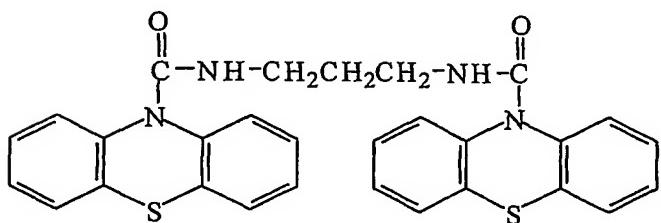
Compounds 1 to 26 have the formulae as shown below:



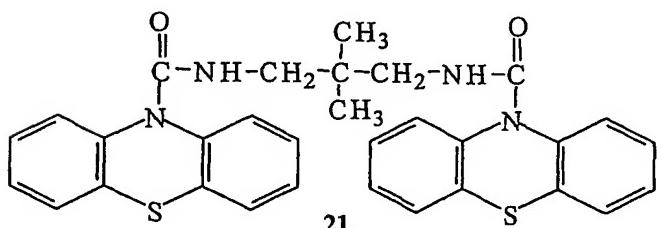


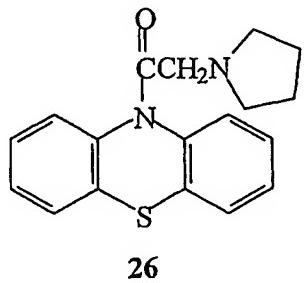
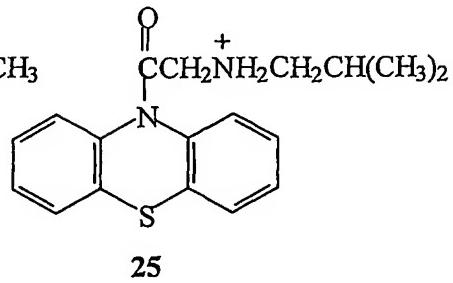
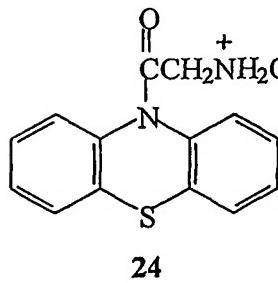
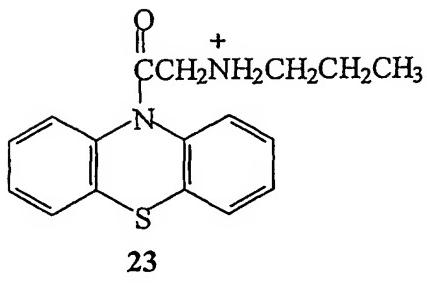
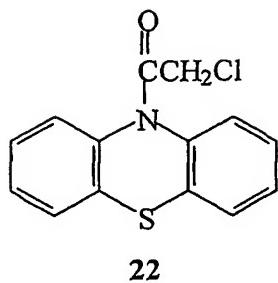


19



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### SYNTHETIC EXAMPLES

#### General Analytical Methods:

5 Melting points were recorded on a Mel-Temp™ II apparatus and are uncorrected. Infrared spectra were recorded as Nujol™ mulls on sodium chloride plates using a Nicolet™ Model 205 FT-IR spectrometer. Peak positions were obtained in "Peak Pick" mode. The nuclear magnetic  
10 resonance (NMR) spectra were determined on a Bruker™ AC250F

spectrometer at The Atlantic Region Magnetic Resonance Centre. This instrument operates at 250 MHz for proton NMR and 62.9 MHz for carbon. Chemical shifts are reported in ppm relative to TMS. Mass spectra were recorded on a CEC 5 21-110B mass spectrometer at Dalhousie University or on a Kratos™ MS50 mass spectrometer at the University of New Brunswick.

Phenothiazine and phenothiazine-10-carbonyl chloride (PT-10-COCl) were purchased from Aldrich™ and 10 Acros™, respectively, and used without further purification. The amines were purified by fractional or simple distillation. All reactions were performed under anhydrous conditions. The reactions were monitored by TLC using plastic-backed silica plates with fluorescent 15 indicator and CH<sub>2</sub>Cl<sub>2</sub> as developing solvent. Phenothiazine and phenothiazine-10-carbonyl chloride both have R<sub>f</sub> values of ~0.61 under these conditions while the products remain close to the origin. Although the compounds were homogeneous as indicated by TLC, some of the <sup>1</sup>H NMR spectra 20 showed small amounts of impurities.

General Synthesis of Amides:

To a stirred solution of 5.1 mmol phenothiazine and 5.1 mmol triethylamine in 20 mL dichloromethane are added dropwise a solution containing 12.5-25 mmol of the 25 desired acyl chloride (R-COCl) in 5 mL dichloromethane. The reaction mixture is then refluxed until all phenothiazine is consumed as judged by silica gel thin layer chromatography using dichloromethane as eluting

solvent. The cooled reaction mixture is then washed successively with 4 x 30 mL of 5% sodium bicarbonate, then 3 x 30 mL 5% hydrochloric acid and finally with water. The organic layer is then dried over magnesium sulphate, 5 filtered, and the solvent evaporated. The crude solid product is then purified by recrystallization from petroleum ether-dichloromethane (2:1), with or without prior column chromatography. Yields range from 9-50%.

General Synthesis of Ureas:

10 To a stirred solution of 3.86 mmol phenothiazine-10-carbonyl chloride in 20 mL dichloromethane is added dropwise a solution containing 9.5-11.5 mmol of the desired amine dissolved in 5 mL dichloromethane. After stirring for one hour at room temperature, thin layer chromatography 15 generally reveals that all of the 10-carbonyl chloride is consumed.

The reaction mixture for Compound 6 produced a precipitate at this point. It was stirred for a further 24 hours at which time the precipitate was removed by 20 filtration, washed with dichloromethane and allowed to air-dry. This solid proved to be the desired Compound 6 in the form of the hydrochloride salt.

Other urea reaction mixtures were subjected to the following work-up procedure. The organic solution was 25 washed successively with 4 x 30 mL portions of 0.1 M sodium hydroxide, once with a 30 mL portion of 0.1 M hydrochloric acid, twice with 30 mL portions of 0.1 M sodium hydroxide,

and finally with water. The solution was dried (magnesium sulphate), filtered and the solvent evaporated.

The crude products for Compounds 7, 8 and 9, for example, did not crystallize at this point and were  
5 converted directly into the hydrochlorides by taking up the reaction mixture in 7-10 mL diethyl ether, followed by the dropwise addition of 5-7 drops of concentrated hydrochloric acid. The precipitated salts were then removed by filtration, washed with ether, and allowed to air-dry.

10 Product yields vary from 11-60%.

Synthesis of Particular Compounds:

Compounds 10-12. PT-10-COCl (1 g, 4 mmol) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) and this solution was slowly added through a dropping funnel (over the period of an hour) to a well-  
15 stirred solution of the diamine (12 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (15 mL). The reaction was essentially complete within 5-10 minutes after addition of the PT-10-COCl solution as monitored by TLC. Any precipitate in the reaction mixture was gravity filtered, characterized by IR and was determined to be  
20 either the 2:1 product or the hydrochloride salt of the diamine or a mixture of both. The CH<sub>2</sub>Cl<sub>2</sub> solution was extracted with 0.1 N NaOH (2 × 30 mL), washed with distilled H<sub>2</sub>O (2 × 30 mL), dried with MgSO<sub>4</sub>, filtered and evaporated to dryness on a rotary evaporator.

25 Compound 10. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.9 mmol) and 1,2-ethanediamine (0.69 g, 12 mmol) gave the compound as an oily residue. On

cooling to -20°C, white crystals formed (0.71g as free base, 54%). Characterization by  $^1\text{H}$  NMR indicated that the compound contained slight impurities. Recrystallization from  $\text{CH}_2\text{Cl}_2$ /pentane failed to remove these impurities.

5           Compound 11. This compound was prepared from PT-10-COCl (0.97 g, 3.7 mmol) and 1,3-propanediamine (0.94 g, 12.7 mmol) according to the procedure above. The  $\text{CH}_2\text{Cl}_2$  solution was extracted with 0.1 N NaOH and then 0.1 N HCl. The aqueous acid layers were combined and made basic by  
10 addition of NaOH pellets (20 pellets were required); the solution turned milky white. The basic solution was extracted with  $\text{CH}_2\text{Cl}_2$  ( $2 \times 30$  mL). The organic layers were combined, dried with  $\text{MgSO}_4$ , gravity filtered and evaporated to dryness on a rotary evaporator to give a gum. Addition  
15 of diethyl ether (10 mL) induced the formation of white crystals. Evaporation of the solution yielded the compound (0.82 g as free base, 72%).

Compound 12. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.8 mmol) and 2,2-dimethyl-1,3-propanediamine (1.17 g, 11.5 mmol) yielded compound 12 as a pale orange, sticky solid. The solid was recrystallized from  $\text{H}_2\text{O}/\text{MeOH}$  and air-dried to give the compound as a white powder (0.21 g as free base, 17 %).

20           Compound 13. N,N-dimethyl-1,2ethanediamine (0.33 g, 3.9 mmol) was added dropwise to PT-10-COCl (1.02 g, 3.9 mmol) in  $\text{CH}_2\text{Cl}_2$  (25 mL) with stirring. A white precipitate had formed after 24 hours of stirring. The precipitate was filtered and rinsed with  $\text{CH}_2\text{Cl}_2$  to give the compound (0.62 g as HCl salt, 47%).

Compounds 14-18. The diamine (9-12 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) was added through a dropping funnel to a solution of PT-10-COCl (1.00 g, 3.9 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (25 mL) with stirring. The reaction was complete after an additional 5-10 minutes 5 of stirring as monitored by TLC. The CH<sub>2</sub>Cl<sub>2</sub> solution was extracted with 0.1 N NaOH (2 × 50 mL), washed with distilled H<sub>2</sub>O, dried with MgSO<sub>4</sub>, filtered and evaporated to dryness on a rotary evaporator. If the product smelled of amine, it was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (25 mL) and extracted with 0.1 N HCl 10 (2 × 30 mL) and then with 0.1 N NaOH (2 × 30 mL). The CH<sub>2</sub>Cl<sub>2</sub> layer was washed with distilled H<sub>2</sub>O, dried with MgSO<sub>4</sub>, filtered and evaporated to dryness on the rotary evaporator. If an oil resulted, it was taken up in diethyl ether (10 mL) and concentrated HCl was added dropwise (5-6 15 drops were required) to precipitate the product as the hydrochloride salt. The solution was gravity filtered and the product dried in a desiccator.

Compound 14. By the procedure above, reaction of PT-10-COCl (1.02 g, 3.9 mmol) with N,N-diethyl-1,2-ethanediamine yielded the compound (0.58 g as HCl salt, 20 45%).

Compound 15. By the procedure above, reaction of PT-10-COCl (1.01 g, 3.9 mmol) with N,N-dimethyl-1,3-propanediamine (0.97 g, 9.5 mmol) yielded the compound as a 25 white powder (0.78 g as free base, 63%).

Compound 16. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.8 mmol) and N,N-diethyl-1,3-propanediamine (1.25 g, 9.6 mmol) yielded a yellow oil.

Conversion of the product to the hydrochloride salt gave the compound as a white powder (0.54 g as HCl salt, 36%).

Compound 17. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.8 mmol) and 5 N,N,2,2-tetramethyl-1,3-propanediamine (1.44 g, 11.1 mmol) gave the compound as a white powder (0.14 g as free base, 10%).

Compound 18. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.8 mmol) and 1-10 methyldiperazine (1.43 g, 14.3 mmol) gave the compound as a white powder (0.36 g as HCl salt, 26%).

Compounds 19-20. The diamine (4 mmol) was added through a dropping funnel to a well-stirred solution of PT-10-COCl (1.00 g, 3.9 mmol). A voluminous white precipitate formed, 15 which was filtered, rinsed with CH<sub>2</sub>Cl<sub>2</sub>, air-dried and characterized.

Compound 19. By the procedure above, reaction between PT-10-COCl (1.03 g, 3.9 mmol) and 1,2-ethanediamine (0.23 g, 3.8 mmol) gave the compound as a white powder 20 (1.00 g, 100%).

Compound 20. By the procedure above, reaction between PT-10-COCl (1.00 g, 3.8 mmol) and 1,3-propanediamine yielded the compound as a white powder (0.93 g, 93%).

25 Compound 21. 2,2-dimethyl-1,3-diaminopropane (0.24 g, 2.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) was added through a dropping funnel to a solution of PT-10-COCl (1.00 g, 3.9 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20

mL) with stirring. After 48 hours of stirring, starting material was still present as indicated by TLC. A white precipitate had formed and was gravity filtered. From the IR spectrum, the precipitate was determined to be the hydrochloride salt of 2,2-dimethyl-1,3-diaminopropane. The reaction mixture was evaporated to dryness on a rotary evaporator to give a white solid (0.62 g). TLC of the solid in CH<sub>2</sub>Cl<sub>2</sub> showed unreacted PT-10-COCl ( $R_f$  = 0.60) and the presumed 2:1 product (at origin). With 5% MeOH/CH<sub>2</sub>Cl<sub>2</sub> as the developing solvent, both spots moved:  $R_f$ =0.82 for PT-10-COCl and  $R_f$ =0.69 for the presumed product. Based on the TLC results, the solid was subjected to column chromatography using 20 g of silica gel; the PT-10-COCl was eluted with CH<sub>2</sub>Cl<sub>2</sub>. On elution with 5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>, the compound was isolated as a pale pink powder (0.29 g, 23%).

Compound 22. (10-chloroacetylphenothiazine, PT-10-COCH<sub>2</sub>Cl). Chloroacetyl chloride was prepared by adding thionyl chloride (32 mL, 0.44 mol) through a dropping funnel to chloroacetic acid (50 g, 0.53 mol). The reaction mixture was refluxed for two hours and then distilled using a fractionation column ( $bp_{obs}$ =105.0-105.5,  $bp_{lit}$ =105). Only 8 mL of chloroacetyl chloride were collected (10.35 g, 0.092 mol). Phenothiazine (10.00 g, 50 mmol) was dissolved in 200 mL CH<sub>2</sub>Cl<sub>2</sub> and triethylamine (5.00 g, 50 mmol) was added to the solution. Chloroacetyl chloride (10.35 g, 92 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) was added through a dropping funnel to the solution. The reaction mixture was refluxed for 24 hours and was monitored by TLC. Disappearance of the PT spot ( $R_f$ =0.61) indicated that the reaction was complete. The product had an  $R_f$  value of 0.32 in CH<sub>2</sub>Cl<sub>2</sub>. The reaction

mixture was extracted with 5% NaHCO<sub>3</sub> (3 x 50 mL), 5% HCl (3 x 50 mL) and then 5% Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> (3 x 50 mL). The CH<sub>2</sub>Cl<sub>2</sub> layer was washed with distilled H<sub>2</sub>O (50 mL), dried with MgSO<sub>4</sub>, gravity filtered and evaporated to dryness to give 8.92 g  
5 (65%) of the crude product. Recrystallization afforded off-white crystals, which appeared to be homogeneous by TLC and NMR.

Compounds 23-26. The amine (5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> was added through a dropping funnel to a solution of PT-10-COCH<sub>2</sub>Cl  
10 (0.50 g, 1.9 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL). The reaction mixture was refluxed and monitored by TLC frequently. When the reaction was complete, as judged by disappearance of the spot at R<sub>f</sub>=0.32, the CH<sub>2</sub>Cl<sub>2</sub> solution was extracted with 0.1 N NaOH (3 x 30 mL), washed with distilled H<sub>2</sub>O (30 mL), dried  
15 with MgSO<sub>4</sub>, gravity filtered and evaporated to dryness on a rotary evaporator. If a solid resulted, it was recrystallized from petroleum ether/CH<sub>2</sub>Cl<sub>2</sub>. If an oil resulted, it was taken up in diethylether (10 mL) and concentrated HCl was added (4-6 drops were required) to  
20 convert the amine product to the HCl salt, which precipitated from the solution. The solution was gravity filtered and the solid was dried in a desiccator.

Compound 23. By the procedure above, reaction between PT-10-COCH<sub>2</sub>Cl (0.39 g, 1.4 mmol) and n-propylamine  
25 (0.21 g, 3.5 mmol) gave the compound as a pink solid (77 mg as HCl salt, 16%). The reaction was complete after refluxing the reaction mixture for 5 hours and stirring for two days.

Compound 24. By the procedure above, reaction of PT-10-COCH<sub>2</sub>Cl (0.51 g, 1.9 mmol) and n-butylamine (0.41 g, 5.6 mmol) gave the compound as a white powder (60 mg as HCl salt, 9%). The reaction was complete after 20 hours of  
5 refluxing.

Compound 25. By the procedure above, reaction between PT-10-COCH<sub>2</sub>Cl (0.48 g, 1.7 mmol) and isobutylamine (0.38 g, 5.22 mmol) gave the compound as a white powder (62 mg as HCl salt, 10%). The reaction was complete after  
10 refluxing the reaction mixture for 4 hours and stirring for 2 days.

Compound 26. This compound was prepared from PT-10-COCH<sub>2</sub>Cl (0.53 g, 1.9 mmol) and pyrrolidine (0.41 g, 5.7 mmol) according to the procedure above. The reaction was  
15 complete after 45 minutes of refluxing and the isolated product was recrystallized from petroleum ether/CH<sub>2</sub>Cl<sub>2</sub> (0.34 g as free base, 60%).

Analytical Data for Compounds 1-9:

Compounds 1-9 were prepared by adapting the  
20 general syntheses of amides and ureas as described above.

Compound 1: 10-Acetyl-10H-phenothiazine

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 2.19 (s, 3H), δ 7.2 (t, J=7.5 Hz; d, J=1.5 Hz, 2H), δ 7.31 (t, J=7.5 Hz; d, J=1.5 Hz, 2H), δ 7.42 (d, J=7.5 Hz; d J=1.5 Hz, 2H), δ 7.49 (d, J=7.5 Hz, 2H)

25 <sup>13</sup>C NMR: 23.9, 127.7, 127.9, 128.1, 128.8, 139.8, 170.2

Infrared (IR) (Nujol<sup>TM</sup>): 1671 cm<sup>-1</sup>, 1321 cm<sup>-1</sup>, 1259 cm<sup>-1</sup>, 766 cm<sup>-1</sup>

Mass Spectrum (MS): M<sup>+</sup> (observed) = 241.0567; calculated for C<sub>14</sub>H<sub>11</sub>NOS = 241.0561

5 Melting Point (MP): 195-200<sup>0</sup>C

Compound 2: 10-butyryl-10H-phenothiazine

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.86 (t, J=7.3 Hz, 3H), δ 1.62 (m, J=7.3 Hz, 2H), 2.43 (t, J=7.3 Hz, 2H), δ 7.22 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.32 (t, J=7.6 Hz; J=1.5 Hz, 2H), δ 7.44 (d, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.50 (d, J=7.9 Hz; d, J=1.2 Hz, 2H)

IR (Nujol<sup>TM</sup>): 1678 cm<sup>-1</sup>, 1250 cm<sup>-1</sup>, 1180 cm<sup>-1</sup>, 765 cm<sup>-1</sup>, 755 cm<sup>-1</sup>

m/e 100%, 199.1; MS: M<sup>+</sup> (observed) = 269.0864; calculated for C<sub>16</sub>H<sub>15</sub>ONS = 269.0874

MP: 82-84<sup>0</sup>C

Compound 3: 10-(phenylacetyl)-10H-phenothiazine

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 3.81 (s, 2 H), δ 7.05 - 7.53 (m, 13H)

IR (Nujol<sup>TM</sup>): 1681 cm<sup>-1</sup>, 1662 cm<sup>-1</sup>, 1342 cm<sup>-1</sup>, 770 cm<sup>-1</sup>, 759 cm<sup>-1</sup>

MS: M<sup>+</sup> (observed) 317.0877; calculated for C<sub>20</sub>H<sub>15</sub>NOS = 317.0874

MP: 150-153.5<sup>0</sup>C

Compound 4: 10-(3-phenylpropanoyl)-10H-phenothiazine

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 2.75 (t, J=7.5 Hz, 2 H), δ 2.94 (t, J= 7.5 Hz, 2H); δ 7.07-7.46 (m, 13H)

<sup>13</sup>C NMR: 31.5, 36.2, 126.2, 126.9, 127.0, 127.3, 128.0,

5 128.4, 128.5, 133.4, 138.8, 140.9, 171.4

IR (Nujol<sup>TM</sup>): 1673 cm<sup>-1</sup>, 1310 cm<sup>-1</sup>, 1249 cm<sup>-1</sup>, 767 cm<sup>-1</sup>, 753 cm<sup>-1</sup>, 693 cm<sup>-1</sup>

MS: M<sup>+</sup> (observed) 331.1015; calculated for C<sub>21</sub>H<sub>17</sub>NOS = 331.1031

10 MP: 102-104<sup>0</sup>C

Compound 5: N-[2-(dimethylamino)ethyl]-10H-phenothiazine-10-carboxamide

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>): δ 2.76 (s, 6H), δ 3.14 (t, J=5.8 Hz, 2H), δ 3.43 (q, J=5.8 Hz, 2H), δ 6.82 (t, J=5.8 Hz, 1 H), δ 7.26 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.37 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.49 (d, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.68 (d, J=7.9 Hz; d, J=1.2 Hz, 2H), δ 10.68 (s, 1H)

IR (Nujol<sup>TM</sup>): 3338 cm<sup>-1</sup>, 2368 cm<sup>-1</sup>, 1656 cm<sup>-1</sup>, 766 cm<sup>-1</sup>

MS: M<sup>+</sup> = 313.1260 (observed), calculated for C<sub>17</sub>H<sub>19</sub>N<sub>3</sub>OS =

20 313.1249

MP: 208-209<sup>0</sup>C

Compound 6: N-[2-(diethylamino)ethyl]-10H-phenothiazine-10-carboxamide

<sup>1</sup>H NMR (DMSO-d<sub>6</sub>): δ 1.20 (t, J=7.2 Hz, 6H), δ 3.08 (m, 6H), δ 3.43 (q, J=6.1 Hz, 2H), δ 6.84 (t, J=5.4 Hz; 1H), δ 7.25 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.36 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.47 (d, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.60 (d, J=7.9 Hz; d, J= 0.9 Hz, 2H), δ 10.72 (s, 1H)

IR (Nujol<sup>TM</sup>): 3366 cm<sup>-1</sup>, 2600 cm<sup>-1</sup>, 2430 cm<sup>-1</sup>, 1658 cm<sup>-1</sup>, 1512 cm<sup>-1</sup>, 771 cm<sup>-1</sup>

MS: M<sup>+</sup> 342 (observed), calculated for C<sub>19</sub>H<sub>23</sub>N<sub>3</sub>OS = 341

MP: 184-186<sup>0</sup>C

10 Compound 7: N-(2-pyrrolidin-1-ylethyl)-10H-phenothiazine-10-carboxamide

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.78 (very broad s, 4 H), δ 2.07 (broad s, 4H), δ 3.27 (t, J=6.3Hz, 2H), δ 3.73 (q, 6.1 Hz; 2H), δ 6.13 (t, J=5.6 Hz, 1H), δ 7.24 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.37 (t, J=7.6 Hz, d, J=1.5 Hz, 2H), δ 7.43 (d, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.57 (d, J=7.6 Hz; d, J=1.5 Hz, 2H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 23.2, 37.4, 54.5, 54.7, 126.9, 127.2, 127.5, 128.0, 133.3, 138.1, 155.2

IR (Nujol<sup>TM</sup>): 3376 cm<sup>-1</sup>, 2700cm<sup>-1</sup>, 2630 cm<sup>-1</sup>. 2493 cm<sup>-1</sup>, 1667 cm<sup>-1</sup>, 1503 cm<sup>-1</sup>, 769 cm<sup>-1</sup>, 756 cm<sup>-1</sup>

MS: M<sup>+</sup> (observed), calculated for C<sub>19</sub>H<sub>21</sub>N<sub>3</sub>OS = 339

MP: 189-191<sup>0</sup>C

Compound 8: N-(2-piperidin-1-ylethyl)-10H-phenothiazine-10-carboxamide

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 1.56 (broad s, 2H), δ 1.91 (broad s, 4H), δ 3.0-3.1 (broad s, 3H), δ 3.03 (t, J=6.3 Hz, 2H), δ 3.71 (q, J=6.1 Hz, 2H), δ 6.30 (t, J=5.7 Hz, 1 H), δ 7.21 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.34 (t, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.39 (d, J=7.6 Hz; d, J=1.5 Hz, 2H), δ 7.54 (d, J=7.9 Hz; d J=1.2 Hz, 2H)

<sup>13</sup>C NMR (CDCl<sub>3</sub>): δ 21.6, 22.7, 35.6, 52.4, 55.4, 126.5, 10 127.3, 127.5, 127.8, 132.2, 138.7, 154.2

IR (Nujol<sup>TM</sup>): 3379 cm<sup>-1</sup>, 2634 cm<sup>-1</sup>, 2530 cm<sup>-1</sup>, 1665 cm<sup>-1</sup>, 1509 cm<sup>-1</sup>, 1317 cm<sup>-1</sup>, 1255 cm<sup>-1</sup>, 769 cm<sup>-1</sup>, 755 cm<sup>-1</sup>

MS: M<sup>+</sup> (observed), calculated for C<sub>20</sub>H<sub>23</sub>N<sub>3</sub>OS = 353

MP: 122-140<sup>0</sup>C (decomposes)

15 Compound 9: N-[3-(dimethylamino)-2,2-dimethylpropyl]-10H-phenothiazine-10-carboxamide

<sup>1</sup>H NMR (CDCl<sub>3</sub>): δ 0.86 (s, 6H), δ 1.83 (s, 6H), δ 2.09 (s, 2H), δ 3.16 (d, J=4.3 Hz, 2H), δ 7.17 (t, J=7.5 Hz, d, J=1.3 Hz, 2H), δ 7.31 (t, J=7.7, d, J=1.6 Hz, 2H), δ 7.37 (d, J=7.6 Hz, d J=1.5 Hz, 2H), δ 7.59 (d, J=7.9 Hz, d J=1.2 Hz, 2H), δ 7.84 (t broad, 1H)

IR (Nujol<sup>TM</sup>): 3247 cm<sup>-1</sup>, 1668 cm<sup>-1</sup>, 1508 cm<sup>-1</sup>, 1760 cm<sup>-1</sup>

MS: M<sup>+</sup> 356 (observed), calculated for C<sub>20</sub>H<sub>25</sub>N<sub>3</sub>OS = 355

MP: 140-142.5°C

BRIEF DESCRIPTION OF THE DRAWINGS

Figures 1 to 26 show inhibition of enzyme  
5 activity by compounds of the invention.

Figure 1 shows selectivity, mode and strength of inhibition displayed by acetyl PTZ (compound 1) towards BuChE and AChE.

Figure 2 shows selectivity, mode and strength of  
10 inhibition displayed by benzoyl PTZ (compound 29) towards BuChE.

Figure 3 shows selectivity, mode and strength of inhibition displayed by butanoyl PTZ (compound 2) towards BuChE and AChE.

15 Figure 4 shows selectivity, mode and strength of inhibition displayed by chloroacetyl PTZ (compound 22) towards BuChE and AChE.

Figure 5 shows selectivity, mode and strength of  
inhibition displayed by propanoyl PTZ (compound 27) towards  
20 BuChE and AChE.

Figure 6 shows selectivity, mode and strength of inhibition displayed by iso-valeryl PTZ (compound 28)  
towards BuChE.

Figure 7 shows selectivity, mode and strength of inhibition displayed by n-propyl urea PTZ (compound 30) towards BuChE.

5 Figure 8 shows selectivity, mode and strength of inhibition displayed by butyl urea PTZ (compound 31) towards BuChE.

Figure 9 shows selectivity, mode and strength of inhibition displayed by iso-butyl urea PTZ (compound 32) towards BuChE.

10 Figure 10 shows selectivity, mode and strength of inhibition displayed by sec-butyl urea PTZ (compound 33) towards BuChE.

15 Figure 11 shows selectivity, mode and strength of inhibition displayed by tert-butyl urea PTZ (compound 34) towards BuChE.

Figure 12 shows selectivity, mode and strength of inhibition displayed by 2-methoxyethyl urea PTZ (compound 35) towards BuChE.

20 Figure 13 shows selectivity, mode and strength of inhibition displayed by diethyl urea PTZ (compound 39) towards BuChE.

Figure 14 shows selectivity, mode and strength of inhibition displayed by neopentyl urea PTZ (compound 36) towards BuChE.

Figure 15 shows selectivity, mode and strength of inhibition displayed by pyrrolidine urea PTZ (compound 40) towards BuChE.

5 Figure 16 shows selectivity, mode and strength of inhibition displayed by piperidine urea PTZ (compound 41) towards BuChE.

Figure 17 shows selectivity, mode and strength of inhibition displayed by cyclohexyl urea PTZ (compound 37) towards BuChE.

10 Figure 18 shows selectivity, mode and strength of inhibition displayed by morpholine urea PTZ (compound 42) towards BuChE.

15 Figure 19 shows selectivity, mode and strength of inhibition displayed by N,N-dimethyl ethylene diamine urea PTZ (compound 5) towards BuChE and AChE.

Figure 20 shows selectivity, mode and strength of inhibition displayed by benzyl urea PTZ (compound 38) towards BuChE.

20 Figure 21 shows selectivity, mode and strength of inhibition displayed by ethylene diamine urea (monomer) PTZ (compound 10) towards BuChE.

Figure 22 shows selectivity, mode and strength of inhibition displayed by ethylene diamine urea (2:1 product) PTZ (compound 19) towards BuChE and AChE.

Figure 23 shows selectivity, mode and strength of inhibition displayed by N,N-diethyl ethylene diamine urea PTZ (compound 6) towards BuChE and AChE.

5 Figure 24 shows selectivity, mode and strength of inhibition displayed by N,N-dimethyl propylene diamine urea PTZ (compound 15) towards BuChE and AChE.

Figure 25 shows selectivity, mode and strength of inhibition displayed by N,N-diethyl propylene diamine urea PTZ (compound 43) towards BuChE and AChE.

10 Figure 26 shows selectivity, mode and strength of inhibition displayed by 1,3-propyl diamine urea PTZ (compound 11) towards AChE.

#### BIOCHEMICAL STUDIES

15 General Materials and Methods:

##### Preparation of Reagents and Enzymes:

A) 5,5'-Dithio-bis(2-Nitrobenzoic Acid) (DTNB) Stock

In 20 mL of 0.1 M phosphate buffer (pH 7.0), 0.03 g of sodium bicarbonate and 0.079 g of DTNB were combined 20 and mixed.

B) Buffered 5,5'-Dithio-bis(2-Nitrobenzoic Acid) (DTNB) solution

3.6 mL of stock DTNB was combined with 96.4 mL of 0.1M phosphate buffer (pH 8.0).

## C) Acetylthiocholine (AcTCH)

0.086 g of AcTCH was dissolved in 20 mL of distilled water to give a stock concentration of 15.0 mM. 0.1 mL of the stock solution in a final volume of 3.0 mL 5 gave a concentration of 0.50 mM in the cuvette. A number of 50% serial dilutions were performed from the stock solution to produce the substrate concentrations employed in the assay.

D) Butylthiocholine (BuTCH)

10 0.0952g of BuTCH was dissolved in 20 mL of distilled water to give a concentration of 15.0 mM. 0.1 mL of the stock solution in a final volume of 3.0mL gave a concentration of 0.50 mM in the cuvette. A number of 50% serial dilutions were performed from the stock solution to 15 produce the substrate concentrations employed in the assay.

E) Human Butylcholinesterase (BuChE)

1.0 mL of 0.005% aqueous gelatin was added to a stock bottle containing 100U of enzyme. Appropriate ratios of stock enzyme solution and 0.005% aqueous gelatin were 20 combined, such that the diluted enzyme solution gave a change in absorbance per minute of approximately 1.00 at the highest concentration of BuTCH (i.e. 0.50 mM).

F) Human Acetylcholinesterase (AChE)

0.0206g of enzyme was combined with 4.0 mL of 25 either 0.005% aqueous gelatin or 0.5% Triton-X 100, and ground to a slurry with a mortar and pestle. The resulting enzyme solution gave a change in absorbance per minute of

approximately 0.300 at the highest concentration of AcTCH (i.e. 0.50 mM).

G) Inhibitor Solutions

All inhibitor solutions were made in 50% aqueous  
5 acetonitrile with a stock concentration of  $5 \times 10^{-3}$  M.

H) Kinetic Studies

The esterase activity of human serum BuChE and  
human erythrocyte AChE was studied using a modified Ellman  
assay (32). In a quartz cuvette of 1-cm path length the  
10 following reaction components were combined and mixed, to  
give a final volume of 3.0 mL: 2.7 mL of buffered DTNB (pH  
8.0), 0.1 mL of enzyme (AChE or BuChE) and, 0.1 mL of  
either 50% aqueous acetonitrile or inhibitor in 50% aqueous  
acetonitrile. The reaction was initiated by the addition of  
15 substrate (AcTCH or BuTCH), and was analyzed at room  
temperature using a Milton-Ray™ UV-visible  
spectrophotometer set at 412 nm. The change of absorbance  
was recorded at 5-second intervals for a period of one  
minute. The Abs/min values represent the rate of hydrolysis  
20 of the substrate by the enzyme.

I) Determination of Inhibitor Specificity

AChE and BuChE were exposed to a number of serial  
dilutions of each compound ( $1.7 \times 10^{-4}$ - $1.7 \times 10^{-9}$  M), at the  
highest substrate concentration (0.50 mM). Inhibition  
25 profiles were generated by plotting the rate of substrate  
hydrolysis (Abs/min) versus the log of the inhibitor  
concentration.

J) Generation of Lineweaver-Burk plots

Lineweaver-Burk plots were produced by plotting the inverse of the rate (Abs/min) versus the inverse of the substrate concentration. Three separate runs were 5 performed, each employing a different inhibitor concentration (one without inhibitor and two carried out in the presence of different inhibitor concentrations). The inhibitor concentrations used were selected from the inhibition profile described above. Each run consisted of a 10 series of assays in which the concentration of enzyme and inhibitor were held constant while the substrate concentration was varied (i.e. 0.50 mM - 0.0313 mM).  $K_m$  and  $V_{max}$  values, in addition to the type of inhibition were obtained from the Lineweaver-Burk plots.

15 K) Determination of the Inhibition constant ( $K_i$ )

The strength of the inhibition, the inhibition constant ( $K_i$ ), was determined by plotting the slope of each of the Lineweaver-Burk lines against their respective inhibitor concentrations. Each  $K_i$  value was obtained from 20 the x-intercept of its respective graph. The  $K_i$  values provided represent the average of two values.

Results and Discussion:

It has been shown that the active site in cholinesterases is at the bottom of a "gorge" which is 25 lined by aromatic amino acid residues, 12 in AChE and 6 in BuChE. Some inhibitors bind to a peripheral site close to the gorge to exert their action. In the case of the phenothiazine derivatives of the present invention, the

nature of inhibition is generally mixed non-competitive suggesting that these compounds most likely bind to the peripheral site near the active-site gorge. It is possible that the phenothiazine moiety binds at this site and the 5 nitrogen containing side chain binds to the amino acid residues in the gorge in a reversible manner. Compounds 15 and 27 display competitive inhibition towards BuChE and AChE.

10 The difference in  $K_i$  values (Table 2) for the different compounds may be due to binding properties of the side chains.

TABLE 2

## AChE and BuChE Inhibition Results

<u>Compd.</u>	<u><math>K_i</math> BuChE (M)</u>	<u><math>K_i</math> AChE (M)</u>
Phenothiazine	$1.2 \times 10^{-5}$	Insignificant inhibition
Ethopropazine	$2.4 \times 10^{-7}$	Insignificant inhibition
1	$3.9 \times 10^{-5}$	$1.1 \times 10^{-4}$
2	$9.3 \times 10^{-6}$	$7.9 \times 10^{-5}$
3	$7.4 \times 10^{-7}$	Slight inhibition
4	$9.3 \times 10^{-7}$	Slight inhibition
5	$3.6 \times 10^{-7}$	$5.7 \times 10^{-5}$
6	$5.5 \times 10^{-7}$	$2.6 \times 10^{-5}$
7	$2.0 \times 10^{-7}$	$3.5 \times 10^{-5}$
8	$1.7 \times 10^{-8}$	$6.9 \times 10^{-7}$

Table 2 - continued

<u>Compd.</u>	<u>K<sub>i</sub> BuChE (M)</u>	<u>K<sub>i</sub> AChE (M)</u>
9	$5.7 \times 10^{-7}$	$1.0 \times 10^{-4}$
10	$8.0 \times 10^{-6}$	Insignificant inhibition
11	$7.9 \times 10^{-6}$	Insignificant inhibition
12	$1.2 \times 10^{-6}$	Insignificant inhibition
13	$6.9 \times 10^{-7}$	$4.2 \times 10^{-5}$
14	$5.5 \times 10^{-7}$	$2.6 \times 10^{-5}$
15	$1.92 \times 10^{-6}$	$1.74 \times 10^{-4}$
16	$9.6 \times 10^{-7}$	$2.0 \times 10^{-5}$
17	$5.9 \times 10^{-7}$	Insignificant inhibition
18	$2.4 \times 10^{-5}$	Insignificant inhibition
19	$6.97 \times 10^{-6}$	$4.51 \times 10^{-6}$
20	$1.1 \times 10^{-5}$ (K <sub>i</sub> represents a single value)	
21	No data	Insignificant inhibition
22	$1.3 \times 10^{-5}$	$1.12 \times 10^{-4}$
23	No data	Insignificant inhibition
24	$2.8 \times 10^{-6}$	Insignificant inhibition
25	$4.7 \times 10^{-6}$	Insignificant inhibition

Table 2 - continued

<u>Compd.</u>	<u>K<sub>i</sub> BuChE (M)</u>	<u>K<sub>i</sub> AChE (M)</u>
26	$4.0 \times 10^{-6}$	Insignificant inhibition
27	$2.58 \times 10^{-5}$	$8.38 \times 10^{-5}$
28	$1.12 \times 10^{-5}$	Significant inhibition but no data
29	$1.04 \times 10^{-5}$	Insignificant inhibition
30	$2.56 \times 10^{-5}$	Insignificant inhibition
31	$2.78 \times 10^{-5}$	Insignificant inhibition
32	$1.2 \times 10^{-5}$	Insignificant inhibition
33	$1.18 \times 10^{-5}$	Insignificant inhibition
34	$1.06 \times 10^{-5}$	Insignificant inhibition
35	$3.27 \times 10^{-5}$	Insignificant inhibition
36	$2.04 \times 10^{-6}$	Insignificant inhibition
37	$2.98 \times 10^{-6}$	Insignificant inhibition
38	$5.87 \times 10^{-6}$	Insignificant inhibition
39	$9.82 \times 10^{-7}$	Insignificant inhibition

Table 2 - continued

<u>Compd.</u>	<u>K<sub>I</sub> BuChE (M)</u>	<u>K<sub>I</sub> AChE (M)</u>
40	$5.6 \times 10^{-7}$	Insignificant inhibition
41	$1.42 \times 10^{-6}$	Insignificant inhibition
42	$6.44 \times 10^{-6}$	Insignificant inhibition
43	$9.56 \times 10^{-7}$	$2.0 \times 10^{-5}$

While the invention has been described in particular, one skilled in the art understands that variations from the particularly described embodiments may be done without departing from the spirit and scope of the invention described and claimed herein.

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## CLAIMS:

1. A compound of the formula (I):



wherein R is:

- 5 (a) a branched or straight chain ( $C_1-C_6$ )alkyl group unsubstituted or substituted by phenyl, halo or  $-NR_1R_2$ , wherein  $R_1$  and  $R_2$  are independently H, a branched or straight chain ( $C_1-C_6$ )alkyl group or  $R_1$  and  $R_2$  together with the nitrogen atom to which they are bonded form a 5- or  
10 6-membered ring;
- (b) phenyl; or
- (c)  $-NR_3R_4$ , wherein  $R_3$  and  $R_4$  are independently;
- (i) H,  
(ii) a branched or straight chain ( $C_1-C_6$ )alkyl group unsubstituted or substituted by ( $C_1-C_4$ )alkoxy, phenyl or  $-NR_5R_6$ , wherein  $R_5$  and  $R_6$  are independently H, a branched or straight chain ( $C_1-C_4$ )alkyl group, phenothiazine-10-carbonyl or  $R_5$  and  $R_6$  taken together with the nitrogen atom to which they are bonded form a 5- or 6-membered ring,  
15  
20 (iv) a ( $C_5-C_6$ )cycloalkyl group, or

(iv) R<sub>3</sub> and R<sub>4</sub> together with the nitrogen atom to which they are bonded form pyrrolidino, piperidino, morpholino, piperazino or 4-methylpiperazino, or a pharmacologically acceptable salt thereof.

5 2. The compound according to claim 1, or a pharmacologically acceptable salt thereof, wherein R is a branched or straight chain (C<sub>1</sub>-C<sub>6</sub>)alkyl group unsubstituted or substituted by phenyl, or R is -NR<sub>3</sub>R<sub>4</sub>.

10 3. The compound according to claim 1, or a pharmacologically acceptable salt thereof, wherein R is -NR<sub>3</sub>R<sub>4</sub>, a straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or a straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group substituted by phenyl.

15 4. The compound according to any one of claims 1 to 3, or a pharmacologically acceptable salt thereof, wherein R<sub>3</sub> and R<sub>4</sub> are independently H or a branched or straight chain (C<sub>1</sub>-C<sub>6</sub>)alkyl group unsubstituted or substituted by -NR<sub>5</sub>R<sub>6</sub>.

20 5. The compound according to any one of claims 1 to 3, or a pharmacologically acceptable salt thereof, wherein one of R<sub>3</sub> or R<sub>4</sub> is H and the other is a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group substituted by -NR<sub>5</sub>R<sub>6</sub>.

25 6. The compound according to any one of claims 1 to 5, or a pharmacologically acceptable salt thereof, wherein R<sub>5</sub> and R<sub>6</sub> are independently H, a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a saturated 5- or 6-membered ring.

7. The compound according to any one of claims 1 to 5, or a pharmacologically acceptable salt thereof, wherein R<sub>5</sub> and R<sub>6</sub> are independently a branched or straight chain (C<sub>1</sub>-C<sub>4</sub>)alkyl group or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a saturated 5- or 6-membered ring.
- 5
8. The compound according to claim 1, or a pharmacologically acceptable salt thereof, wherein R is methyl, ethyl, n-propyl, -CH<sub>2</sub>-phenyl, -(CH<sub>2</sub>)<sub>2</sub>-phenyl, -NH-(CH<sub>2</sub>)<sub>2</sub>-NR<sub>5</sub>R<sub>6</sub> or -NH-CH<sub>2</sub>-C(CH<sub>3</sub>)<sub>2</sub>-CH<sub>2</sub>-R<sub>5</sub>R<sub>6</sub>, wherein R<sub>5</sub> and R<sub>6</sub> are methyl, ethyl or R<sub>5</sub> and R<sub>6</sub> taken together with the nitrogen atom to which they are bonded form a pyrrolidino or a piperidinyl ring.
- 10
9. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is 10-acetyl-10H-phenothiazine.
- 15
10. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is 10-butyryl-10H-phenothiazine.
- 10
11. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is 10-(phenylacetyl)-10H-phenothiazine.
- 20
12. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is 10-(3-phenylpropanoyl)-10H-phenothiazine.
- 25
13. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is

N-[2-(dimethylamino)ethyl]-10H-phenothiazine-10-carboxamide.

14. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is

5 N-[2-(diethylamino)ethyl]-10H-phenothiazine-10-carboxamide.

15. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is N-(2-pyrrolidin-1-ylethyl)-10H-phenothiazine-10-carboxamide.

10 16. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is N-(2-piperidin-1-ylethyl)-10H-phenothiazine-10-carboxamide.

17. The compound according to claim 1, or a pharmacologically acceptable salt thereof, which is

15 N-[3-(dimethylamino)-2,2-dimethylpropyl]-10H-phenothiazine-10-carboxamide.

18. A pharmaceutical composition comprising a therapeutically effective amount of a compound as defined in any one of claims 1 to 17, or a pharmacologically acceptable salt thereof, together with a pharmaceutically acceptable carrier, diluent or excipient.

20 19. The composition according to claim 18 for use in modulating activity of a serine hydrolase enzyme.

20. The composition according to claim 19, wherein  
25 the serine hydrolase enzyme is a cholinesterase and the activity of the cholinesterase is inhibited.

21. The composition according to claim 20, wherein the cholinesterase is butyrylcholinesterase (BuChE).
22. The composition according to claim 20, wherein the cholinesterase acetylcholinesterase (AChE).
- 5 23. The composition according to any one of claims 18 to 22 for use in treating Alzheimer's disease.
24. The composition according to any one of claims 18 to 23 for use in a mammal.
- 10 25. The composition according to claim 24, wherein the mammal is a human.
26. Use of a therapeutically effective amount of a compound as defined in any one of claims 1 to 17, or a pharmacologically acceptable salt thereof, for modulating activity of a serine hydrolase enzyme.
- 15 27. Use of a therapeutically effective amount of a compound as defined in any one of claims 1 to 17, or a pharmacologically acceptable salt thereof, for preparing a medicament for modulating activity of a serine hydrolase enzyme.
- 20 28. The use according to claim 26 or 27, wherein the serine hydrolase enzyme is a cholinesterase and the activity of the cholinesterase is inhibited.
29. The use according to claim 28, wherein the cholinesterase is butyrylcholinesterase (BuChE).

30. The use according to claim 28, wherein the cholinesterase acetylcholinesterase (AChE).

31. The use according to any one of claims 26 to 30 for treating Alzheimer's disease.

5 32. The use according to any one of claims 26 to 31 in a mammal.

33. The use according to claim 32, wherein the mammal is a human.

10 34. A commercial package comprising a therapeutically effective amount of a compound as defined in any one of claims 1 to 17, or a pharmacologically acceptable salt thereof, together with instructions for its use in modulating activity of a serine hydrolase enzyme.

15 35. The commercial package according to claim 34, wherein the serine hydrolase enzyme is a cholinesterase and the activity of the cholinesterase is inhibited.

36. The commercial package according to claim 35, wherein the cholinesterase is butyrylcholinesterase (BuChE).

20 37. The commercial package according to claim 35, wherein the cholinesterase acetylcholinesterase (AChE).

38. The commercial package according to any one of claims 34 to 37, wherein the instructions are for use in treating Alzheimer's disease.

39. The commercial package according to any one of claims 34 to 37, wherein the instructions are for use in a mammal.

40. The commercial package according to claim 39,  
5 wherein the mammal is a human.

41. A method of modulating activity of a serine hydrolase enzyme in a mammal, comprising administering to the mammal a composition as defined in claim 18.

42. The method according to claim 41, wherein the  
10 serine hydrolase enzyme is a cholinesterase and the activity of the cholinesterase is inhibited.

43. The method according to claim 42, wherein the cholinesterase is butyrylcholinesterase (BuChE).

44. The method according to claim 42, wherein the  
15 cholinesterase acetylcholinesterase (AChE).

45. The method according to any one of claims 41 to 44 for treating Alzheimer's disease.

46. The method according to any one of claims 41 to 45, wherein the mammal is a human.

(v) a ( $C_5-C_6$ )cycloalkyl group, or

(iv)  $R_3$  and  $R_4$  together with the nitrogen atom to which they are bonded form pyrrolidino, piperidino, morpholino, piperazino or 4-methylpiperazino,

5 or a pharmacologically acceptable salt thereof,

for use in the treatment of Alzheimer's disease and other conditions. Compounds of the formula (I) modulate the activity of serine hydrolase enzymes, for example, they are cholinesterase inhibitors.

1/47

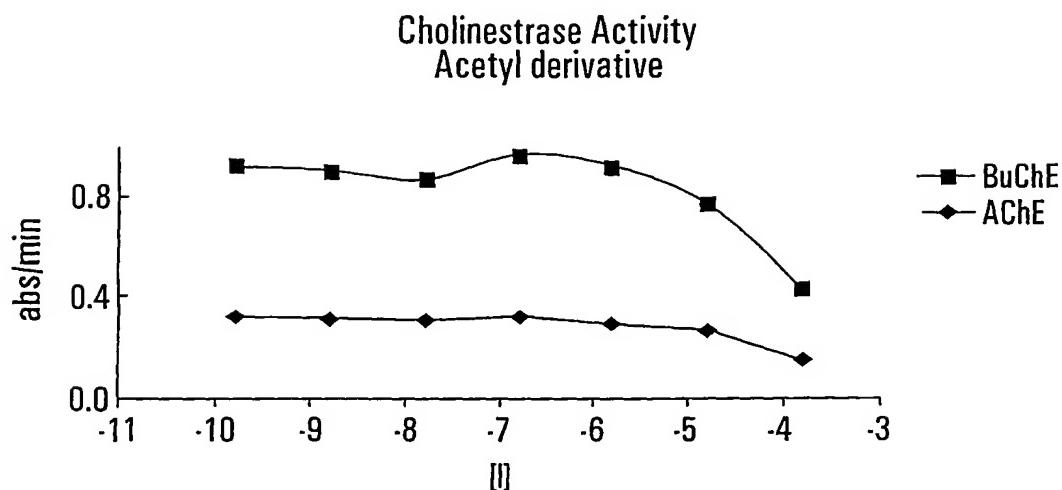


FIG. 1A

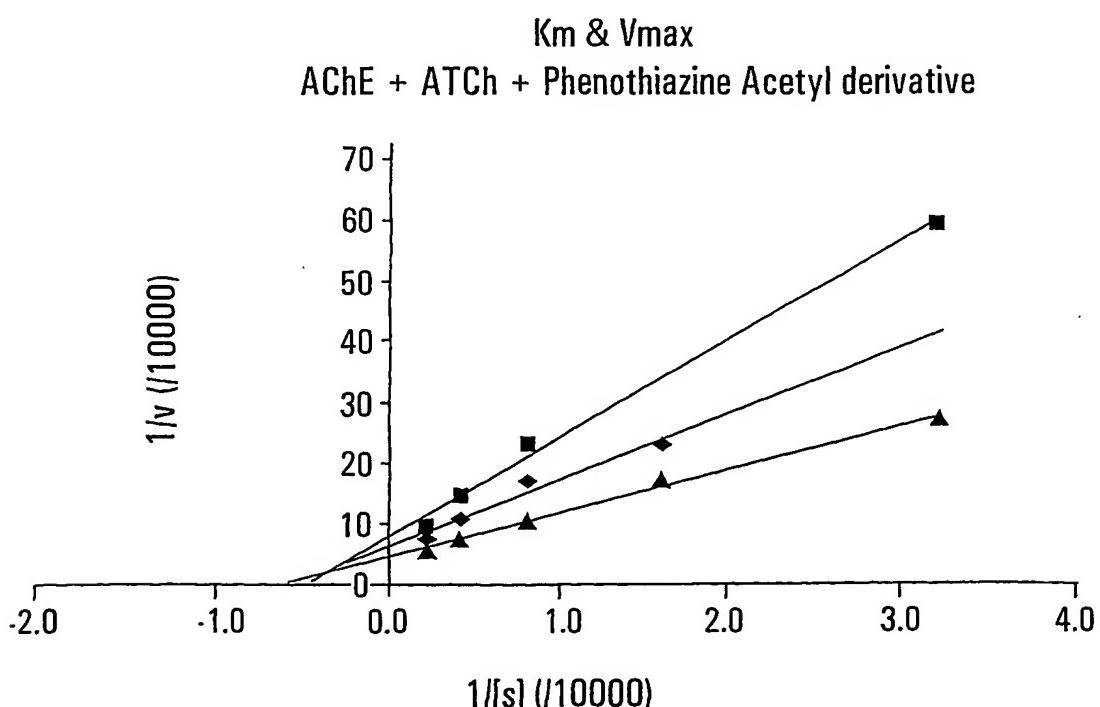


FIG. 1B

2/47

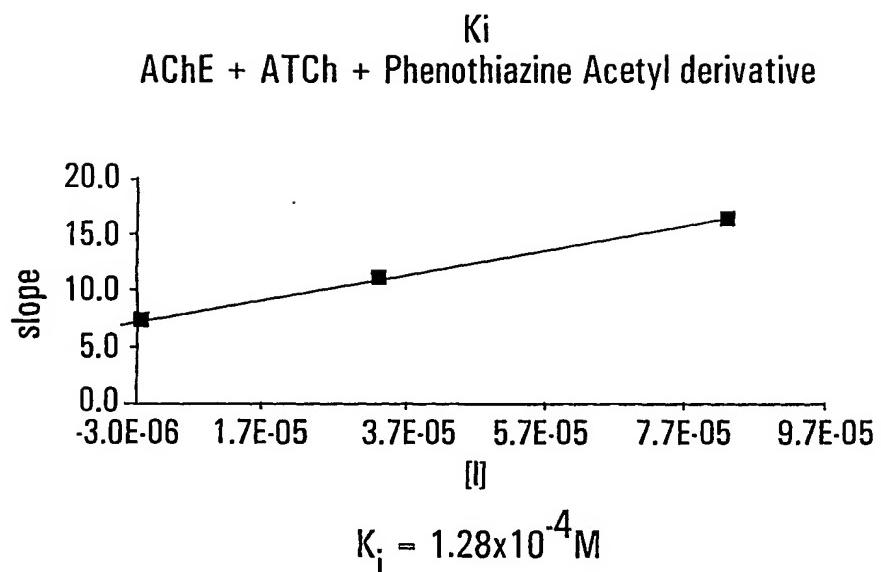


FIG. 1C

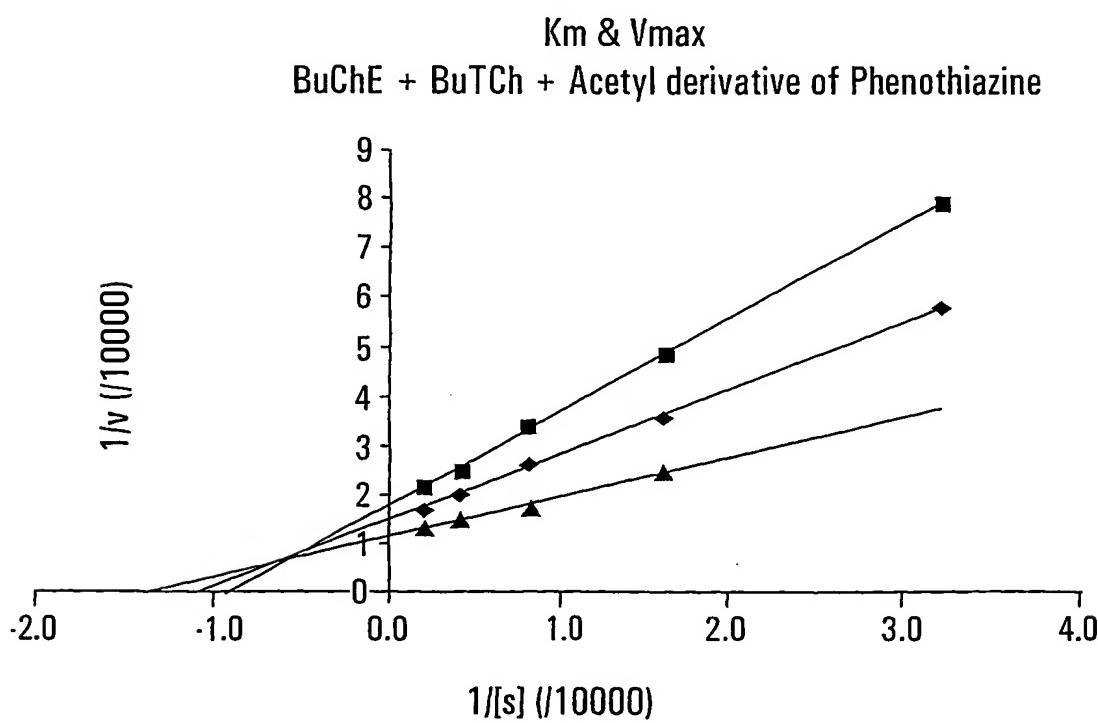


FIG. 1D

3/47

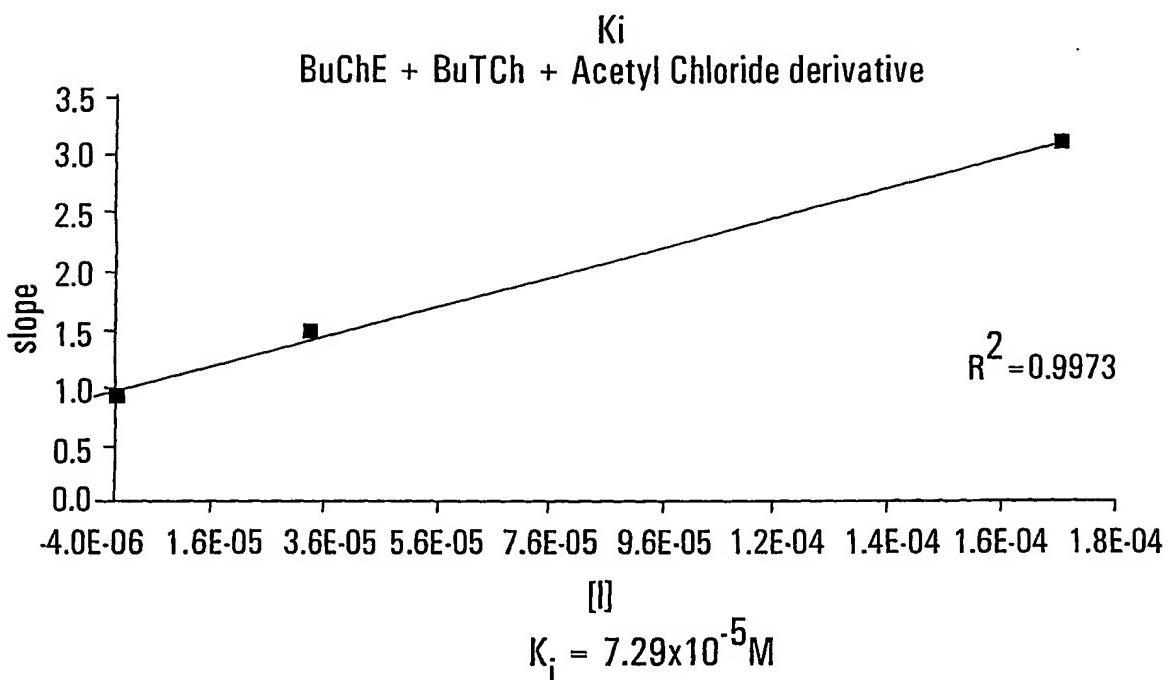


FIG. 1E

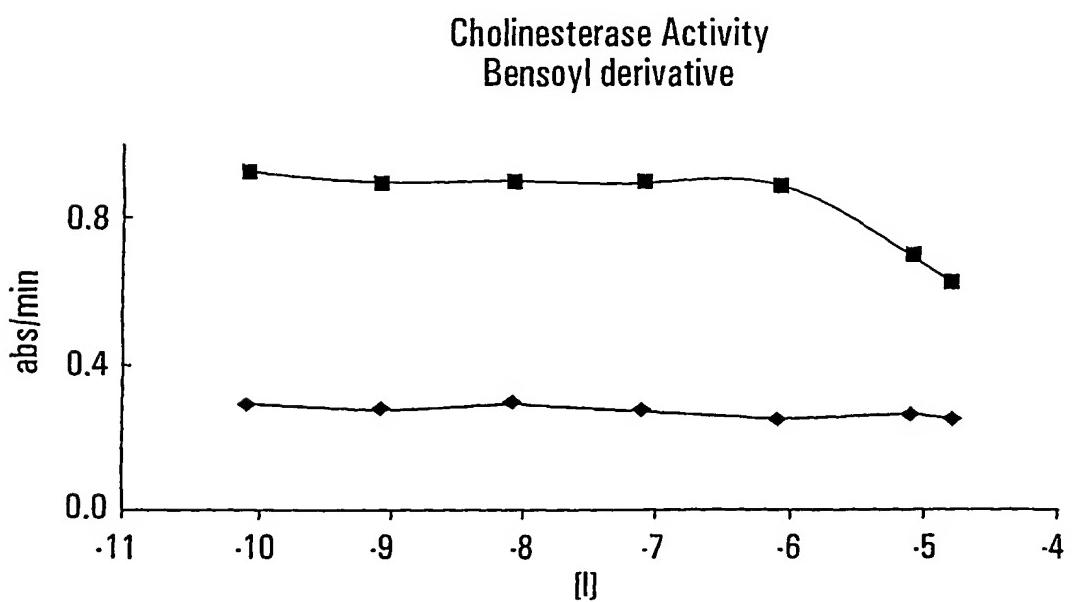


FIG. 2A

4/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Benzoyl derivative of Phenothiazine**

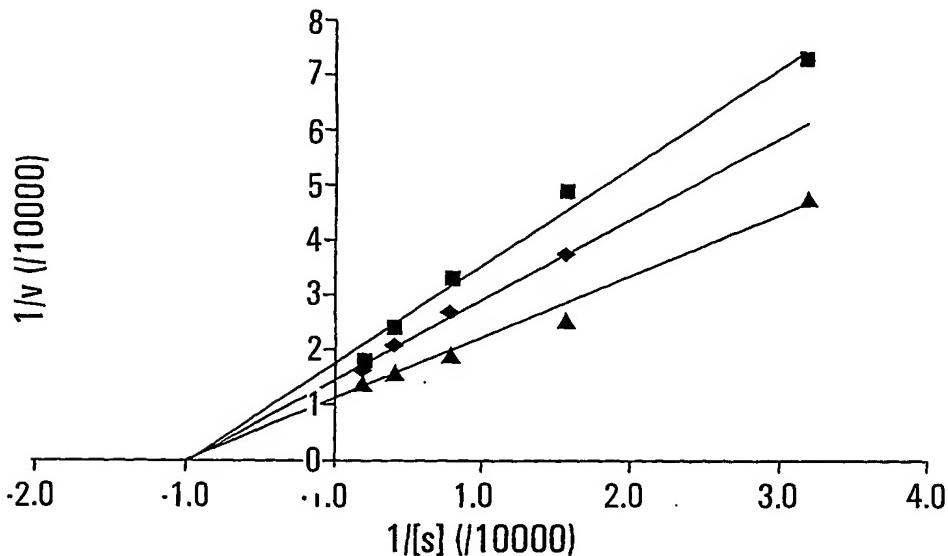


FIG. 2B

**K<sub>i</sub>**  
**BuChE + BuTCh + Benzoyl derivative of Phenothiazine**

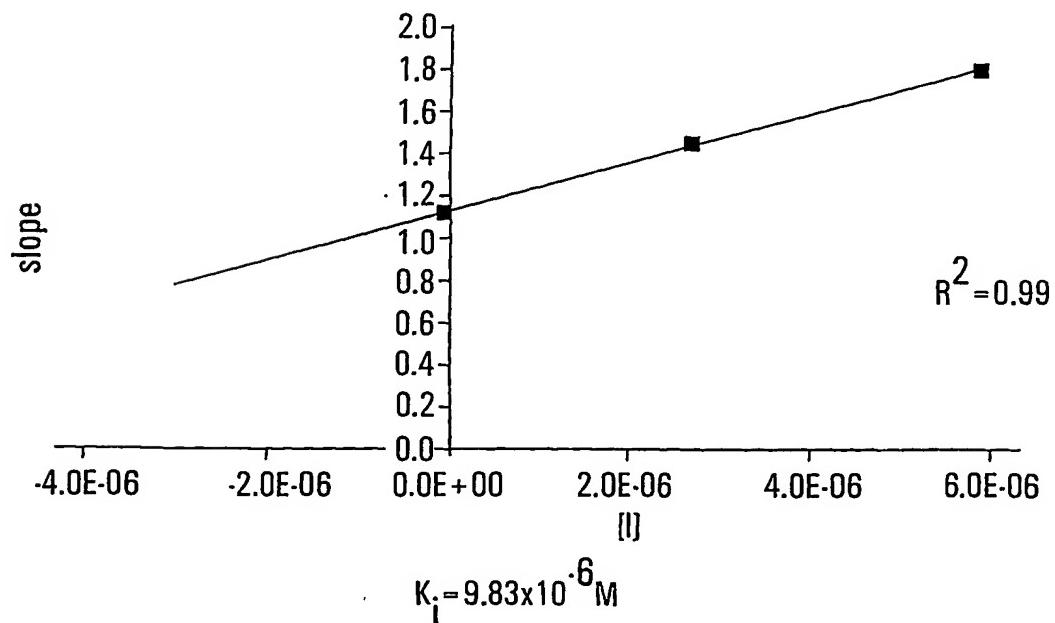


FIG. 2C

5/47

**Cholinesterase Activity  
Phenothiazine Butanoyl derivative**

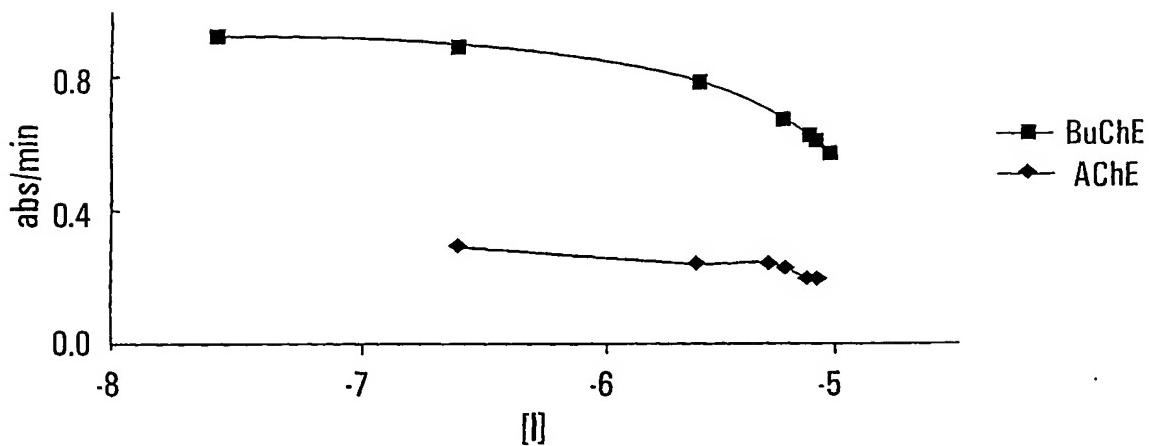


FIG. 3A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + Phenothiazine Butanoyl derivative**

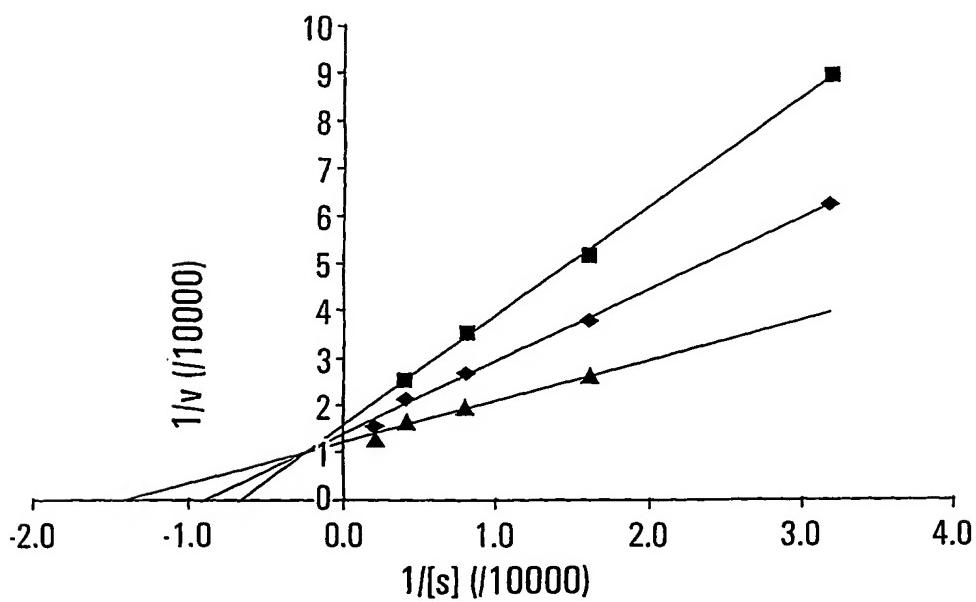


FIG. 3B

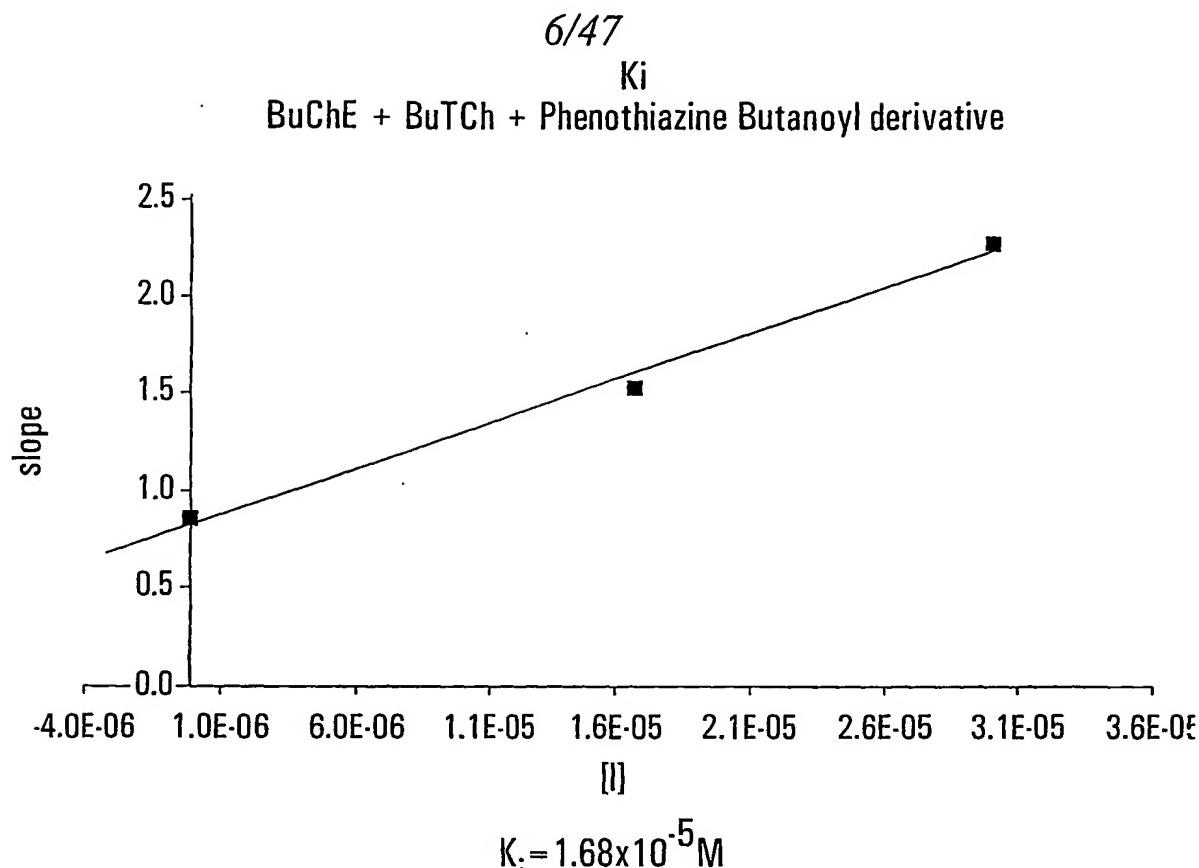


FIG. 3C

Km & Vmax  
 AChE + ATCh + Phenothiazine Butanoyl derivative

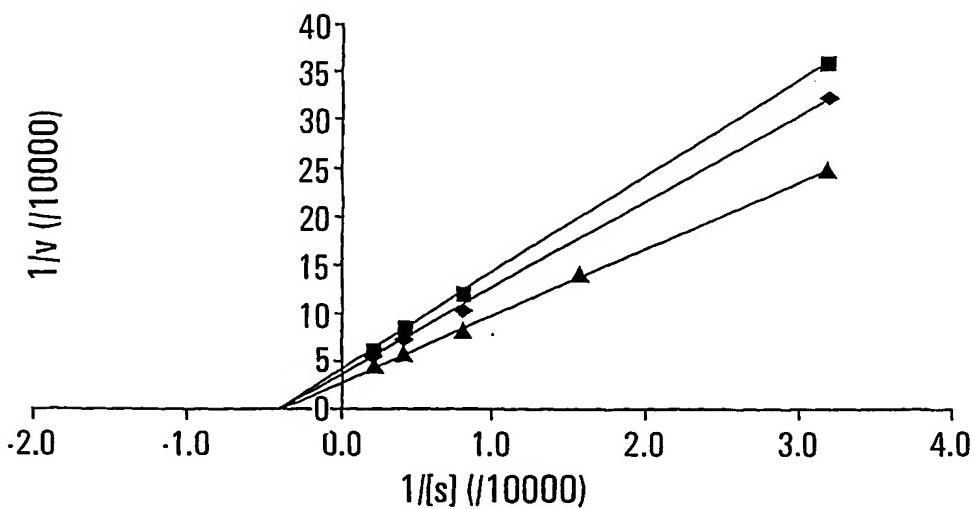


FIG. 3D

7/47

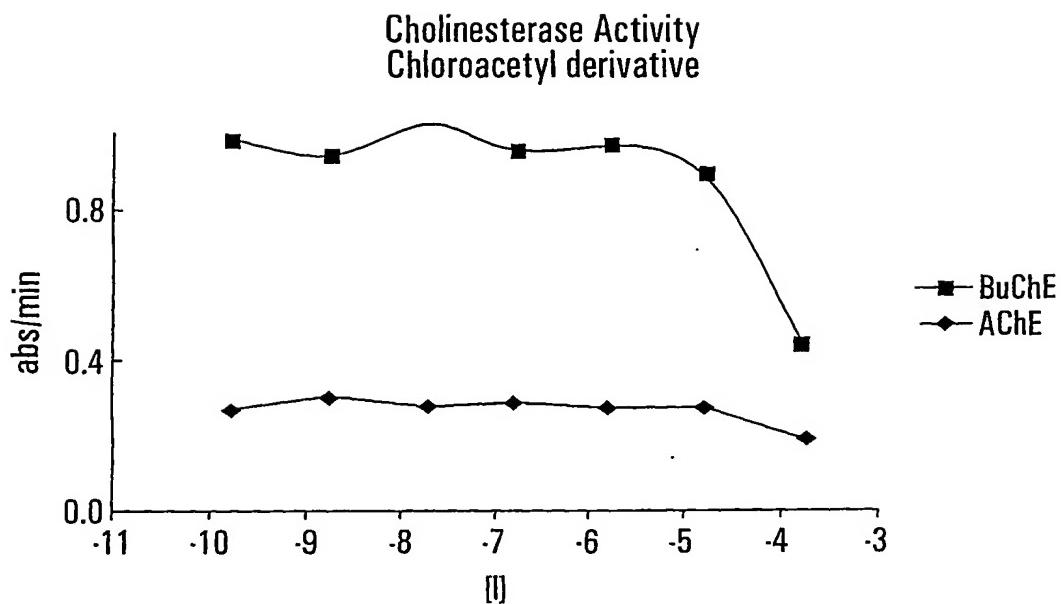


FIG. 4A

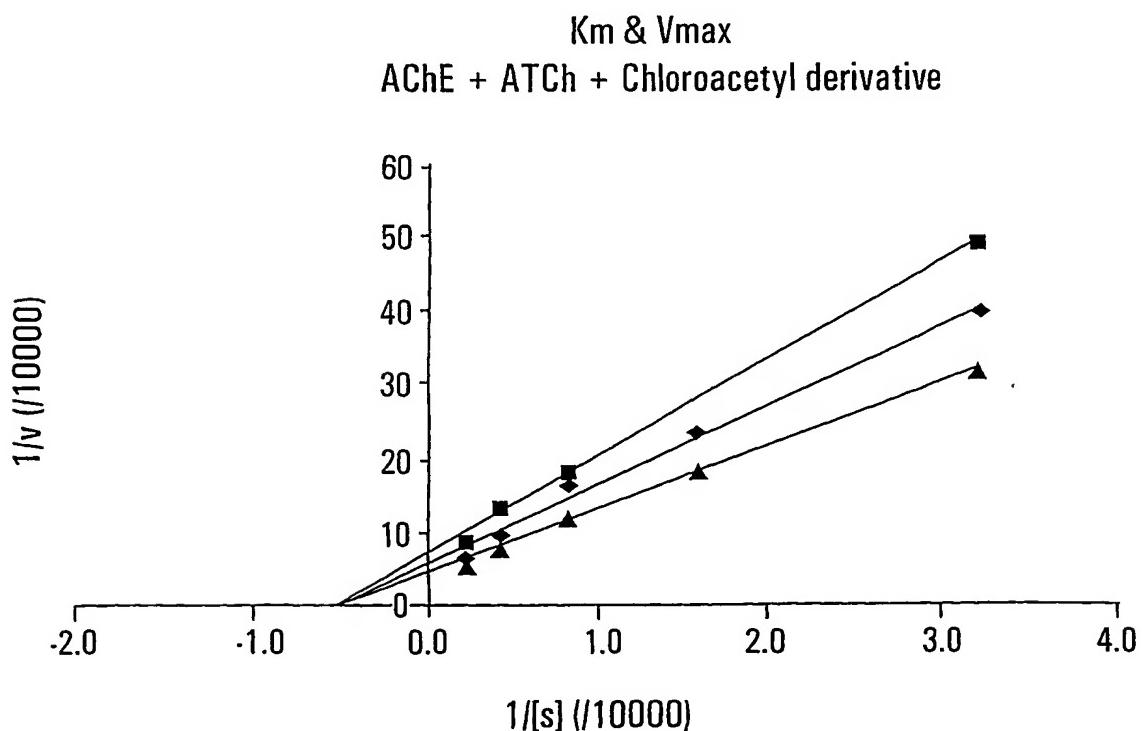


FIG. 4B

8/47

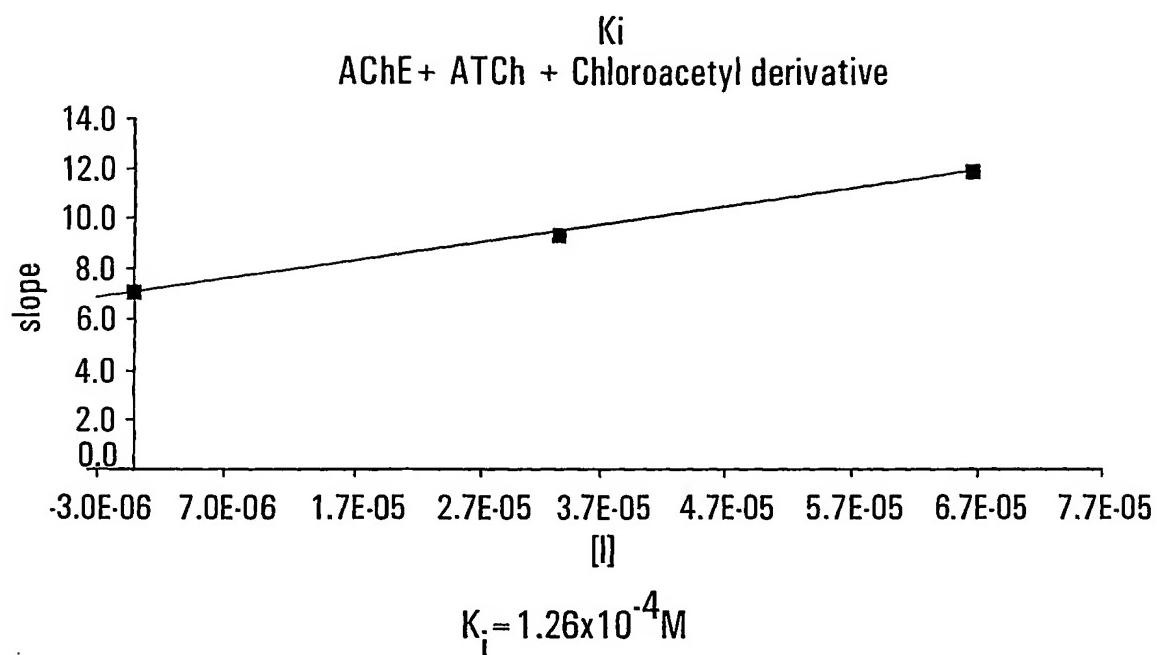


FIG. 4C

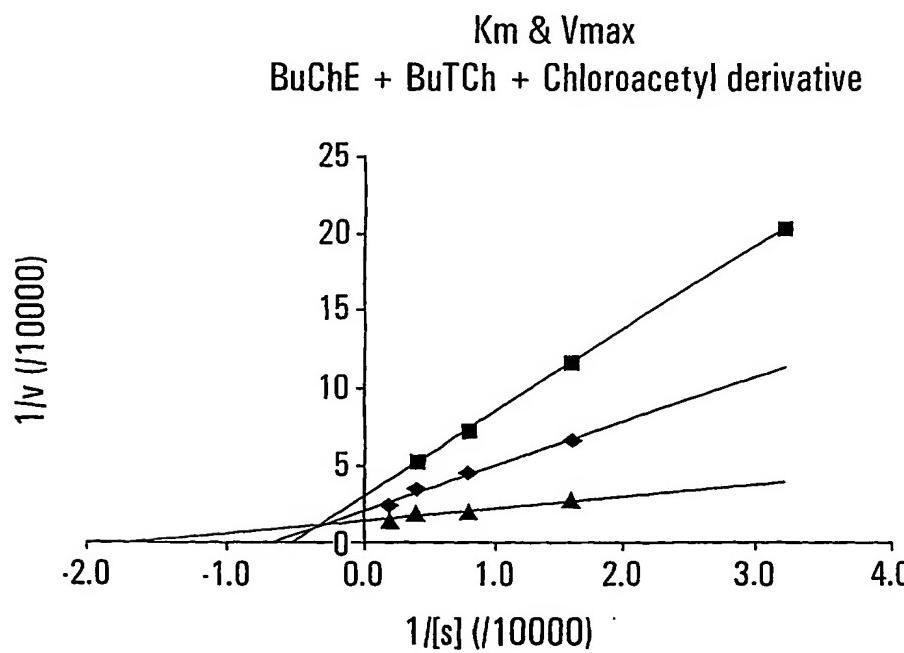


FIG. 4D

9/47

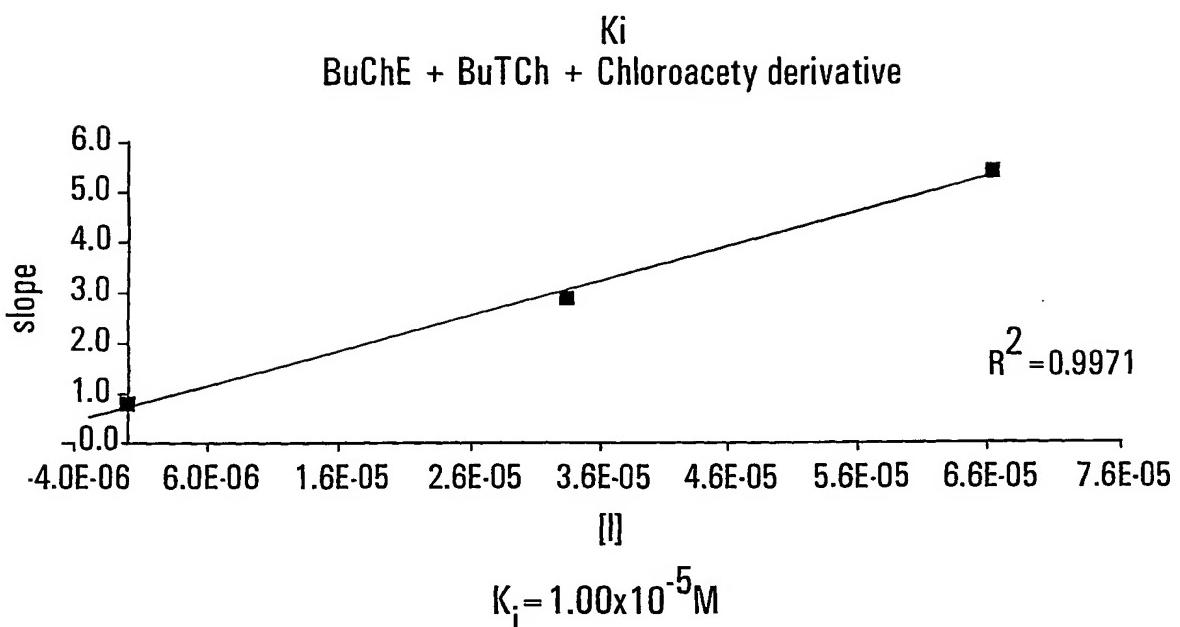


FIG. 4E

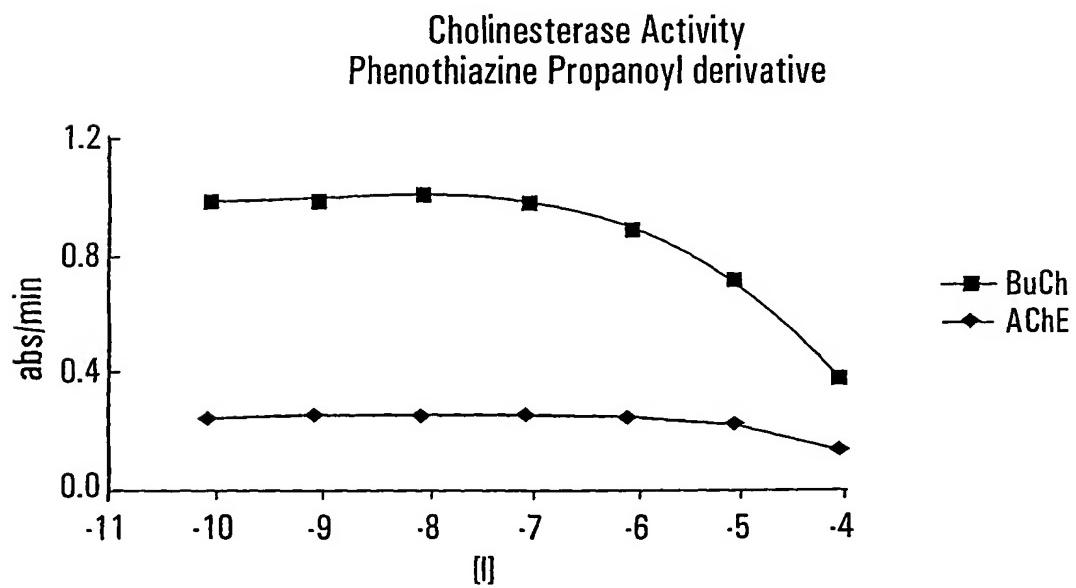
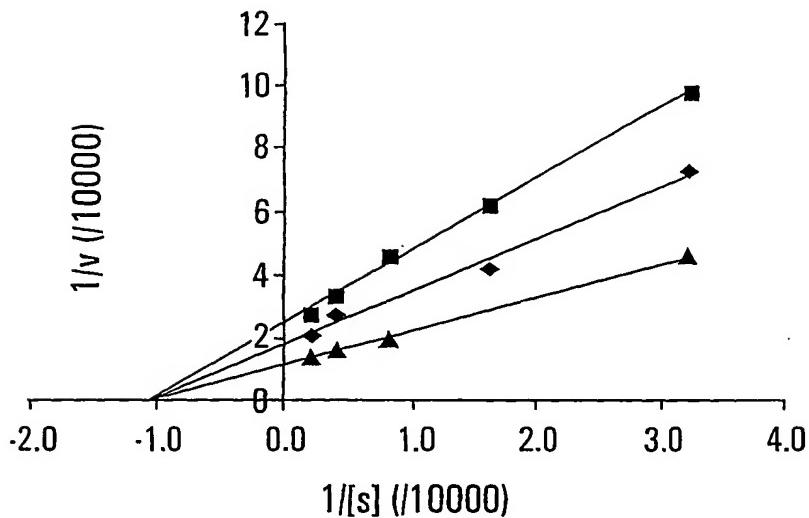


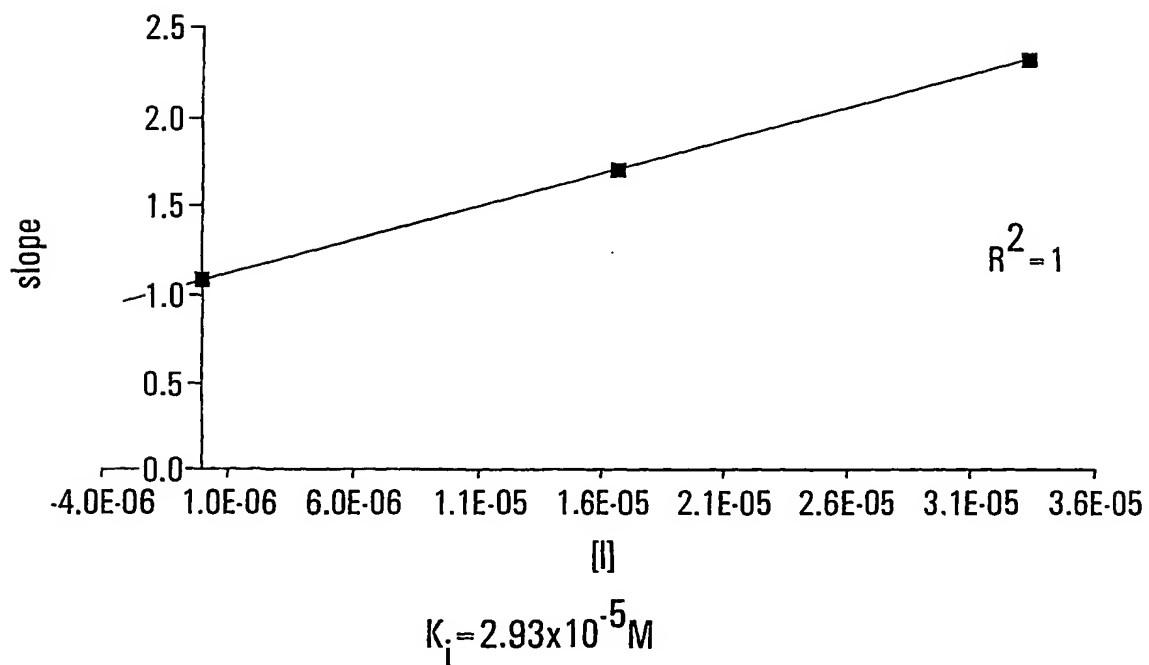
FIG. 5A

10/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + phenothiazine propanoyl derivative**

**FIG. 5B**

**K<sub>i</sub>**  
**BuChE + BuTCh + phenothiazine propanoyl derivative**

**FIG. 5C**

11/47

**K<sub>m</sub> & V<sub>max</sub>**  
**AChE + ATCh + phenothiazine propanoyl derivative**

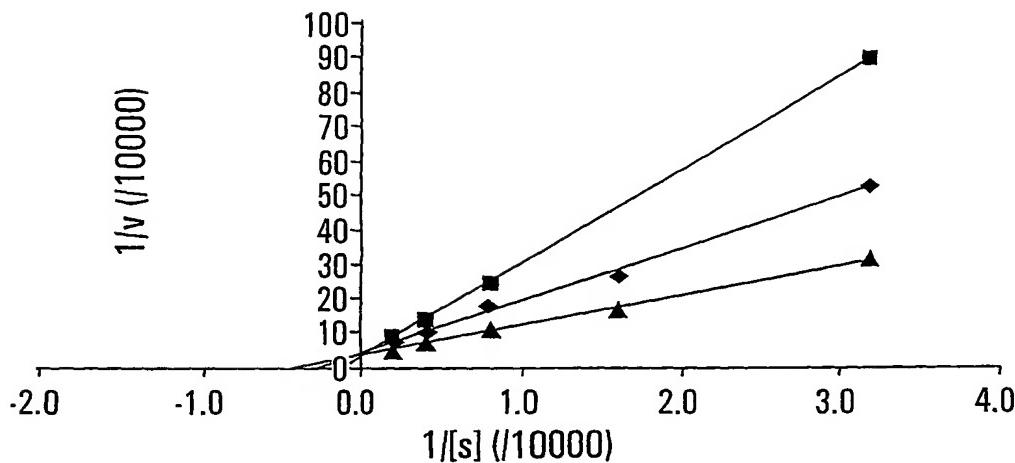
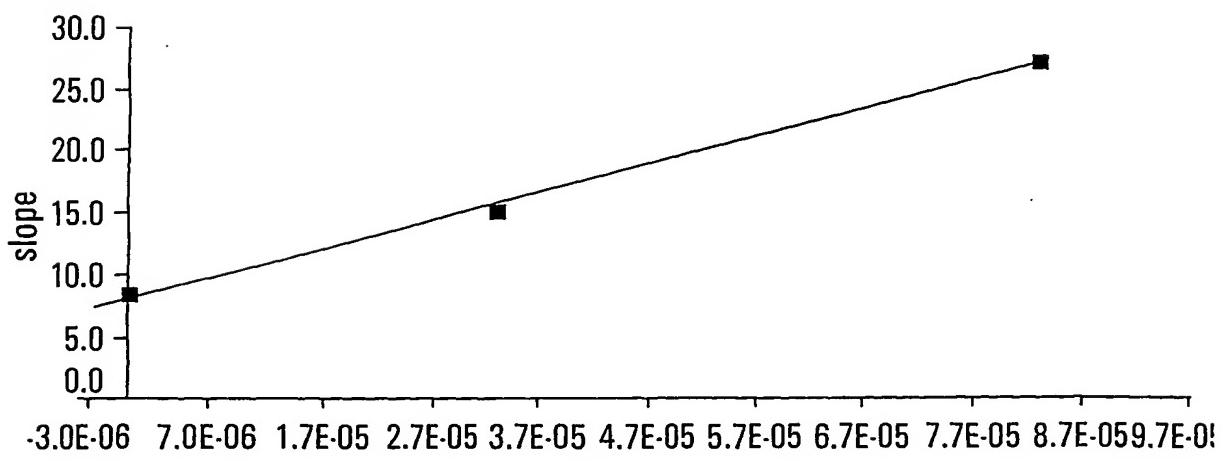


FIG. 5D

**K<sub>i</sub>**  
**AChE + ATCh + phenothiazine propanoyl derivative**



[1]

$$K_i = 3.65 \times 10^{-5} \text{ M}$$

FIG. 5E

12/47

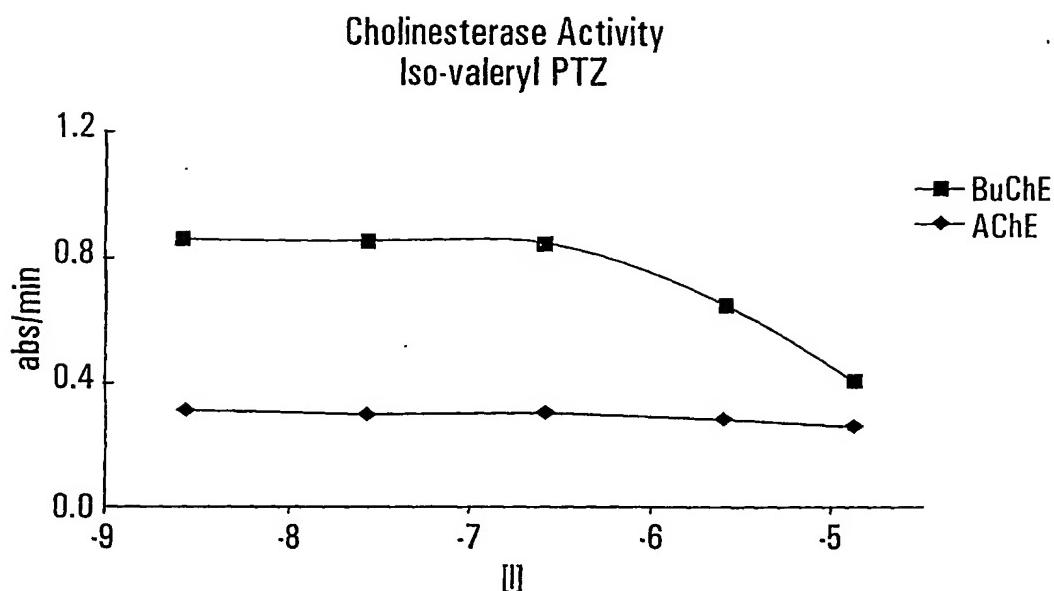


FIG. 6A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + Iso-valeryl PTZ**

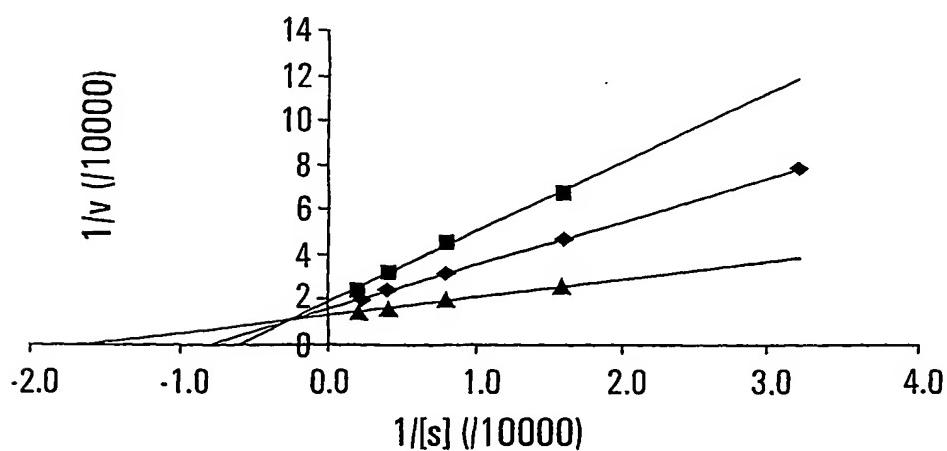


FIG. 6B

13/47

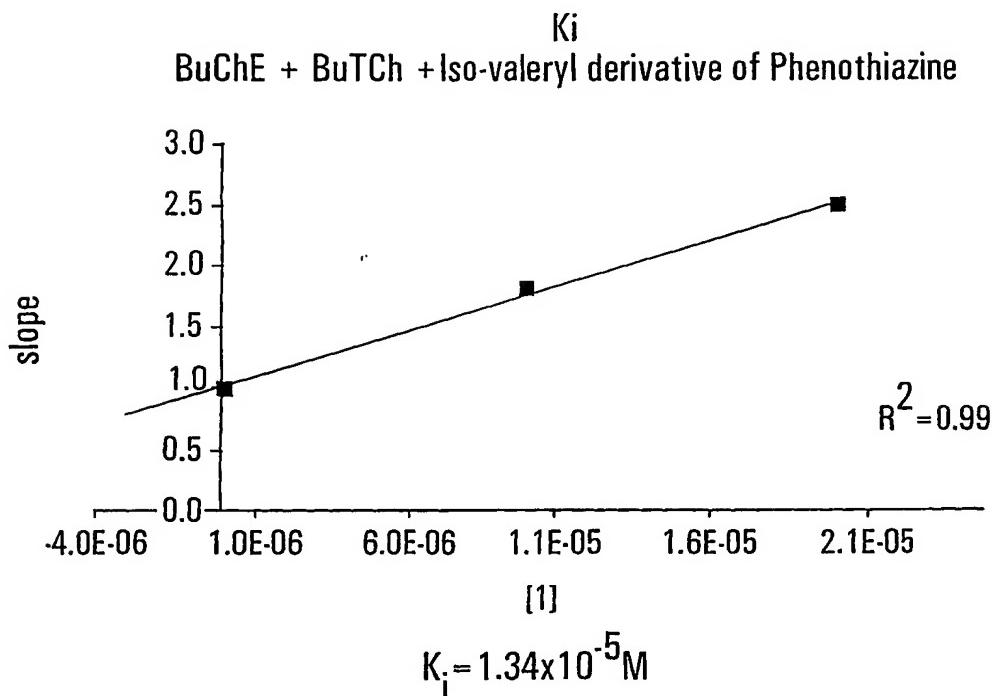


FIG. 6C

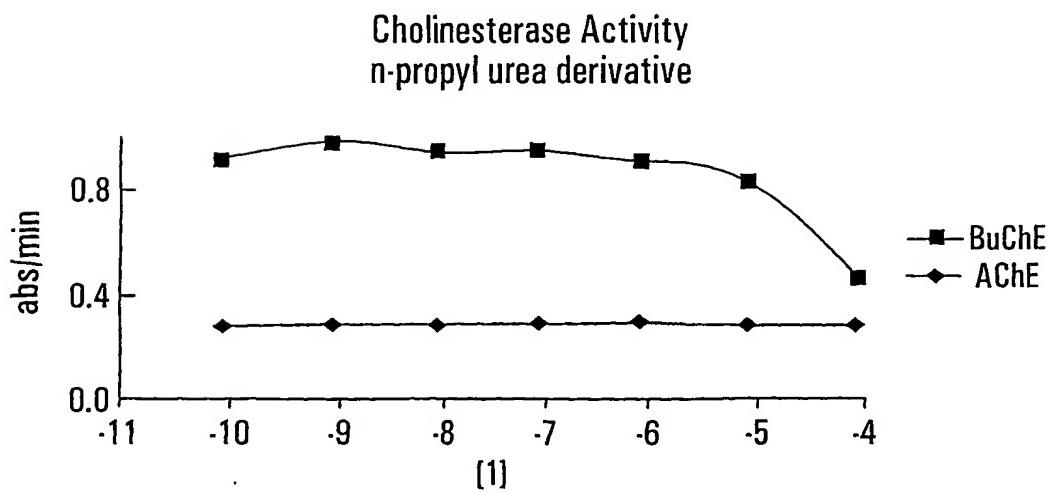


FIG. 7A

14/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + n-propyl urea derivative of phenothiazine**

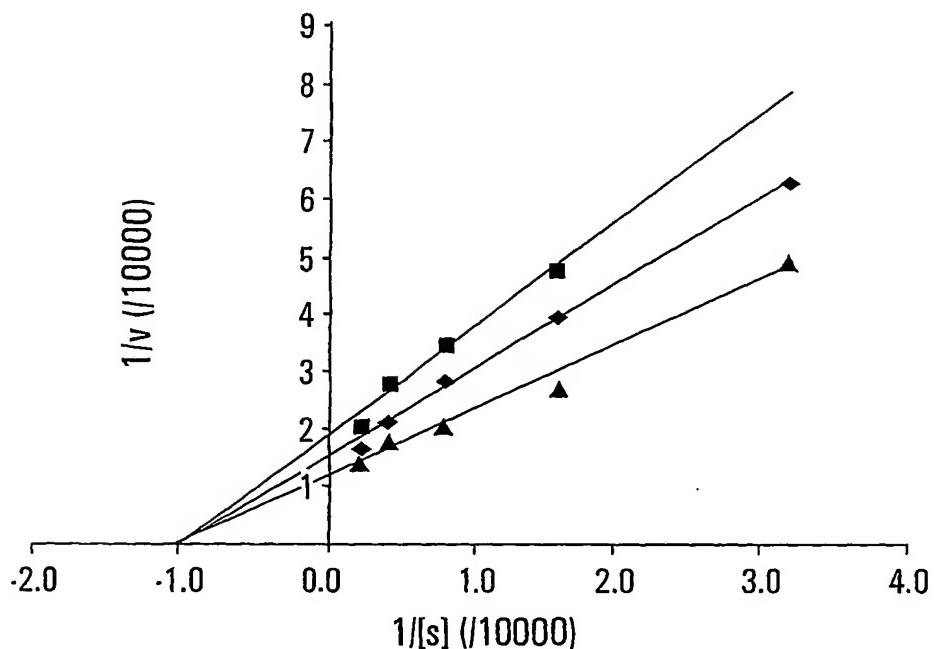


FIG. 7B

**K<sub>i</sub>**  
**BuChE + BuTCh + n-propylurea derivative**

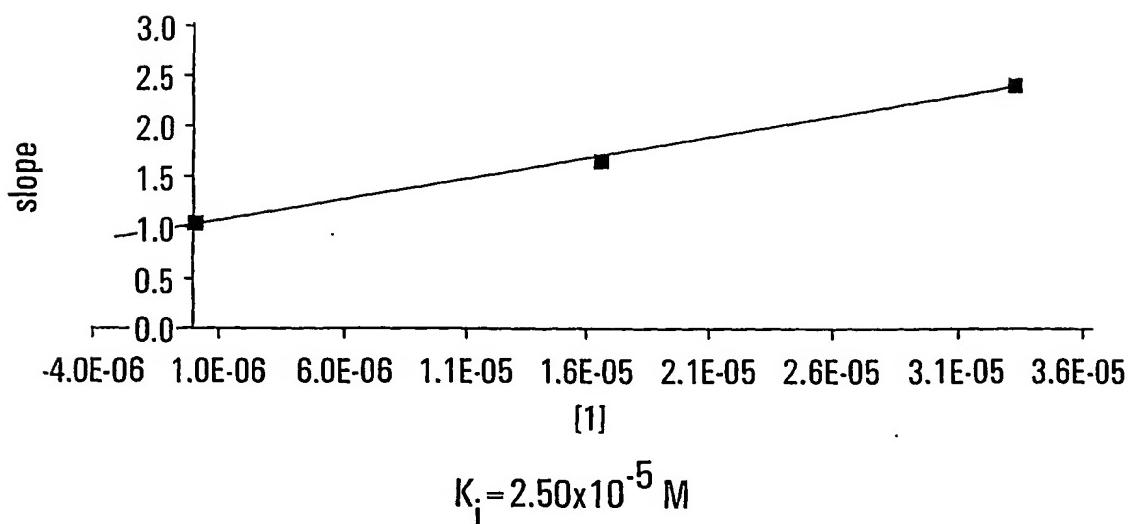


FIG. 7C

15/47

Cholinesterase Activity  
Phenothiazine butyl urea derivative

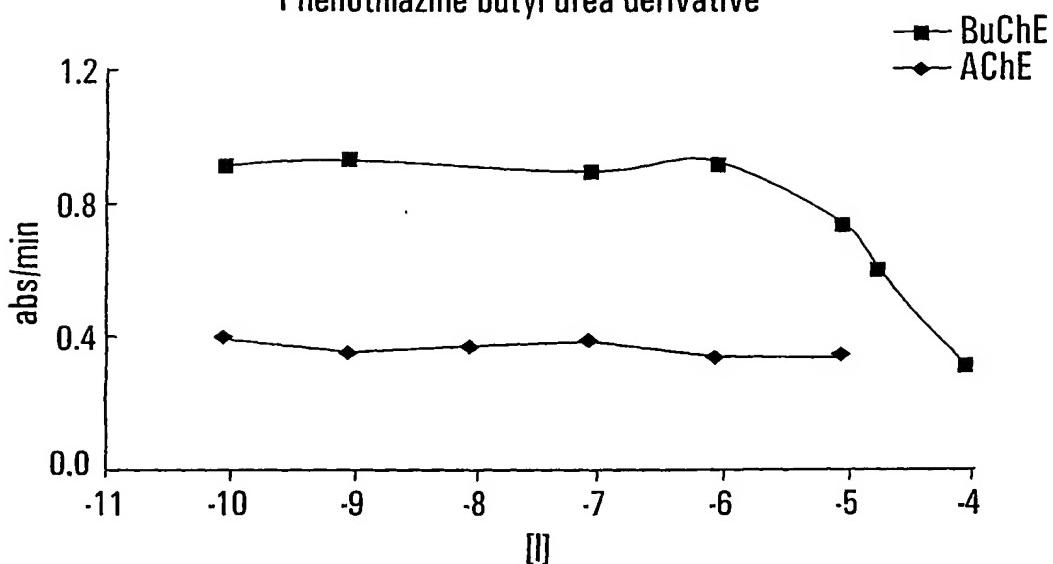


FIG. 8A

K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + Phenothiazine butyl urea derivative

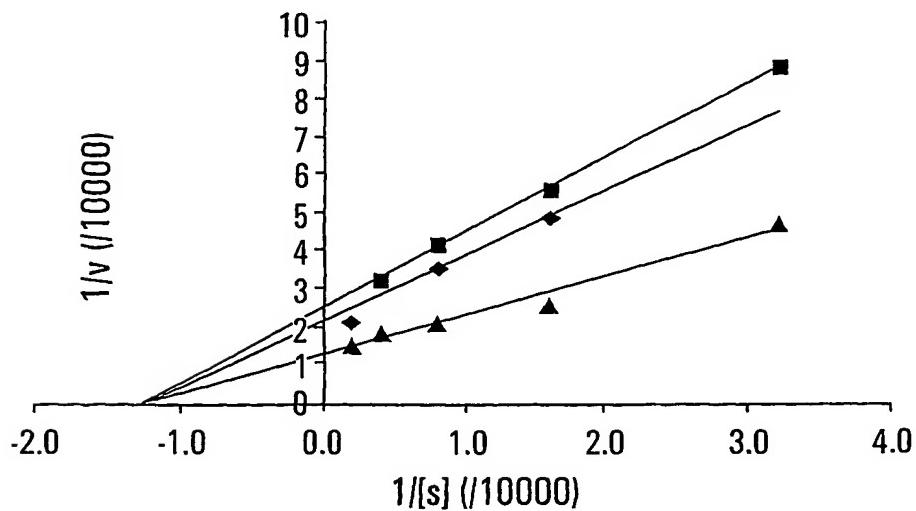


FIG. 8B

16/47

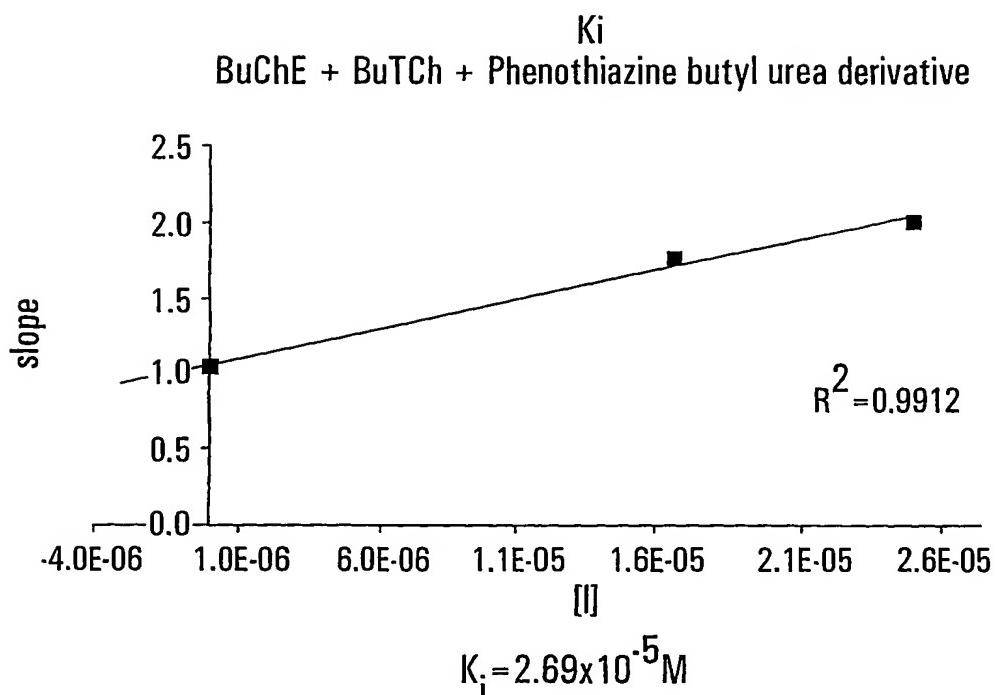


FIG. 8C

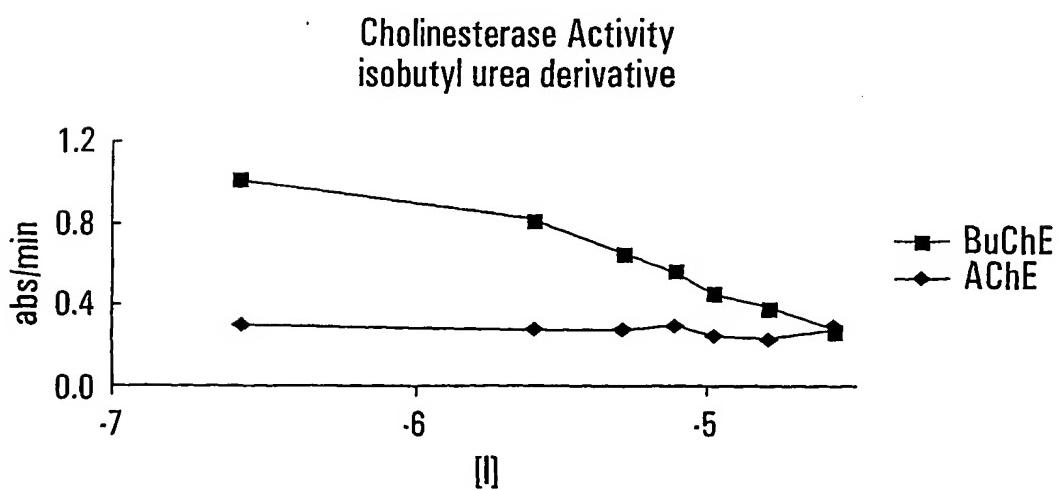


FIG. 9A

17/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Isobutyl urea derivative of Phenothiazine**

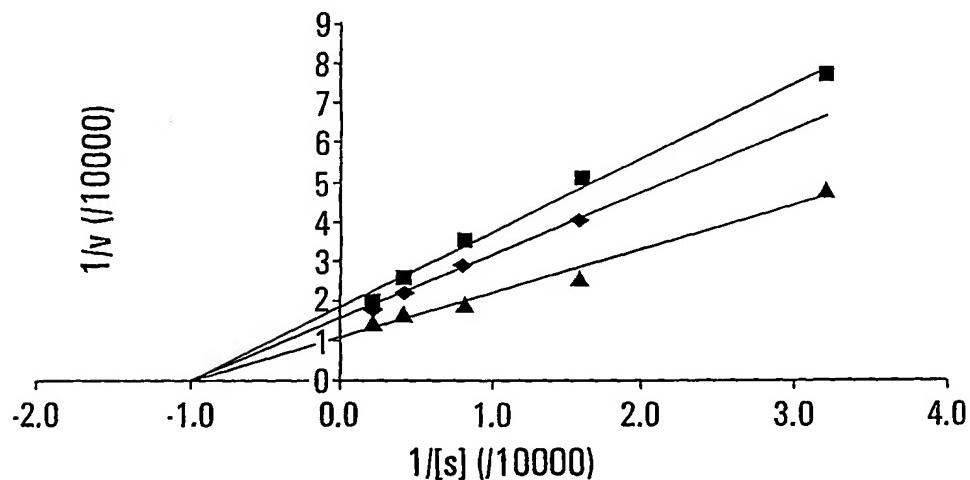


FIG. 9B

**K<sub>i</sub>**  
**BuChE + BuTCh + Isobutyl urea derivative of Phenothiazine**

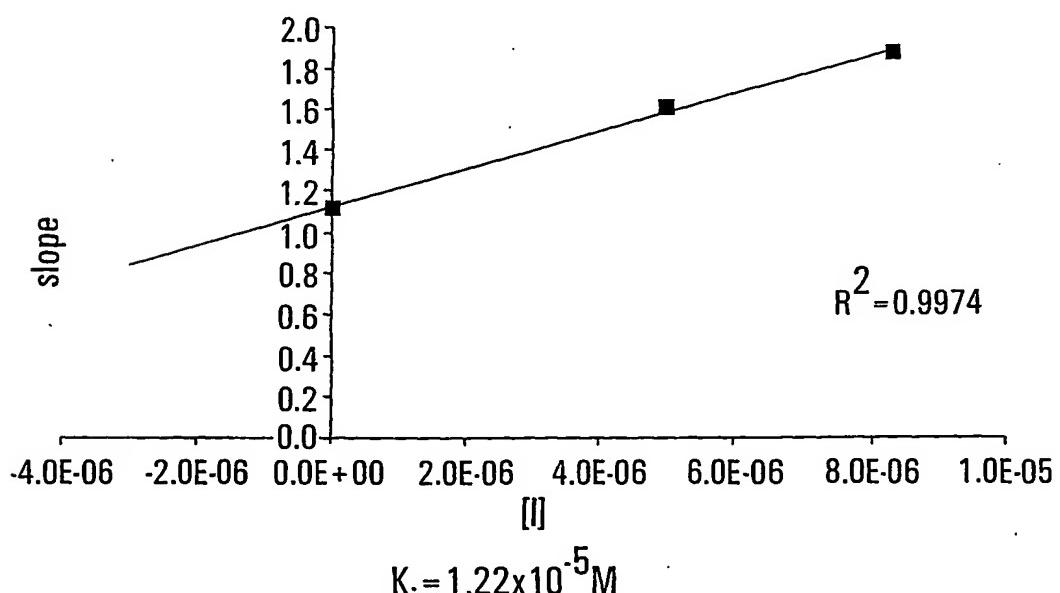


FIG. 9C

18/47

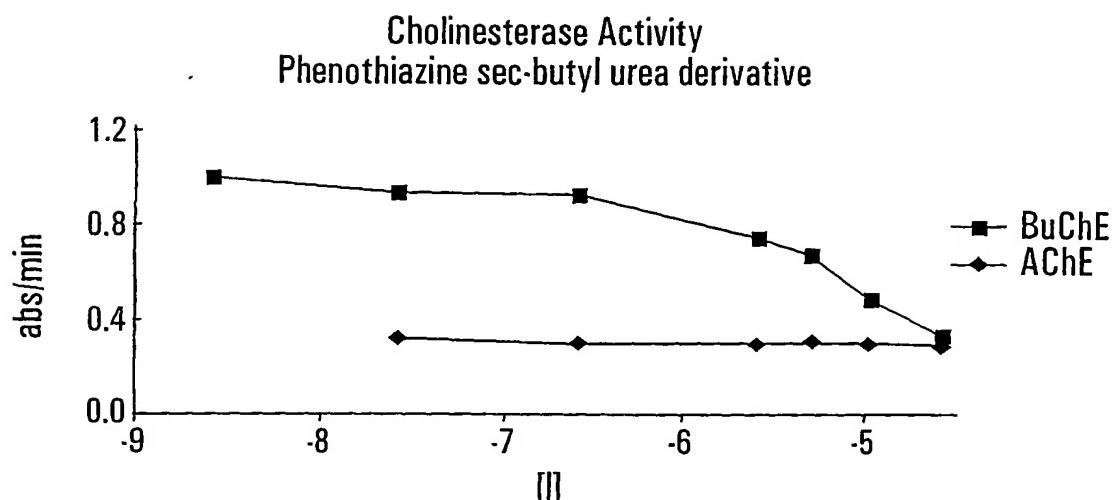


FIG. 10A

K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + sec-butyl urea derivative

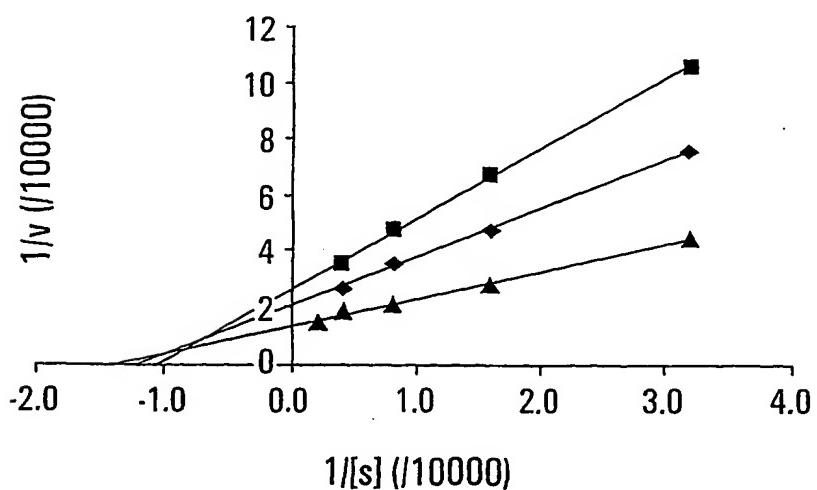


FIG. 10B

19/47

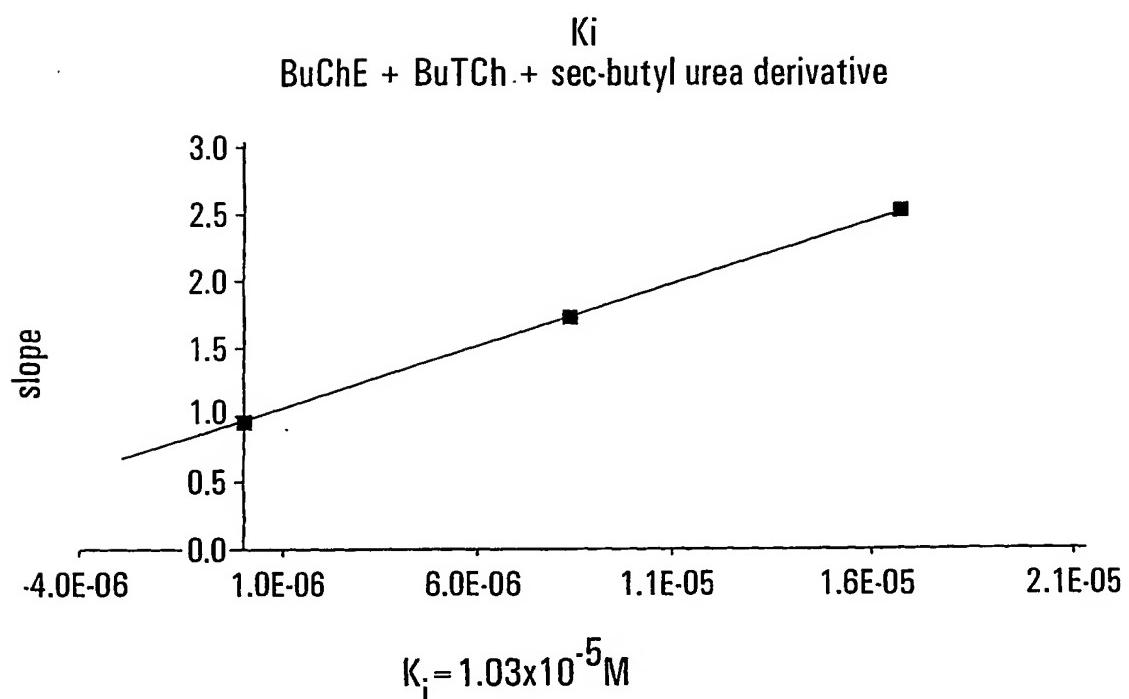


FIG. 10C

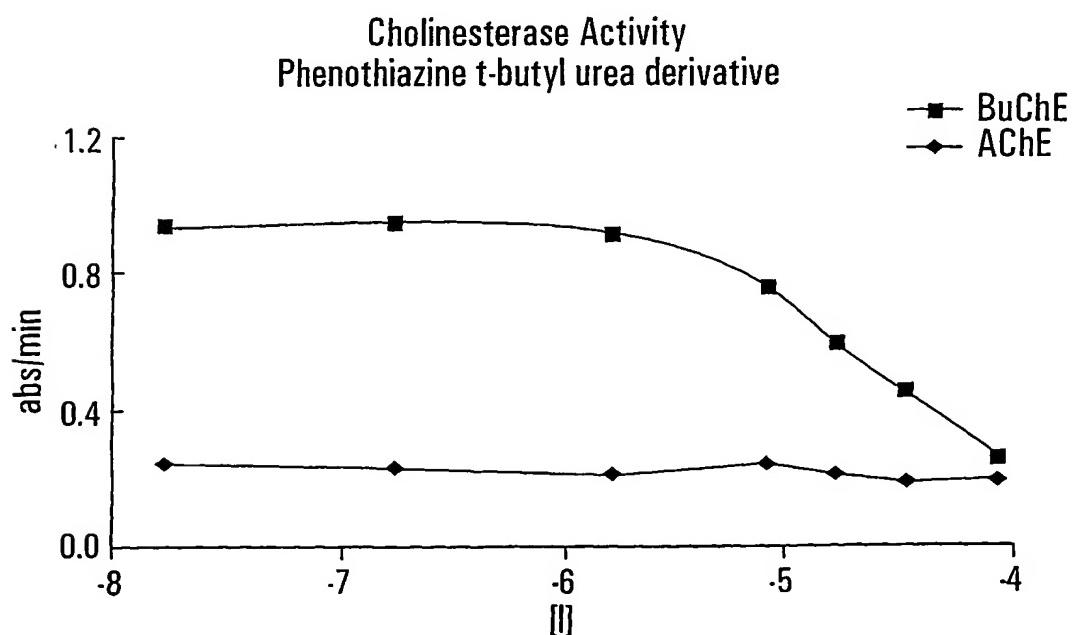


FIG. 11A

20/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Phenothiazine tert-butyl urea derivative**

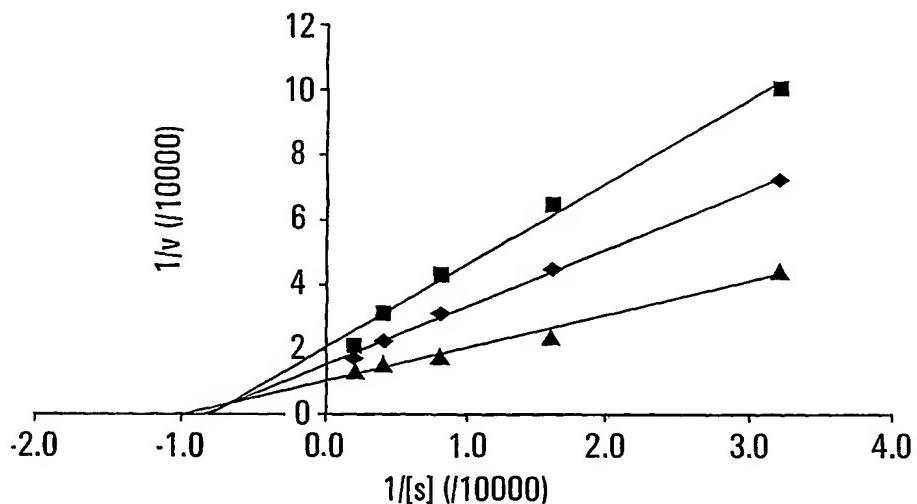


FIG. 11B

**K<sub>i</sub>**  
**BuChe + BuTCh + Phenothiazine tert-butyl urea derivative**

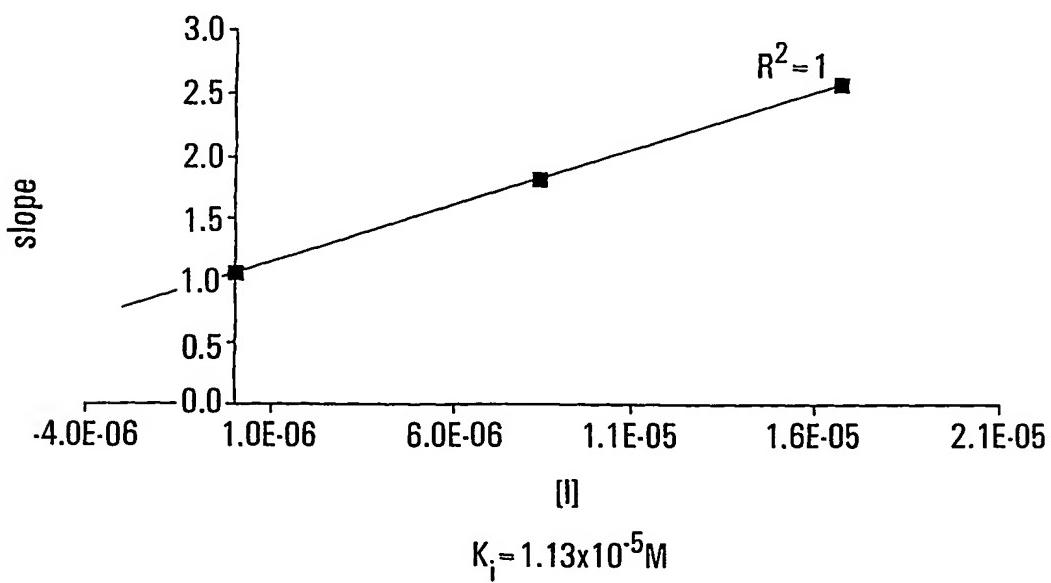


FIG. 11C

21/47

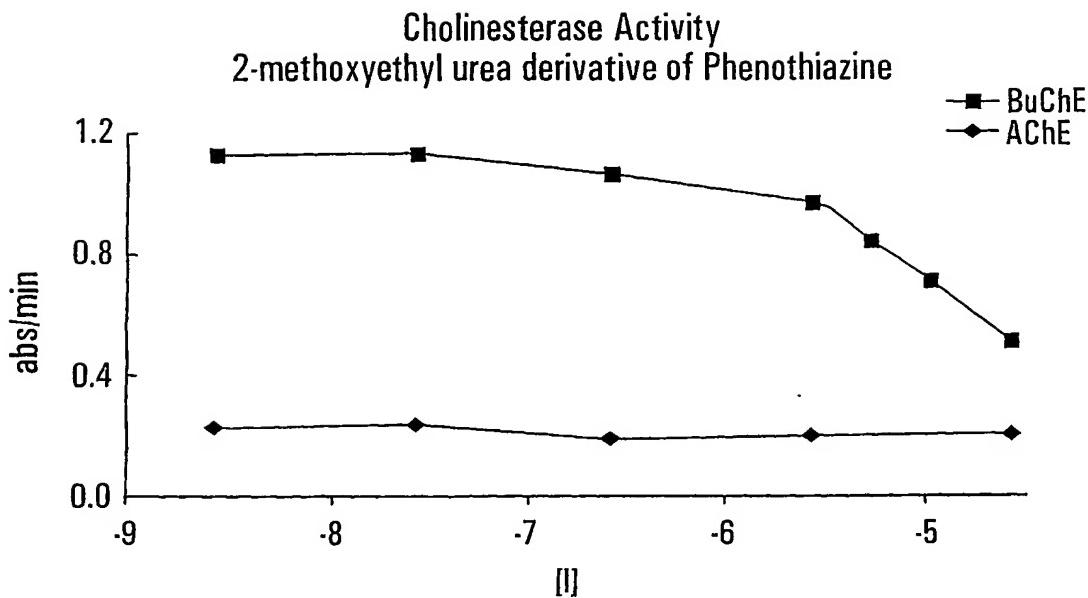


FIG. 12A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + 2-methoxyethyl urea derivative of Phenothiazine**

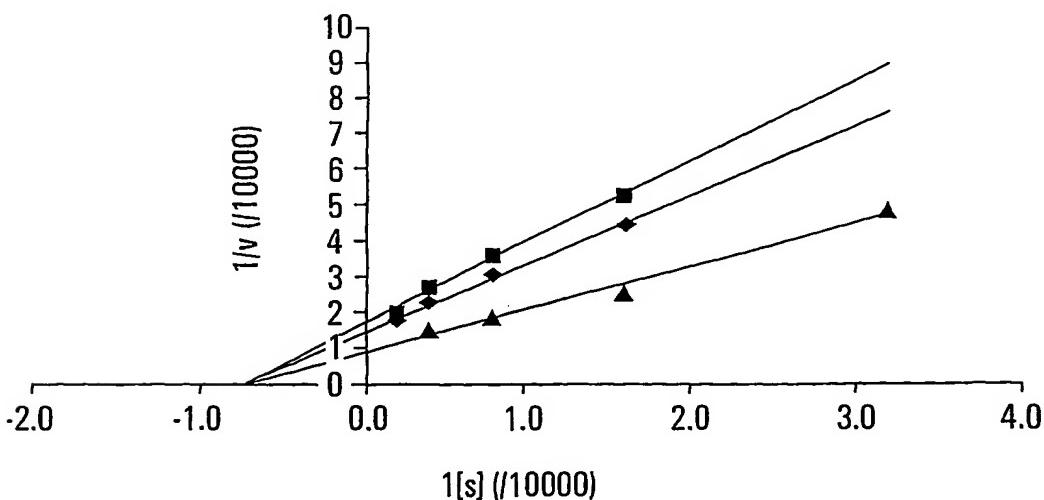


FIG. 12B

22/47

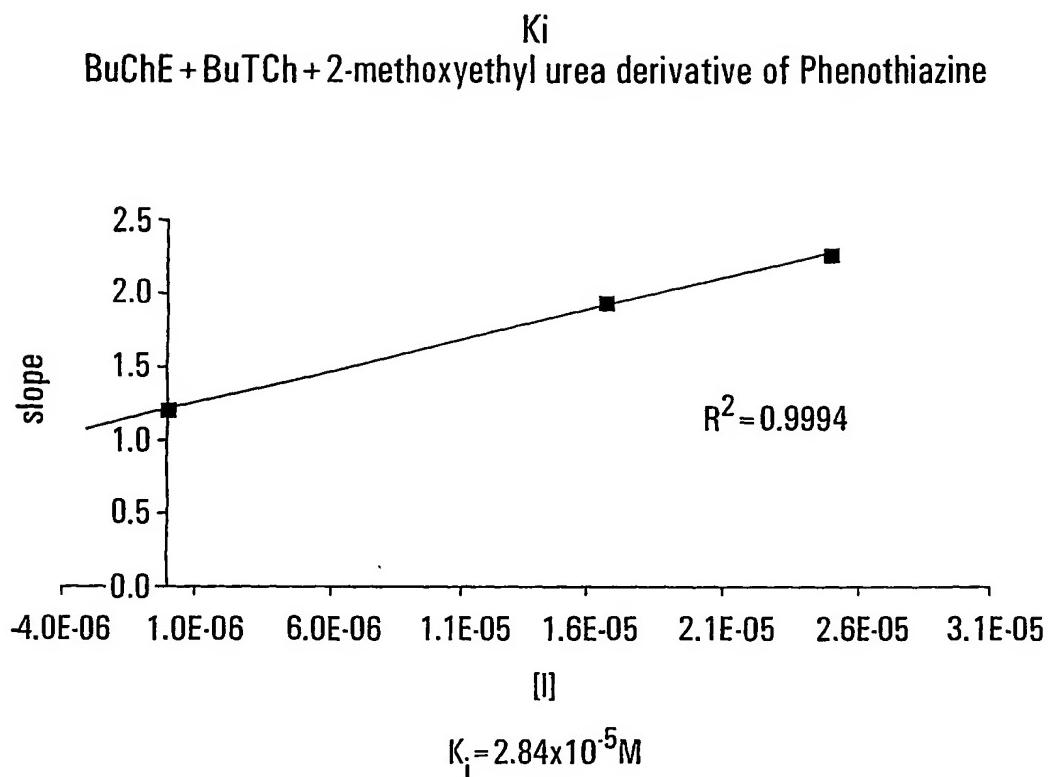


FIG. 12C

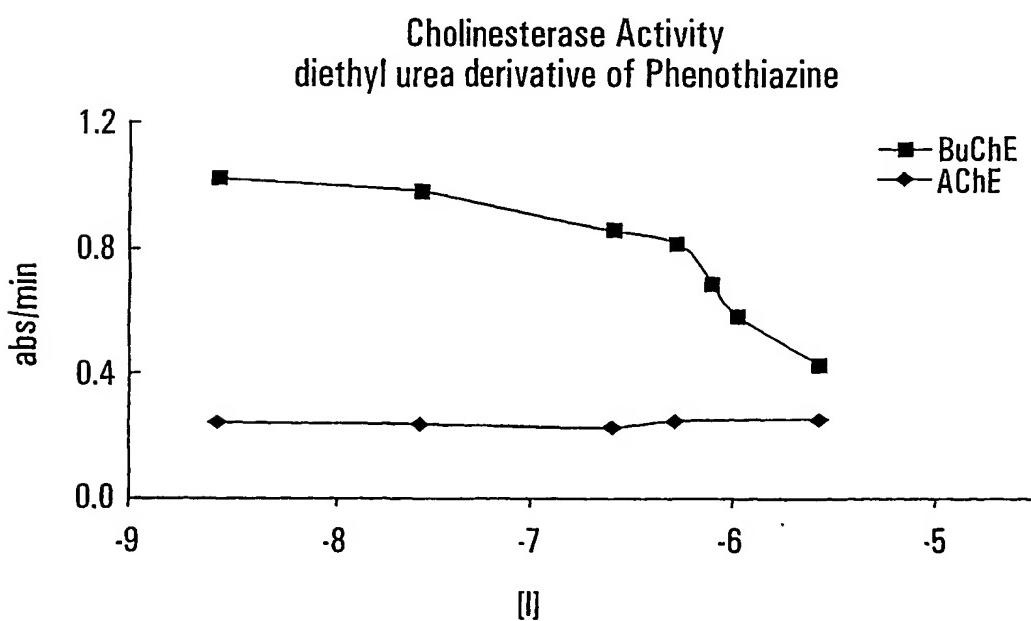


FIG. 13A

23/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + diethyl urea derivative of Phenothiazine**

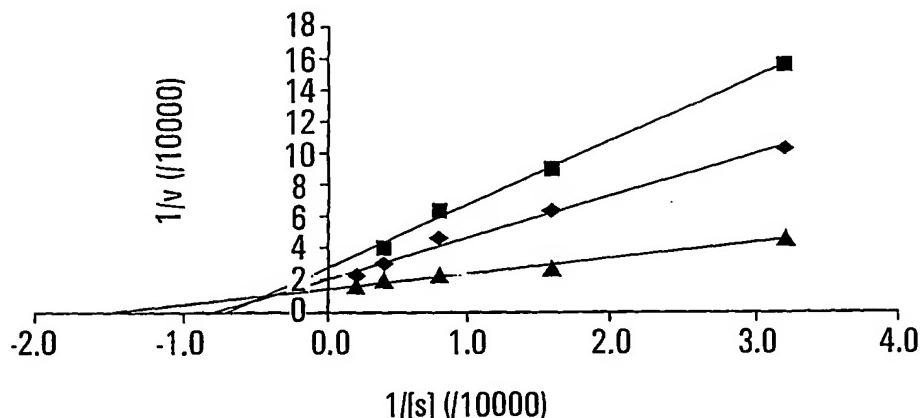


FIG. 13B

**K<sub>i</sub>**  
**BuChE + BuTCh + diethyl urea derivative of Phenothiazine**

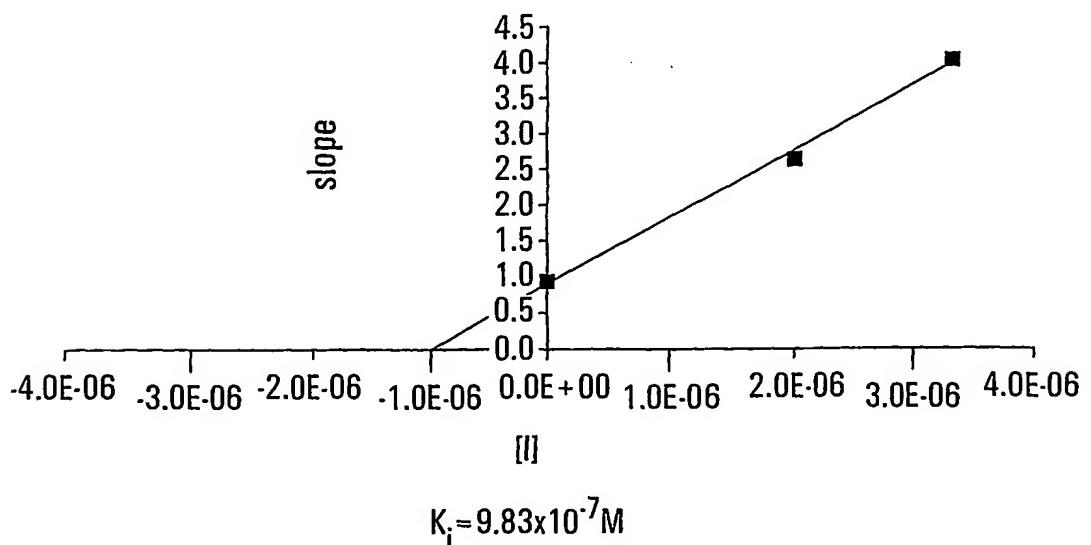


FIG. 13C

24/47

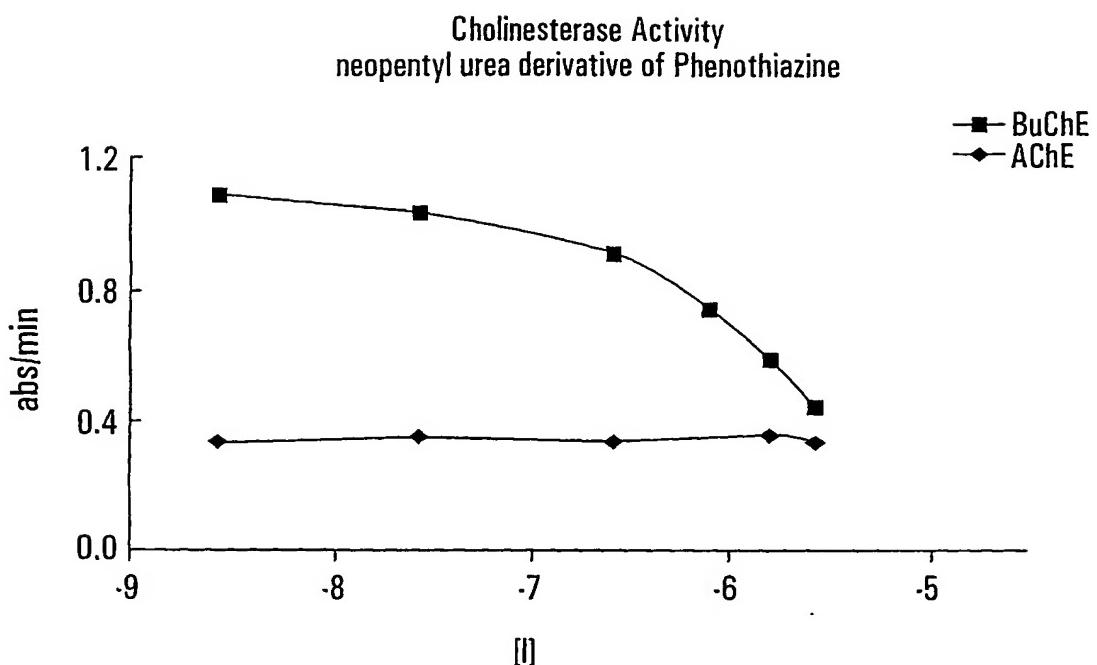


FIG. 14A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + neopentyl urea derivative of Phenothiazine**

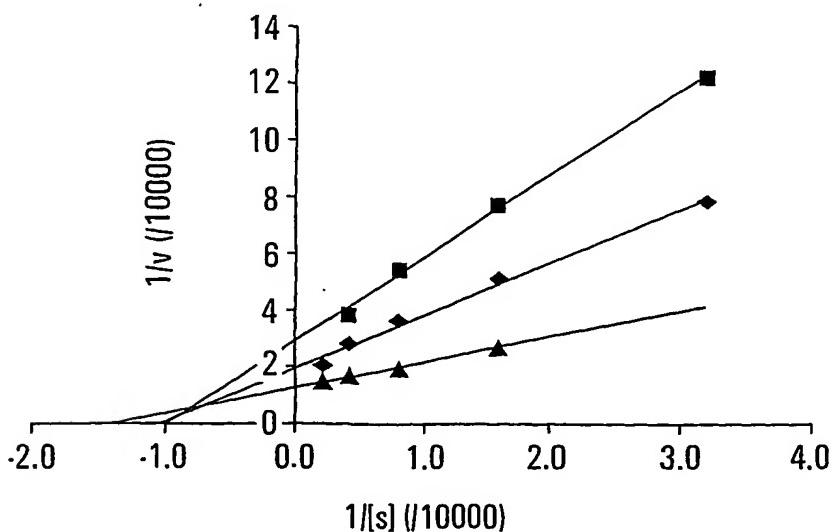


FIG. 14B

25/47

$K_i$   
BuChE + BuTCh + neopentyl urea derivative of Phenothiazine

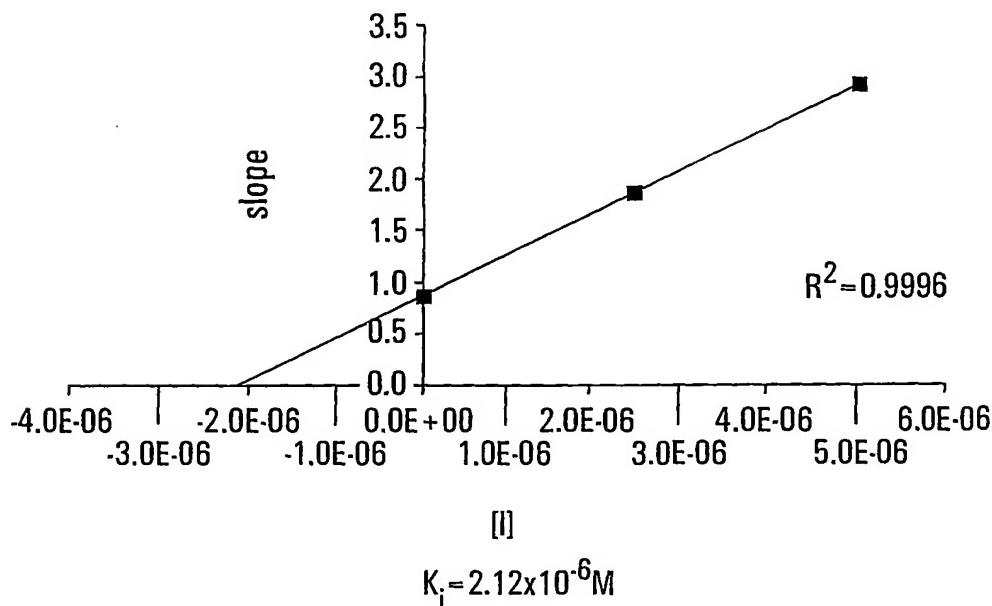


FIG. 14C

Cholinesterase Activity  
pyrrolidine urea derivative of Phenothiazine

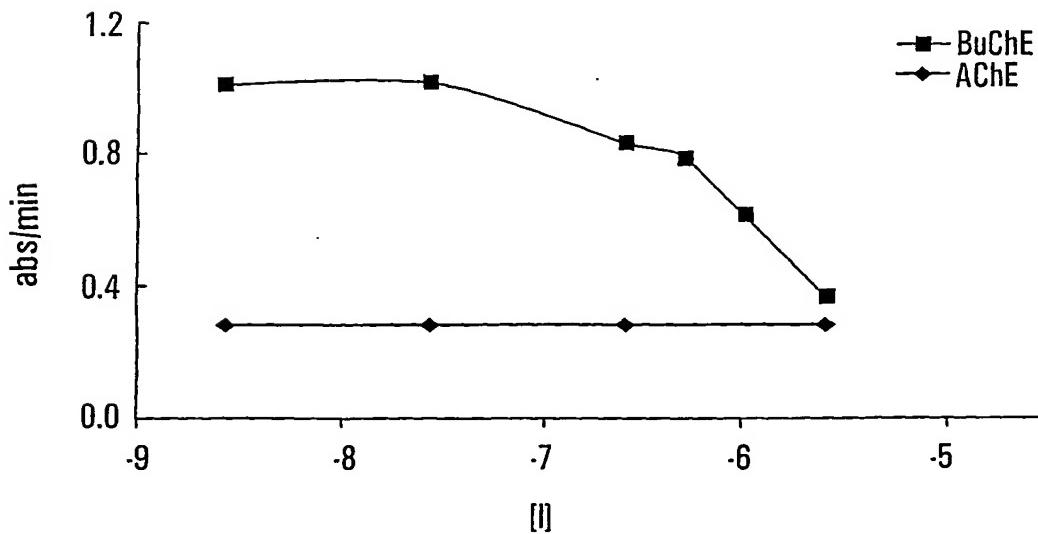


FIG. 15A

26/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Pyrrolidine urea derivative of Phenothiazine**

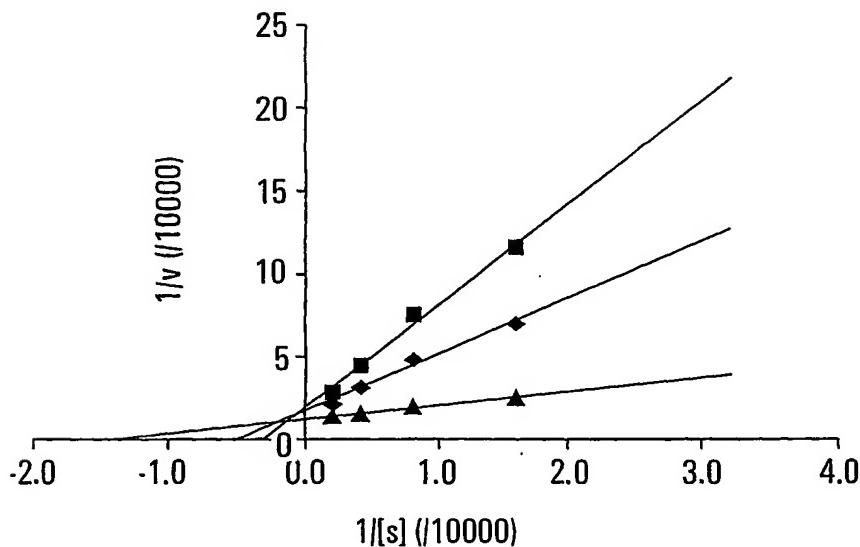


FIG. 15B

**K<sub>i</sub>**  
**BuChe + BuTCh + pyrrolidine urea derivative of Phenothiazine**

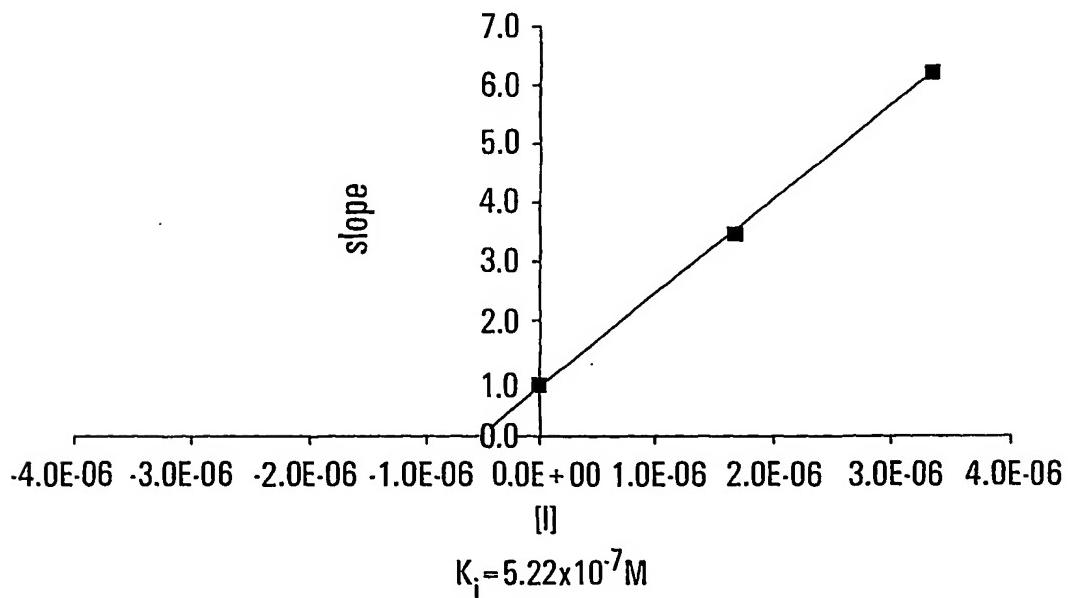


FIG. 15C

27/47

**Cholinesterase Activity  
piperidine urea derivative of Phenothiazine**

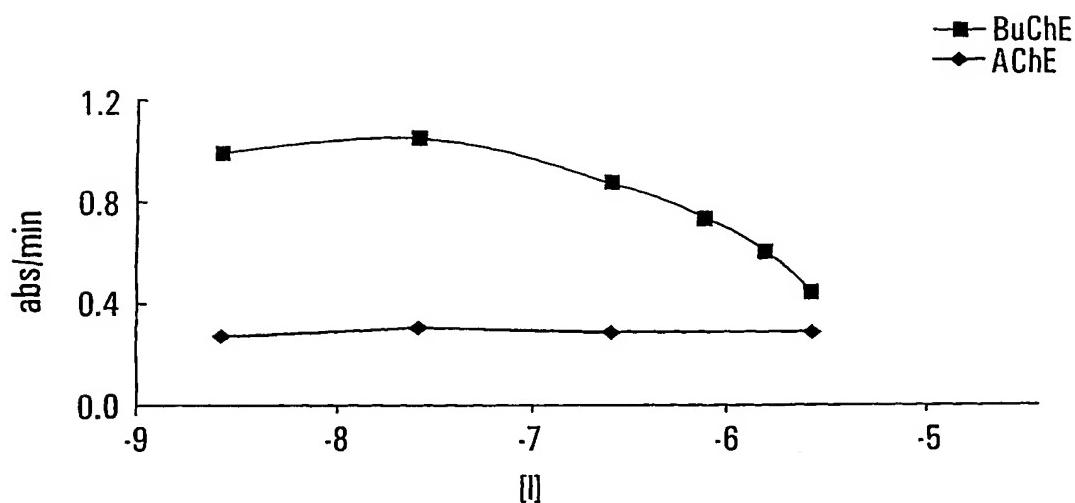


FIG. 16A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + piperidine urea derivative of Phonethiazine**

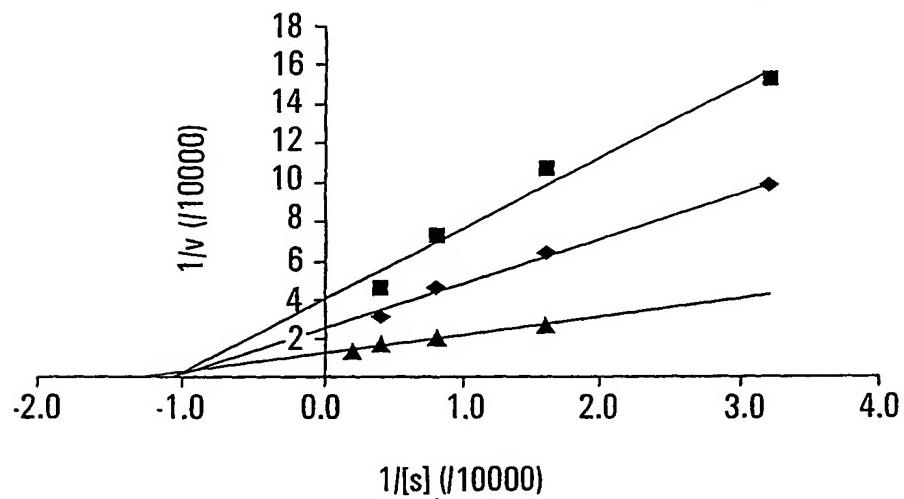


FIG. 16B

28/47

$K_i$   
 BuChE + BuTCh + piperidine urea derivative of Phenothiazine

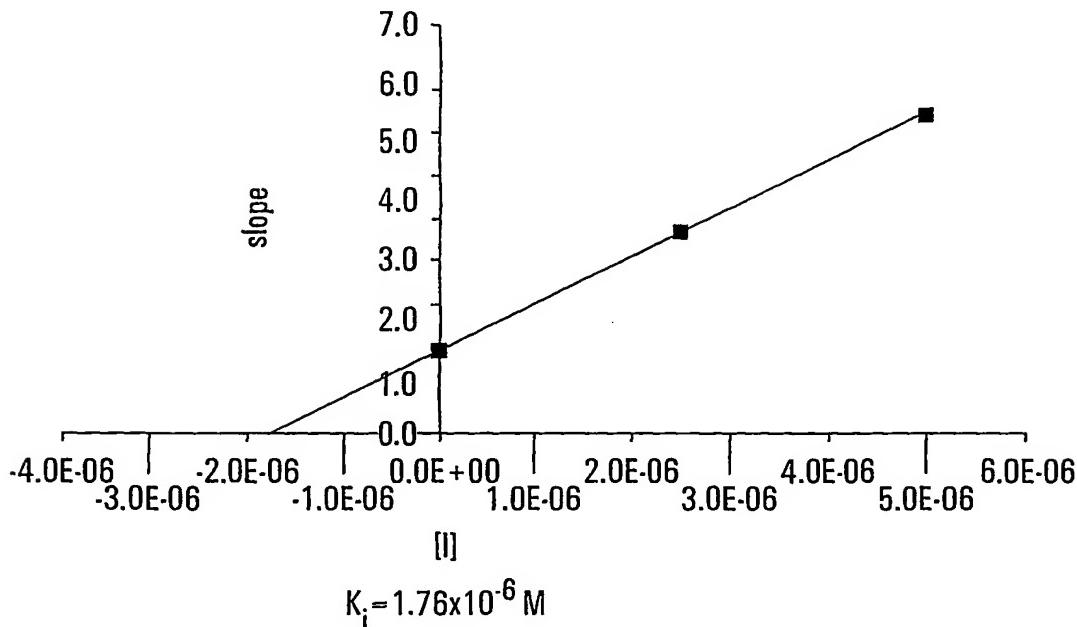


FIG. 16C

Cholinesterase Activity  
 cyclohexyl urea derivative of Phenothiazine

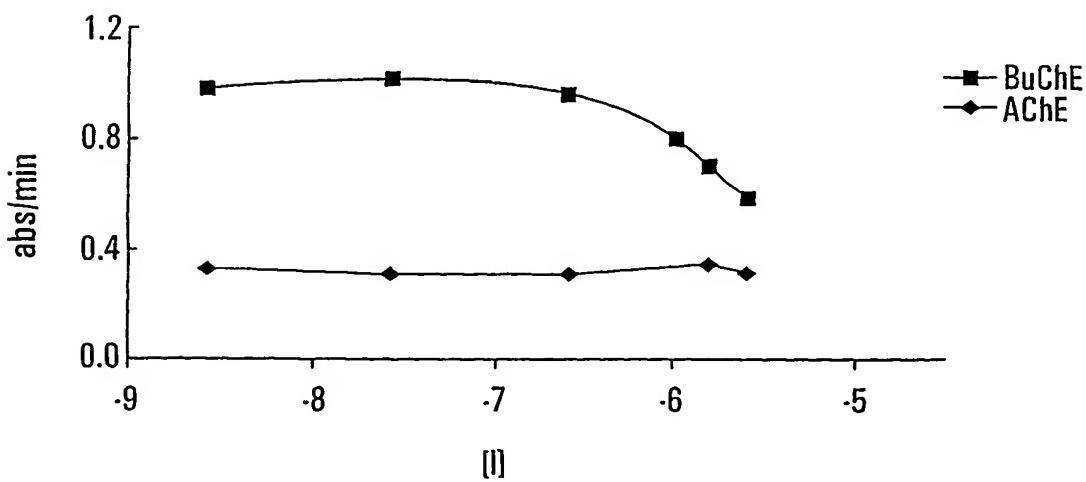


FIG. 17A

29/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + cyclohexyl urea derivative of Phenothiazine**

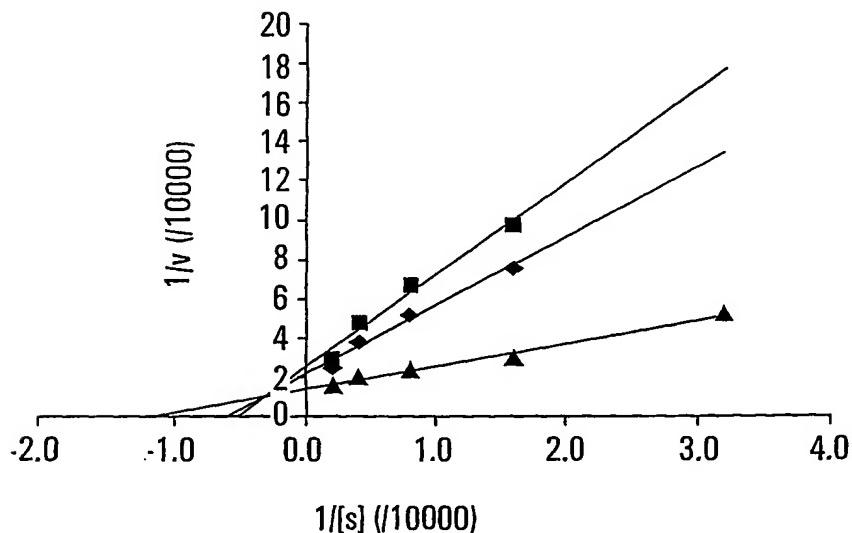


FIG. 17B

**K<sub>i</sub>**  
**BuChE + BuTCh + cyclohexyl urea derivative of Phenothiazine**

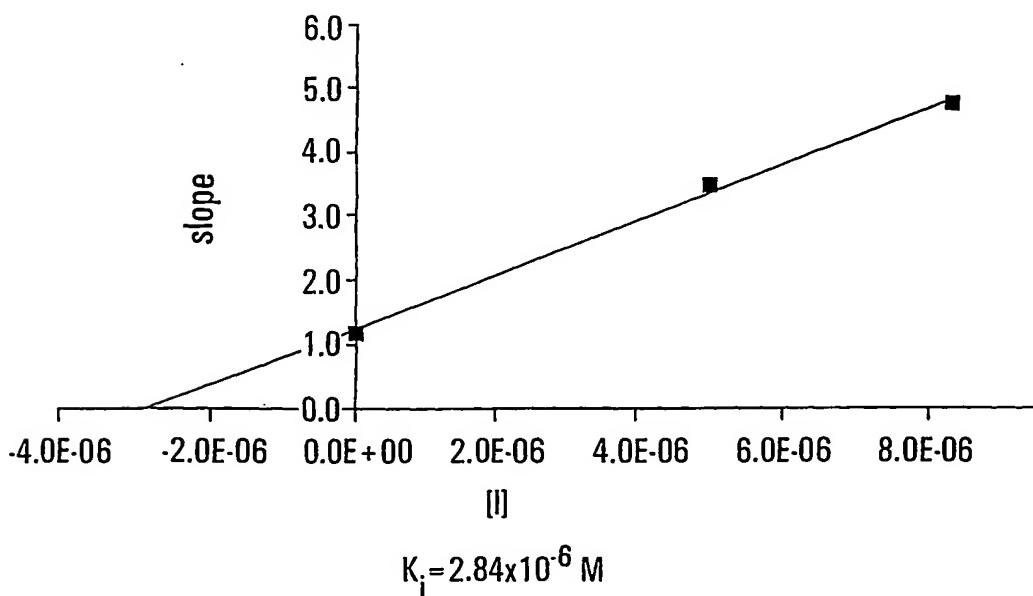


FIG. 17C

30/47

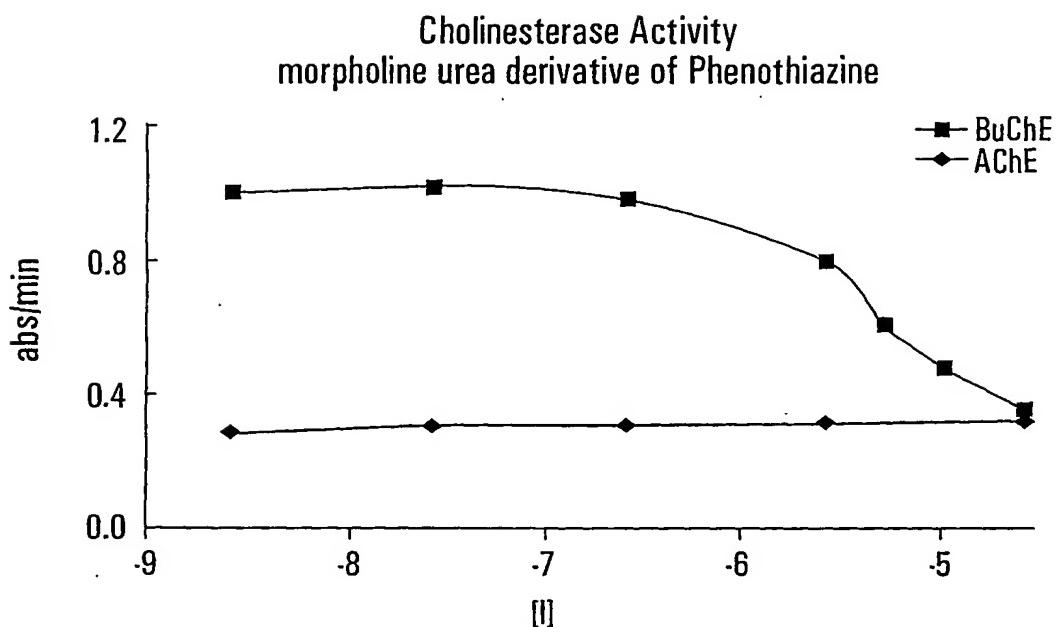


FIG. 18A

**K<sub>m</sub> & V<sub>max</sub>  
BuChE + BuTCh + morpholine urea derivative of Phenothiazine**

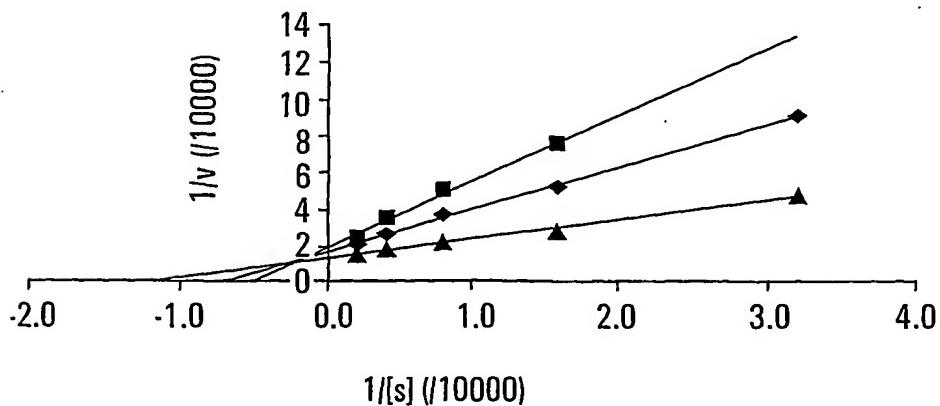


FIG. 18B

31/47

**K<sub>i</sub>**  
**BuChE + BuTCh + morpholine urea derivative of Phenothiazine**

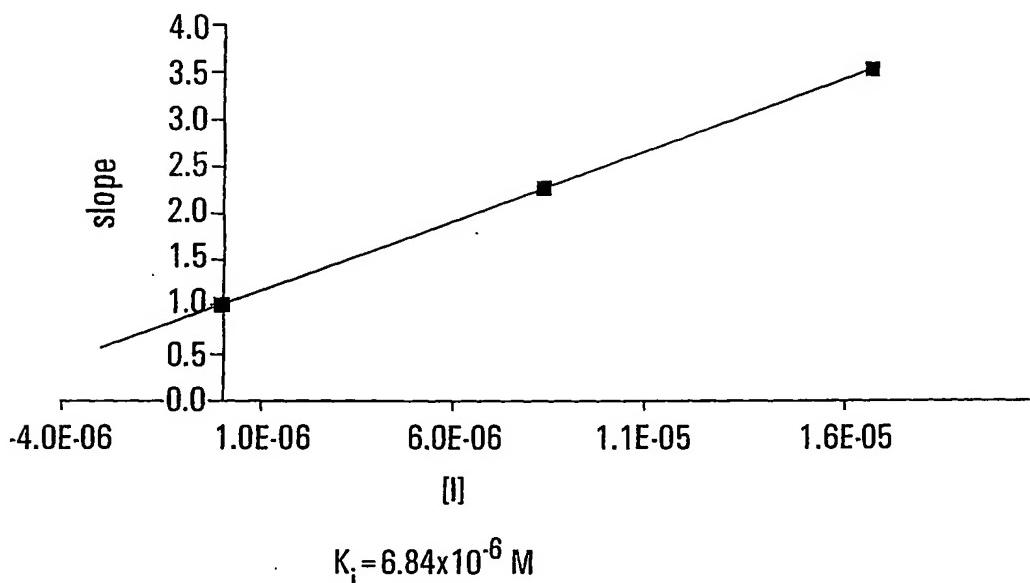


FIG. 18C

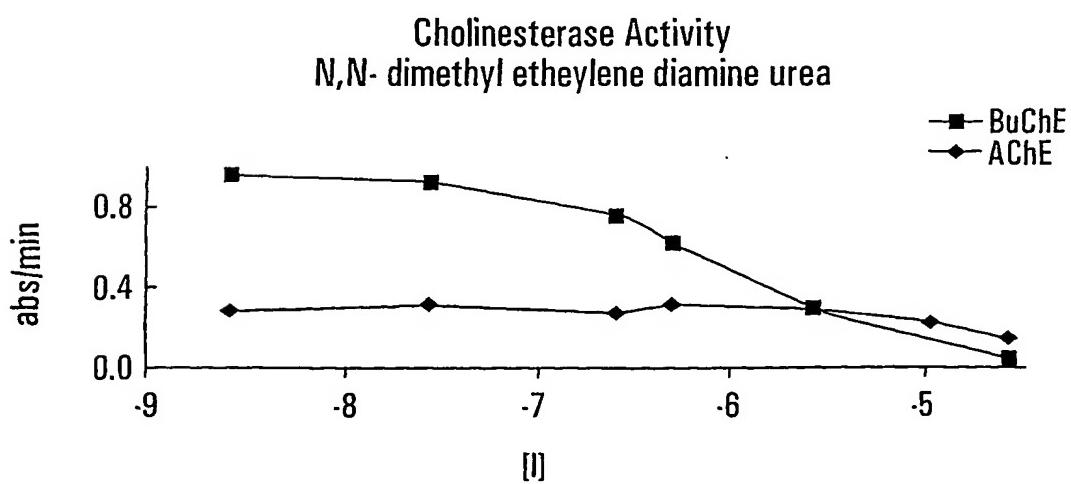


FIG. 19A

32/47

**K<sub>m</sub> & V<sub>max</sub>**  
**AChE + ATCh + N,N-dimethyl ethylene diamine urea derivative of Phenothiazine**

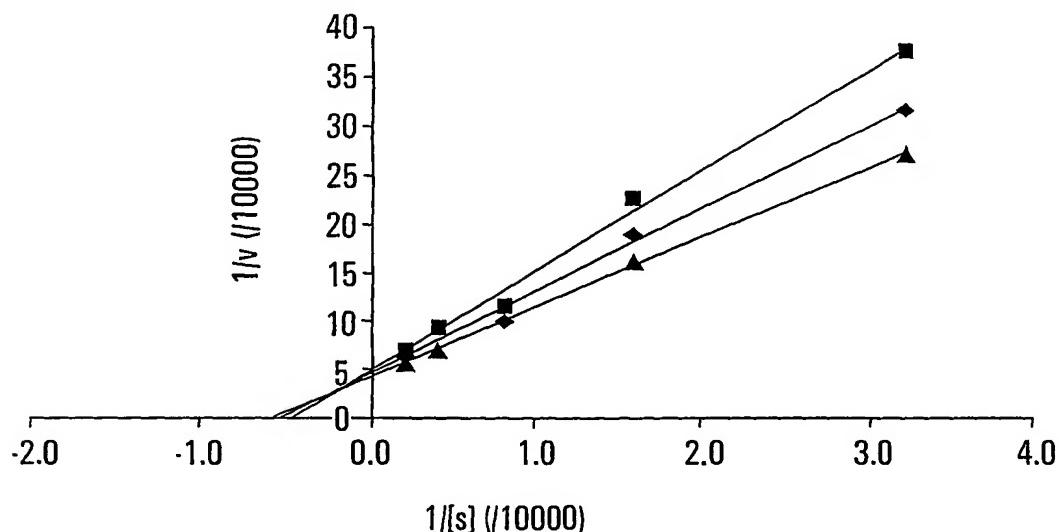


FIG. 19B

**K<sub>i</sub>**  
**AChE + ATCh + N,N-dimethyl ethylene diamine urea derivative of Phenothiazine**

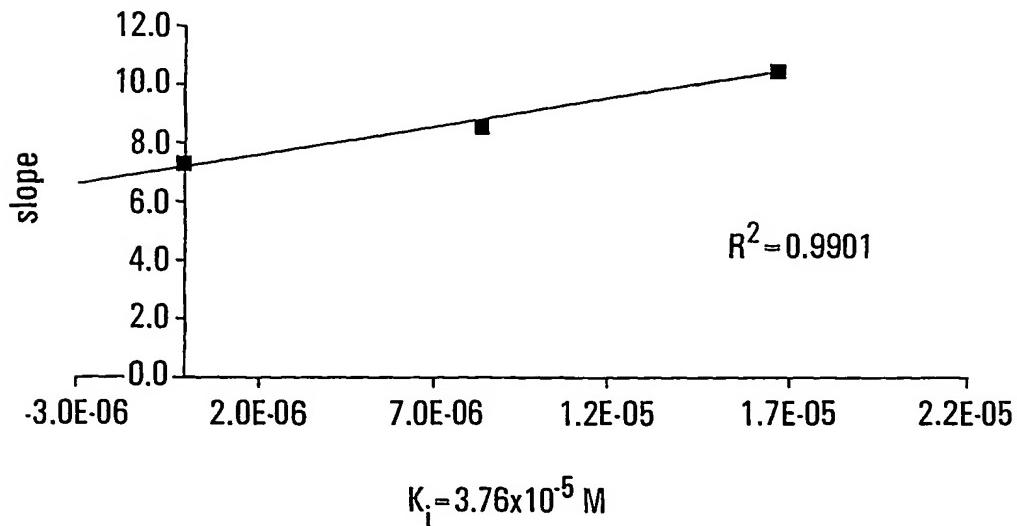


FIG. 19C

33/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + N,N-dimethyl ethylene diamine urea**

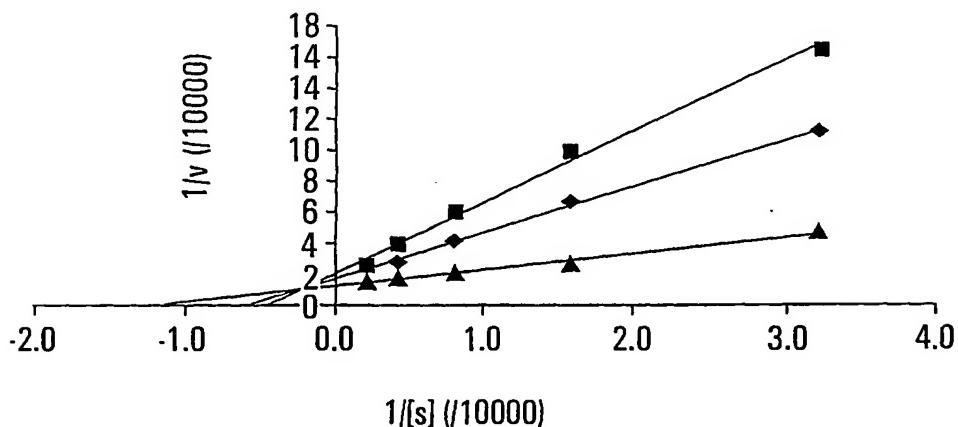


FIG. 19D

**K<sub>i</sub>**  
**BuChE + BuTCh + N,N-dimethyl ethylene diamine urea**

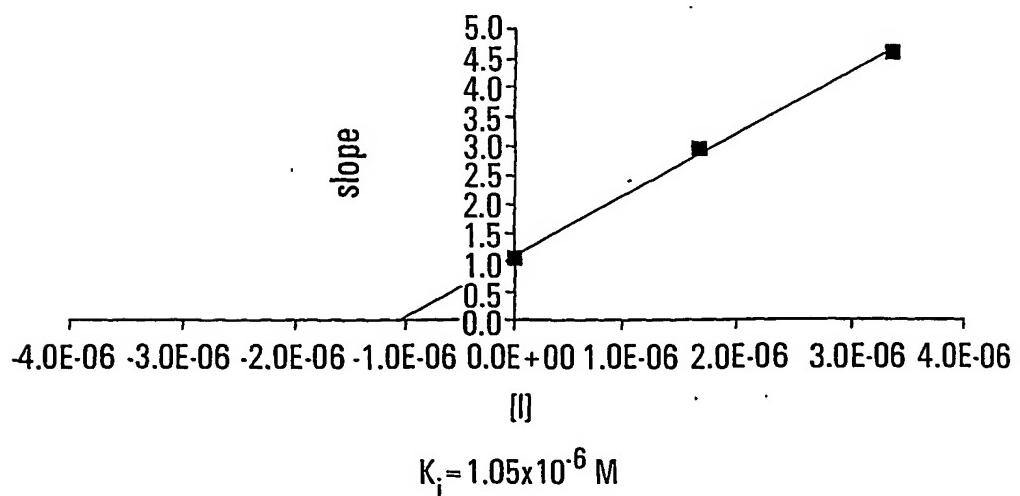


FIG. 19E

34/47

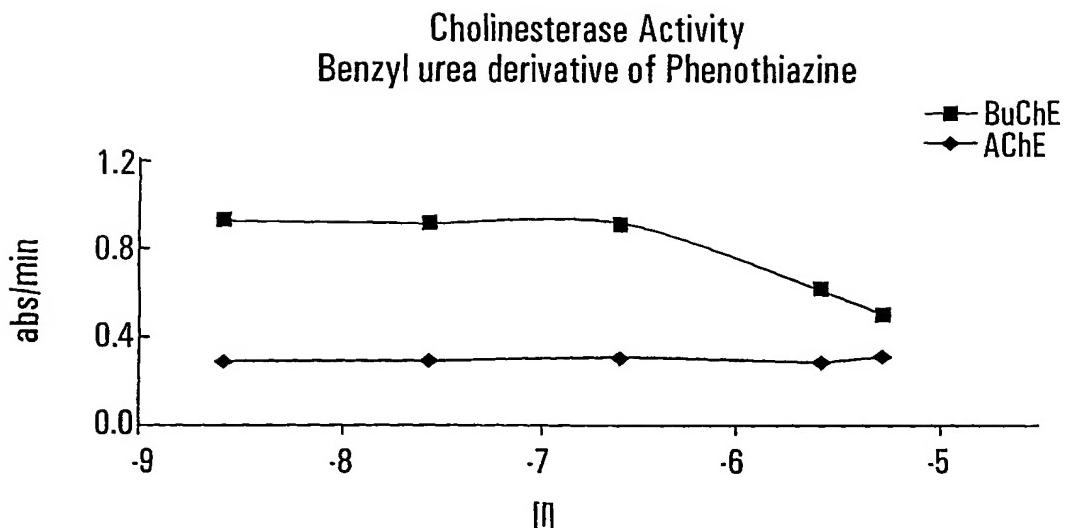


FIG. 20A

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Benzyl urea derivative of Phenothiazine**

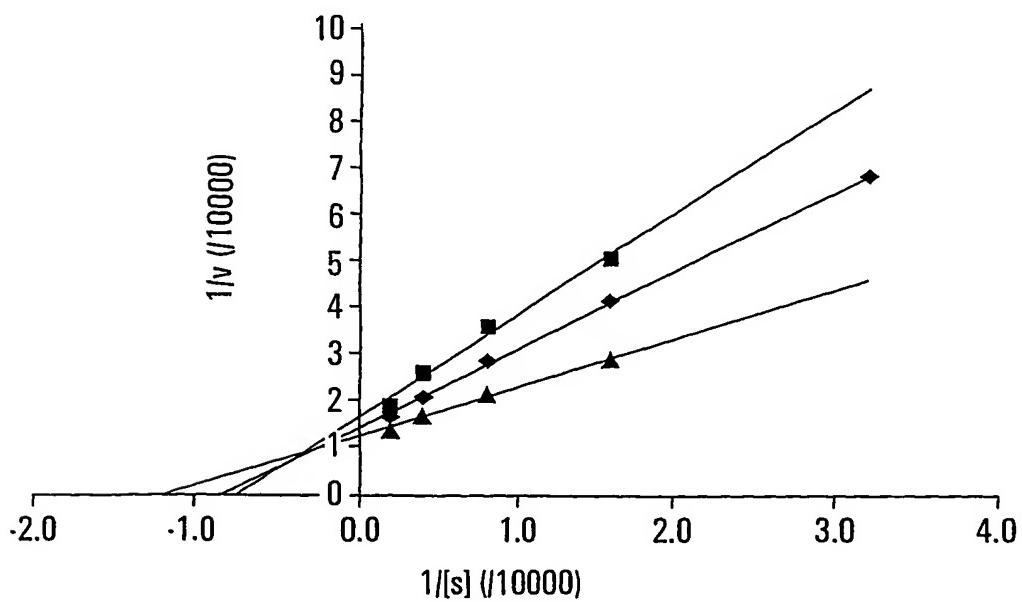


FIG. 20B

35/47

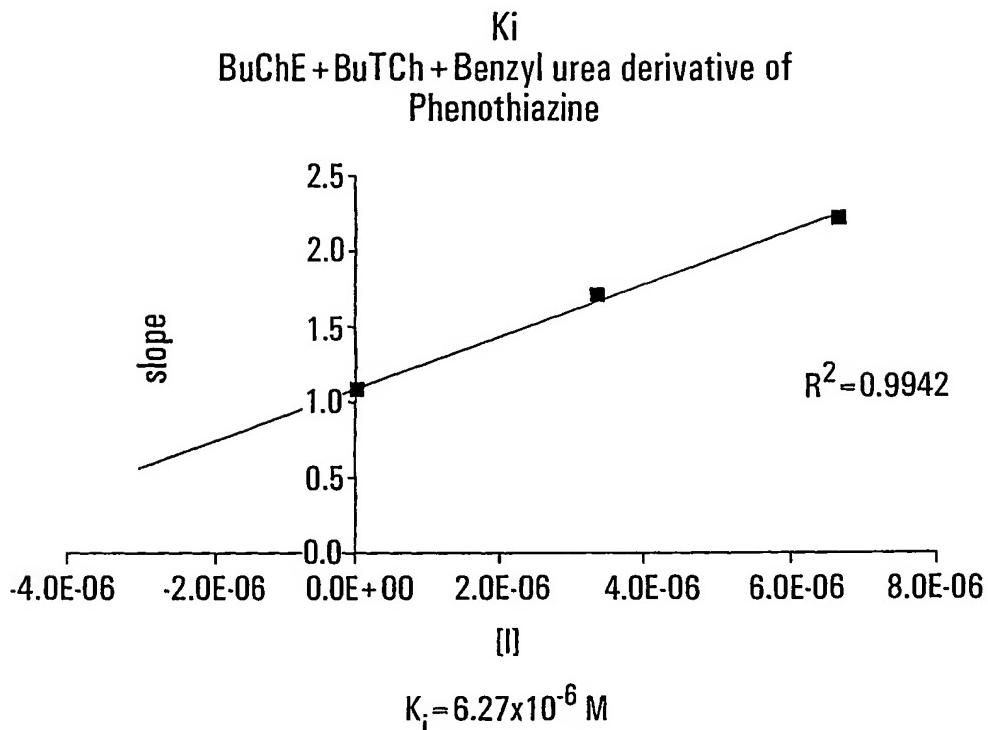


FIG. 20C

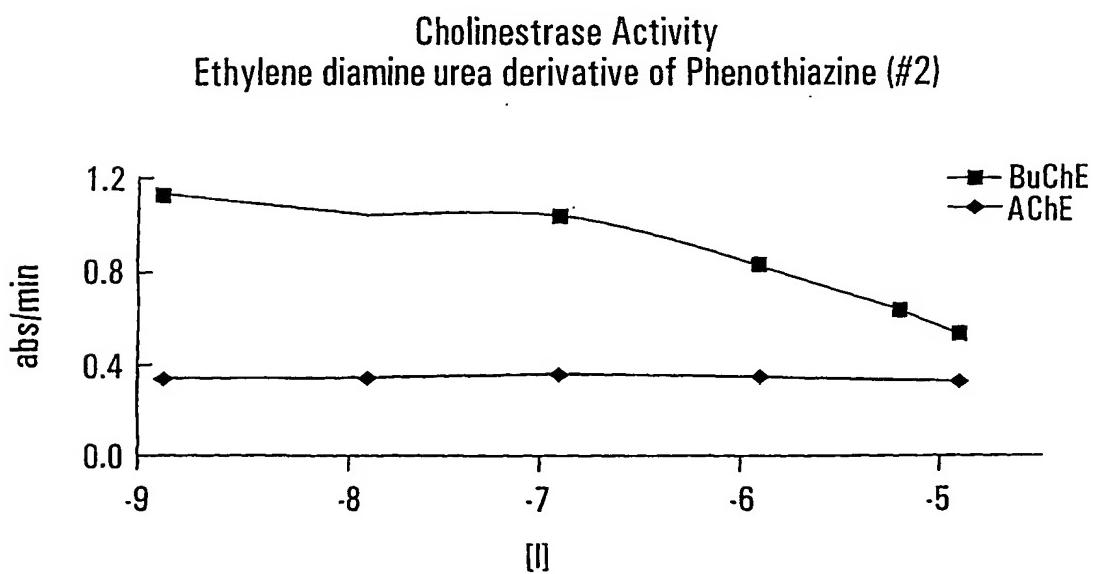


FIG. 21A

36/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + Ethylene diamine urea derivative of Phenothiazine**

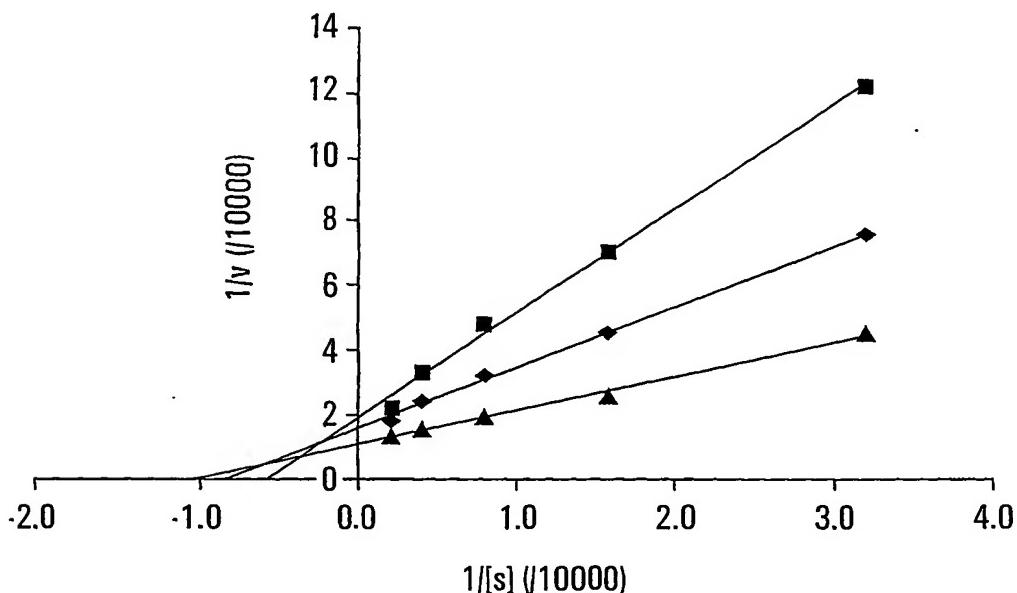


FIG. 21B

**K<sub>i</sub>**  
**BuChE + BuTCh + Ethylene diamine urea derivative of Phenothiazine (#2)**

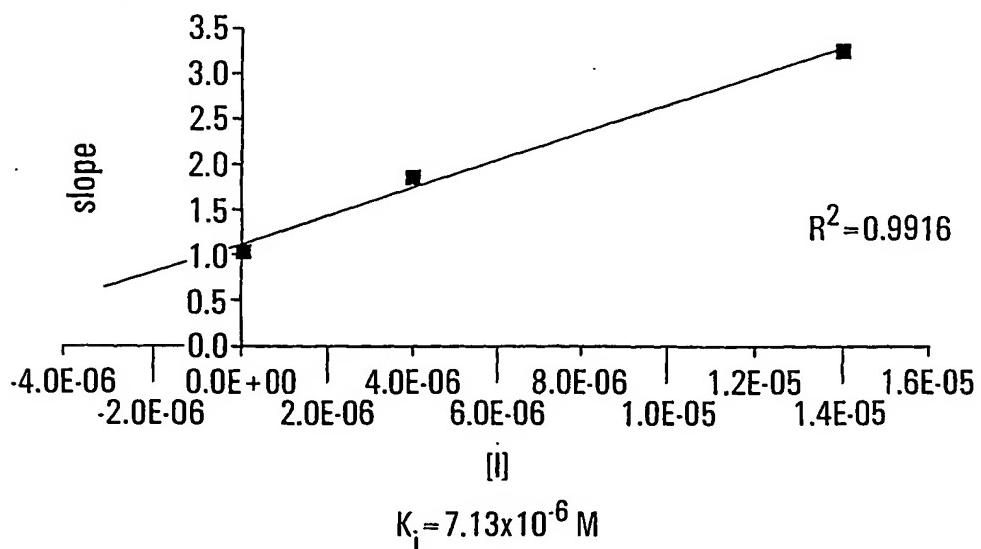


FIG. 21C

37/47

**Cholinesterase Activity**  
**Ethylene diamine urea 2:1 derivative of Phenothiazine**

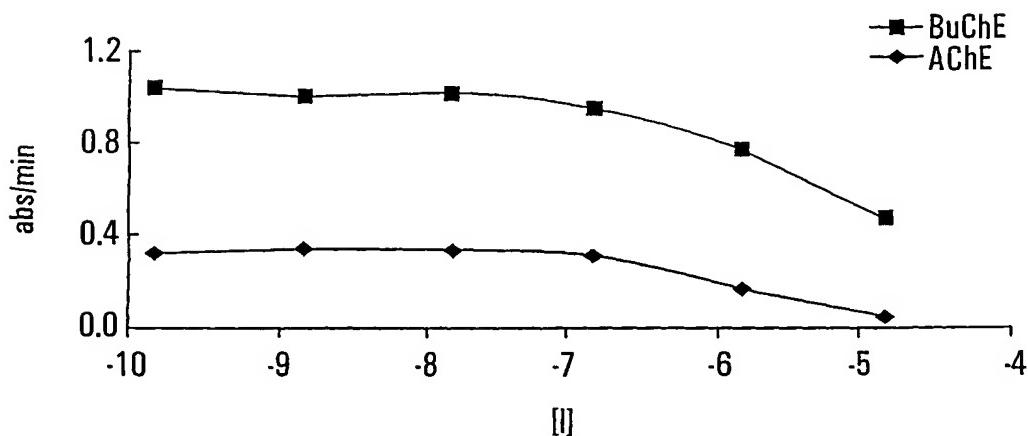


FIG. 22A

**K<sub>m</sub> & V<sub>max</sub>**  
**AChE + ATCh + Ethylene diamine urea 2:1 derivative of Phenothiazine**

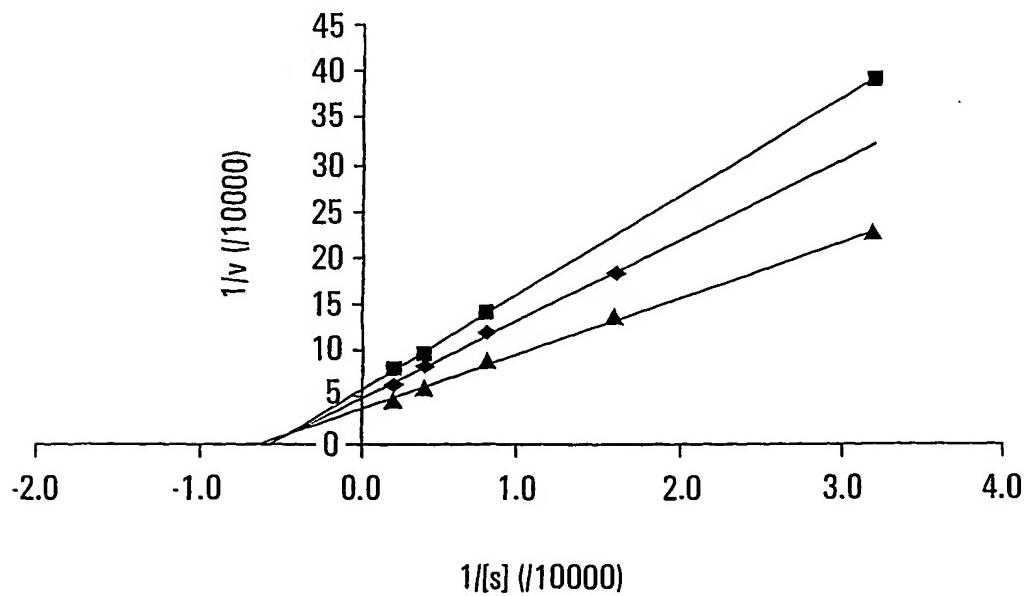


FIG. 22B

38/47

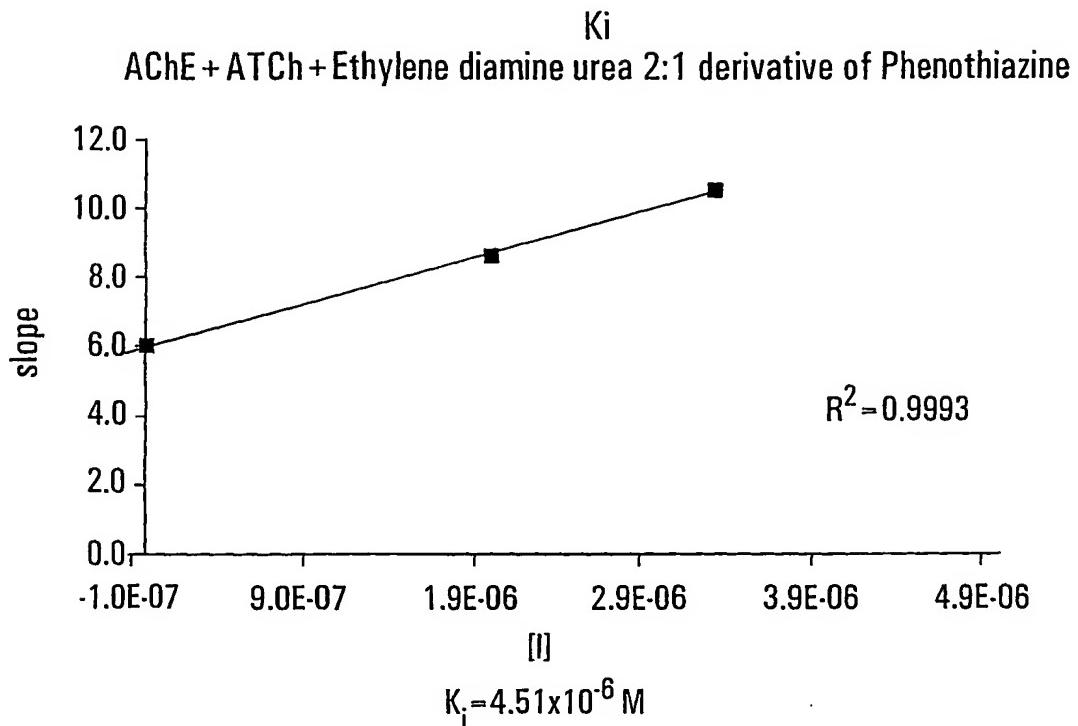


FIG. 22C

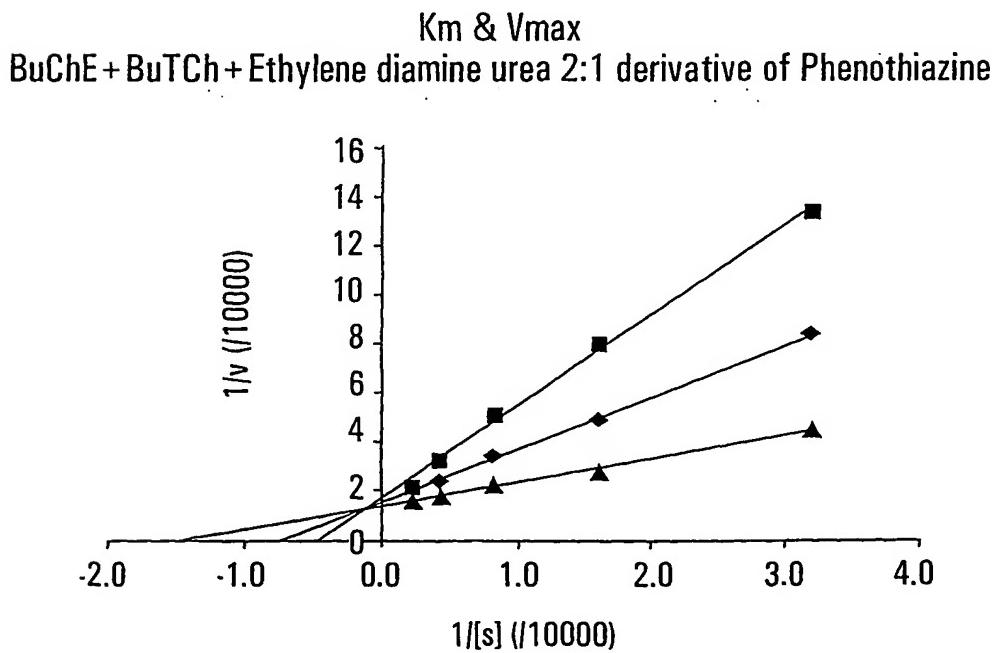


FIG. 22D

39/47

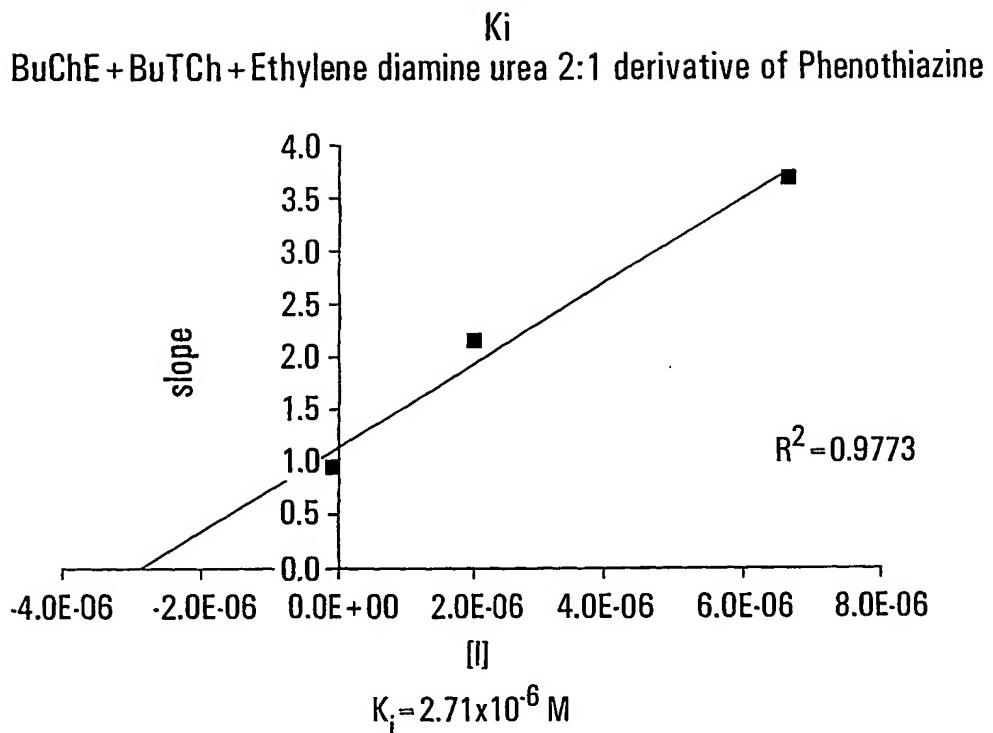


FIG. 22E

Cholinesterase Activity  
N,N-diethyl ethylene diamine urea derivative of Phenothiazine

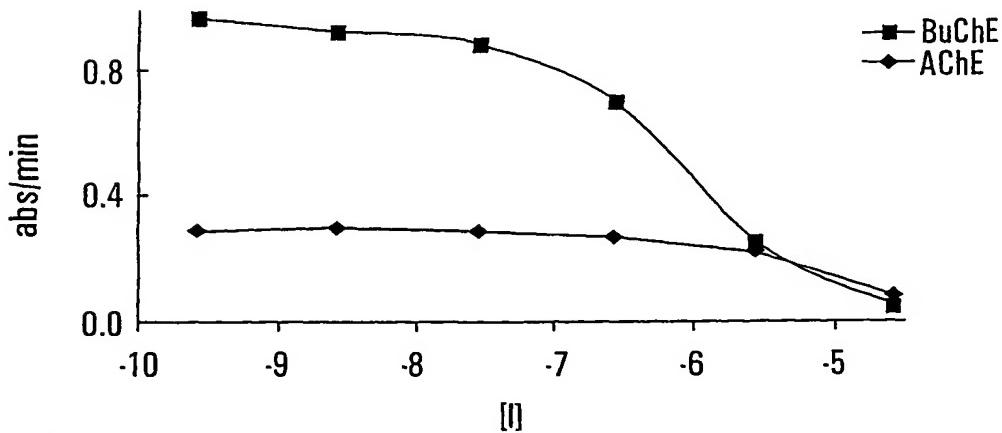


FIG. 23A

40/47

**K<sub>m</sub> & V<sub>max</sub>**  
**AChE + ATCh + N,N-diethyl ethylene diamine urea derivative of Phenothiazine**

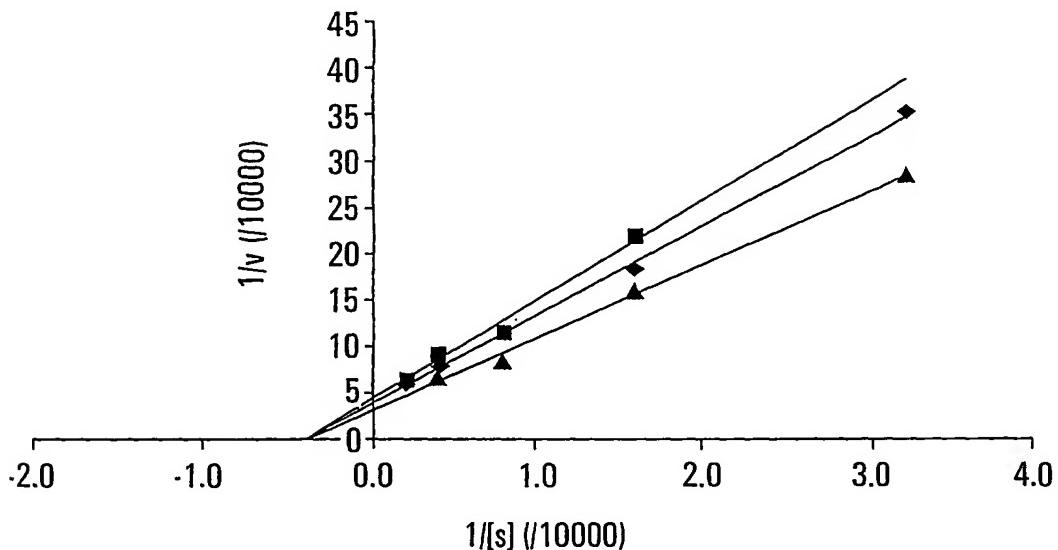


FIG. 23B

**K<sub>i</sub>**  
**AChE + ATCh + N,N-diethyl ethylene diamine urea derivative of Phenothiazine**

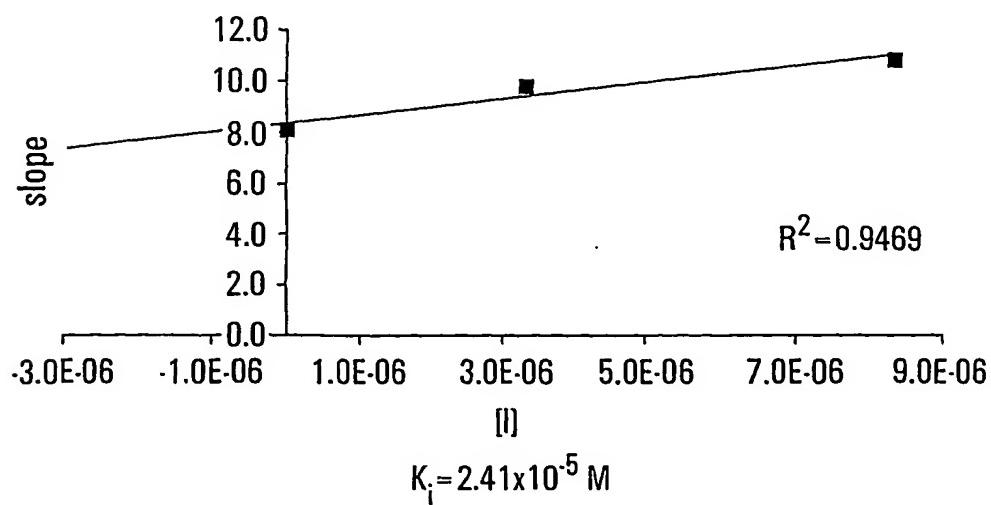


FIG. 23C

41/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + N,N-diethyl ethylene diamine urea derivative of Phenothiazine**

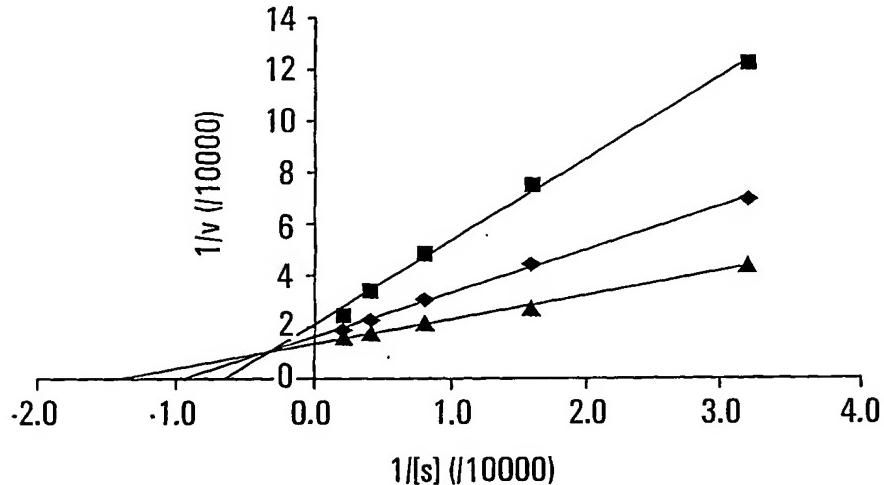


FIG. 23D

**Cholinesterase Activity**  
**N,N-dimethyl propylene diamine urea derivative of Phenothiazine**

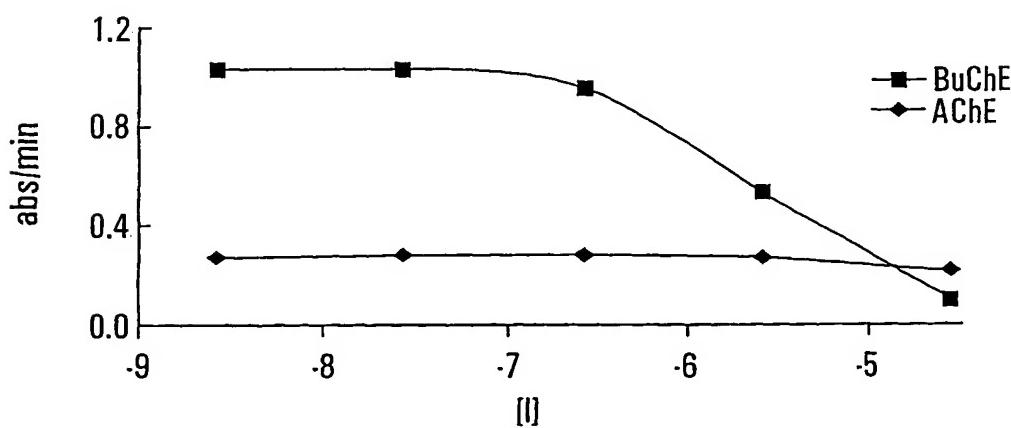


FIG. 24A

42/47

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + N,N-dimethyl propylene diamine urea derivative of Phenothiazine**

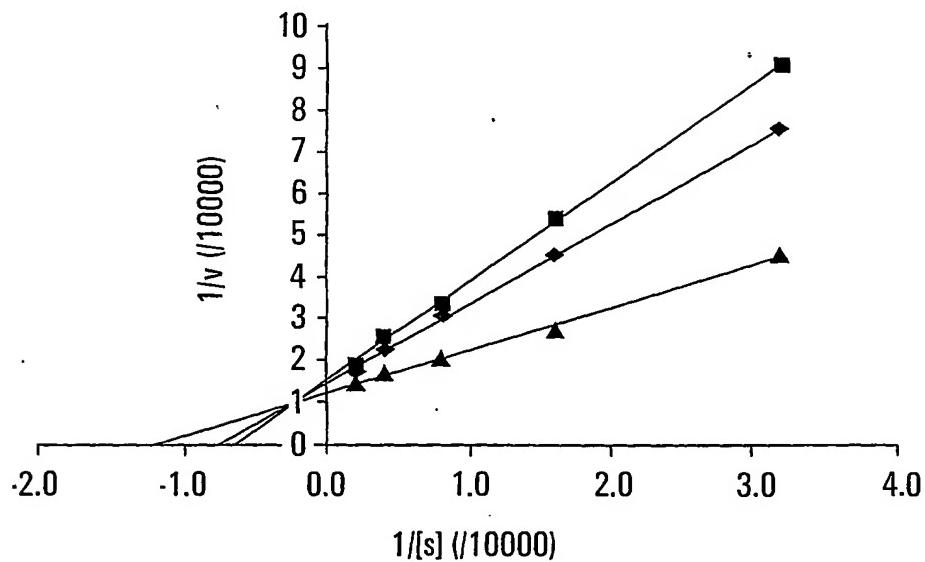


FIG. 24B

**K<sub>i</sub>**  
**BuChE + BuTCh + N,N-dimethyl propylene diamine urea derivative of Phenothiazine**

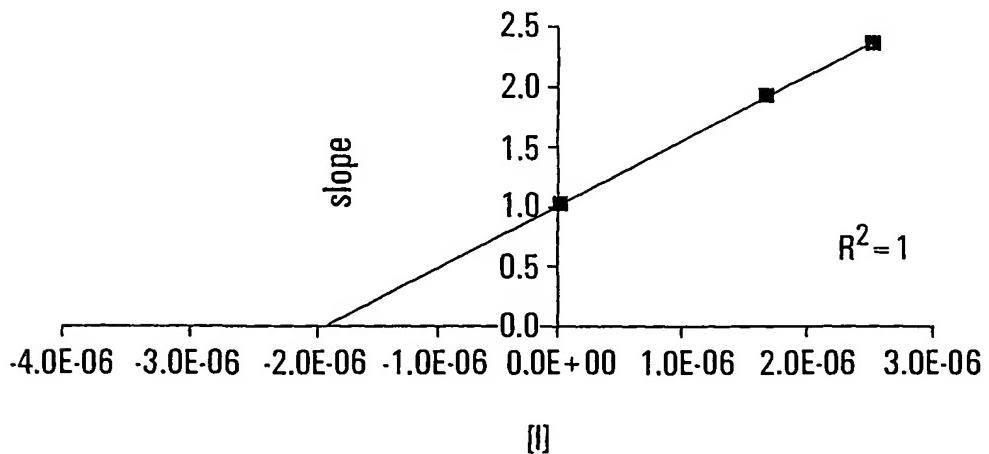


FIG. 24C

43/47

**K<sub>m</sub> & V<sub>max</sub>**  
**AChE + ATCh + N,N-dimethyl propylene diamine urea derivative of Phenothiazine**

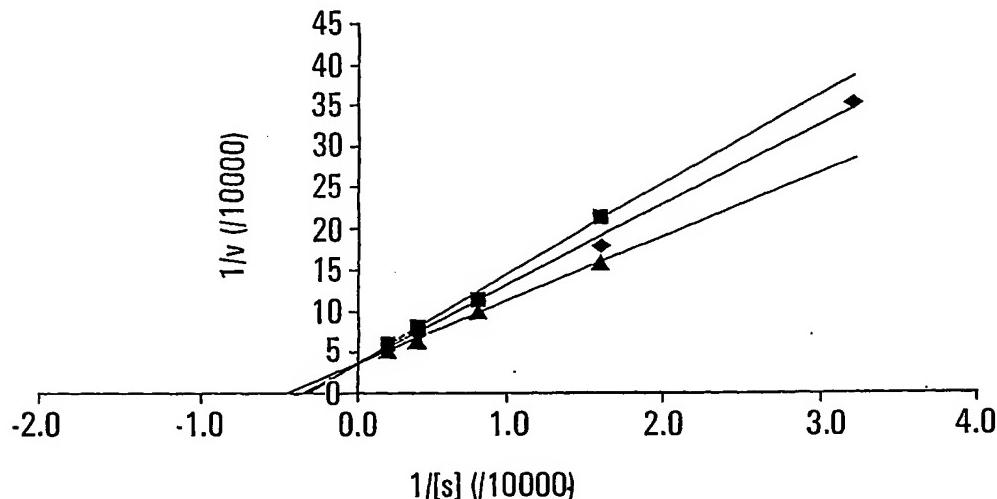


FIG. 24D

**K<sub>i</sub>**  
**AChE + ATCh + N,N-dimethyl propylene diamine urea derivative of Phenothiazine**

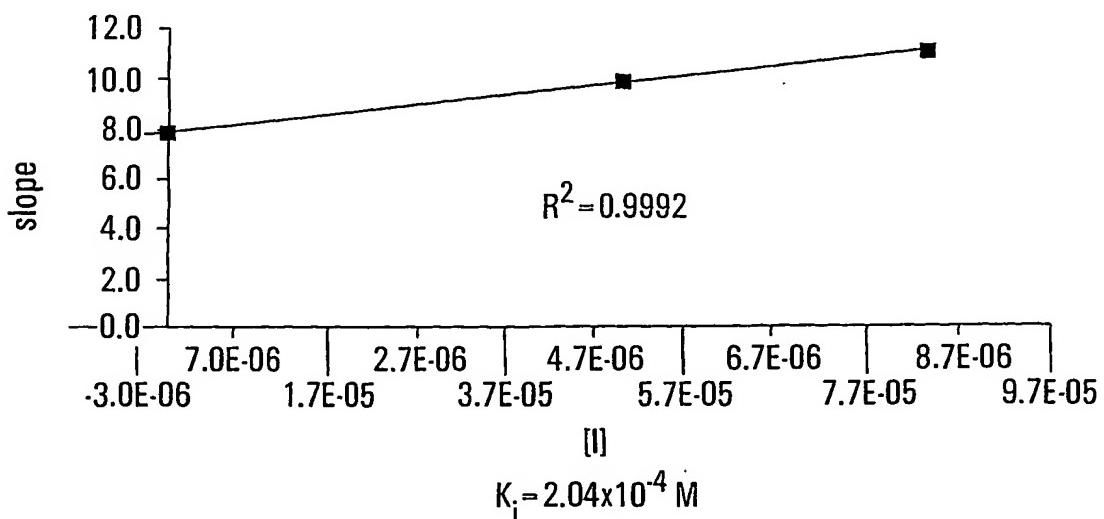


FIG. 24E

44/47

**Cholinesterase Activity**  
**N,N-diethyl propylene diamine derivative of Phenothiazine**

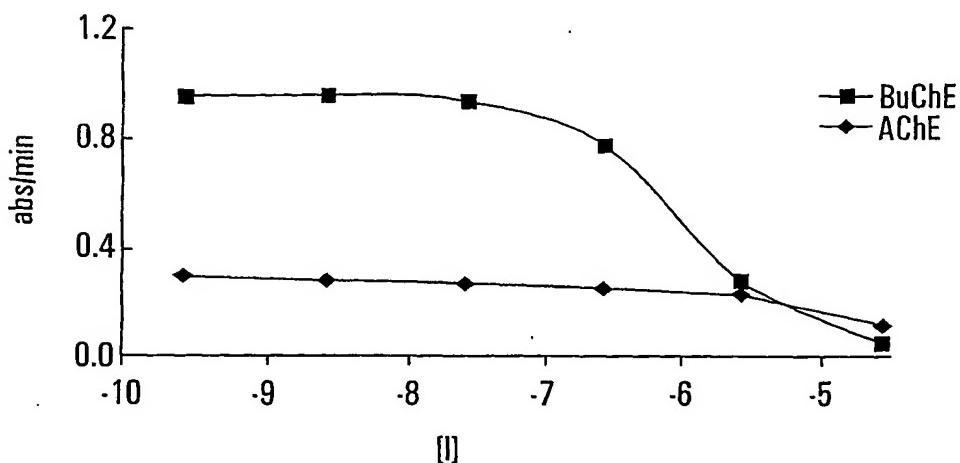


FIG. 25A

**K<sub>m</sub> & V<sub>max</sub>**  
**BuChE + BuTCh + N,N-diethyl propylene diamine urea derivative of Phenothiazine**

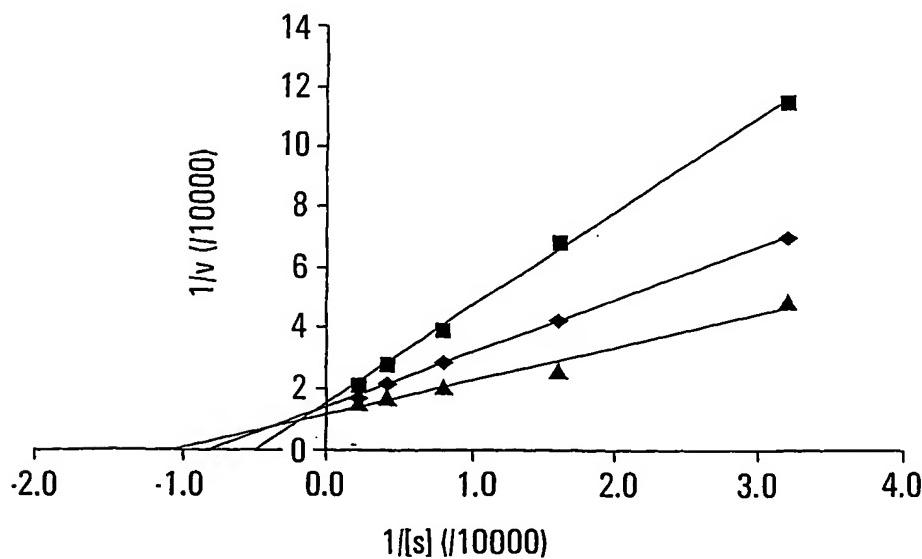


FIG. 25B

45/47

$K_i$   
 BuChE + BuTCh + N,N-diethyl propylene diamine urea derivative of Phenothiazine

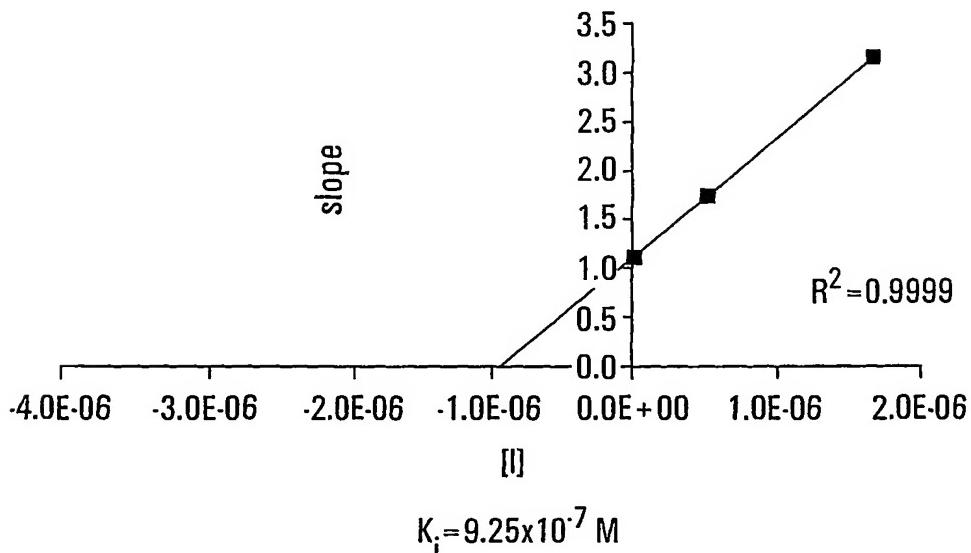


FIG. 25C

$K_m$  &  $V_{max}$   
 AChE + ATCh + N,N-diethyl propylene diamine urea derivative of Phenothiazine

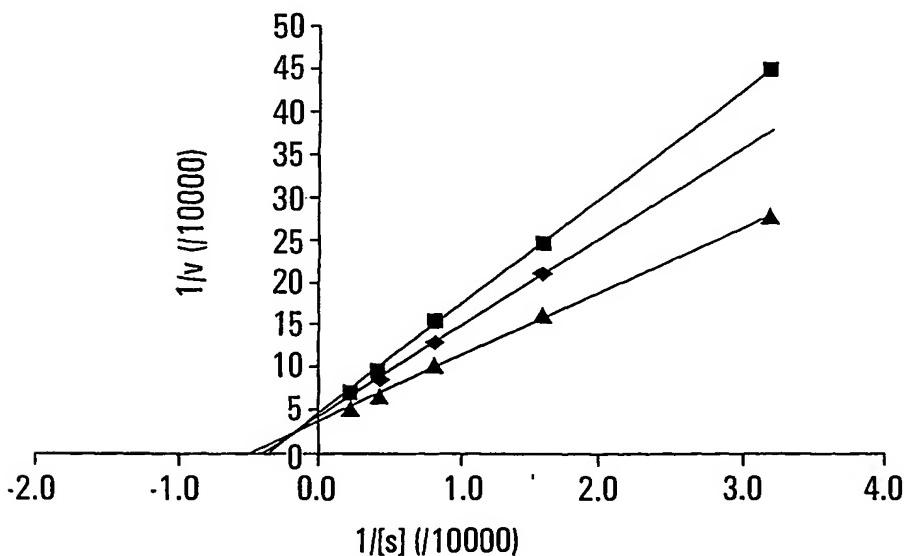


FIG. 25D

46/47

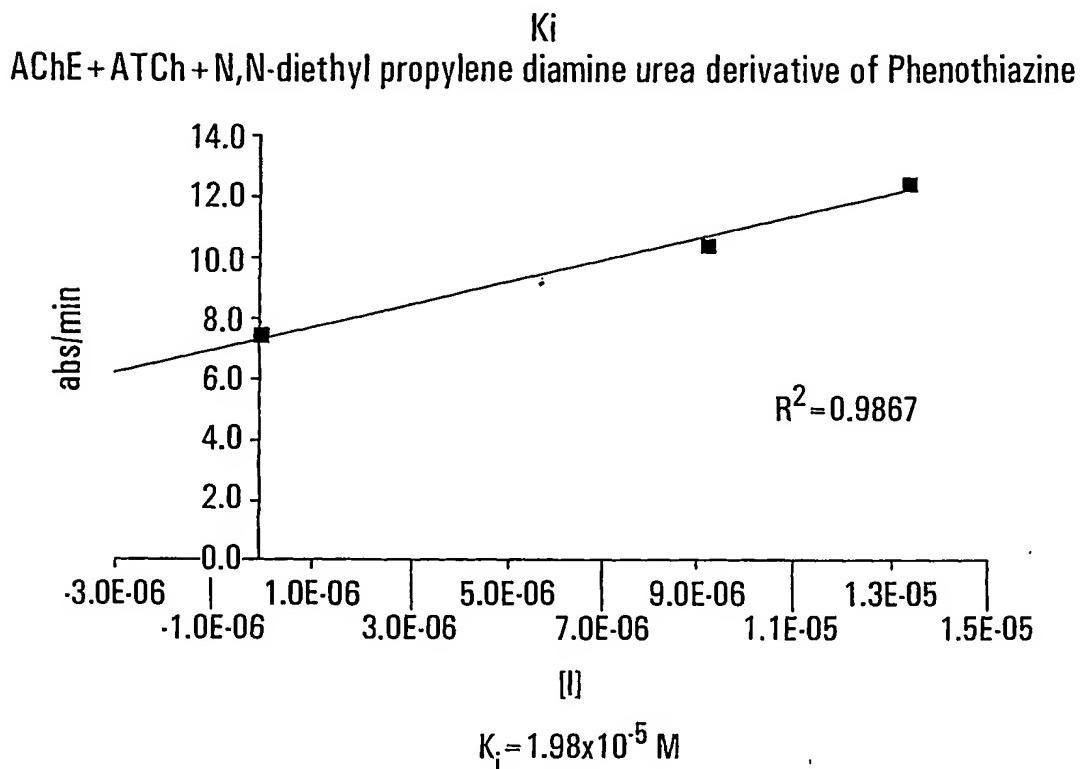


FIG. 25E

$K_m$  &  $V_{max}$   
AChE + ATCh + 1,3-propyl diamine urea derivative of PTZ

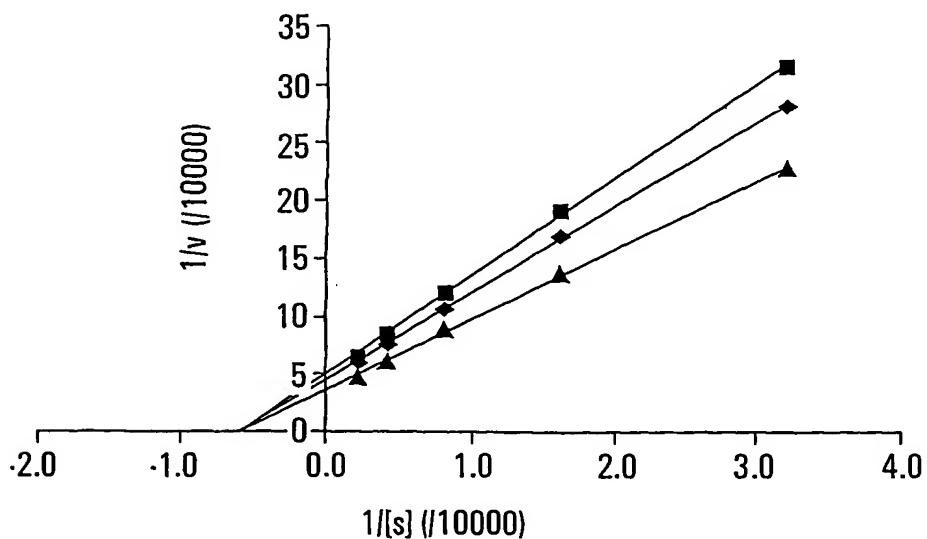


FIG. 26A

47/47

$K_i$   
AChE + ATCh + 1,3-propyl diamine urea derivative  
of PTZ

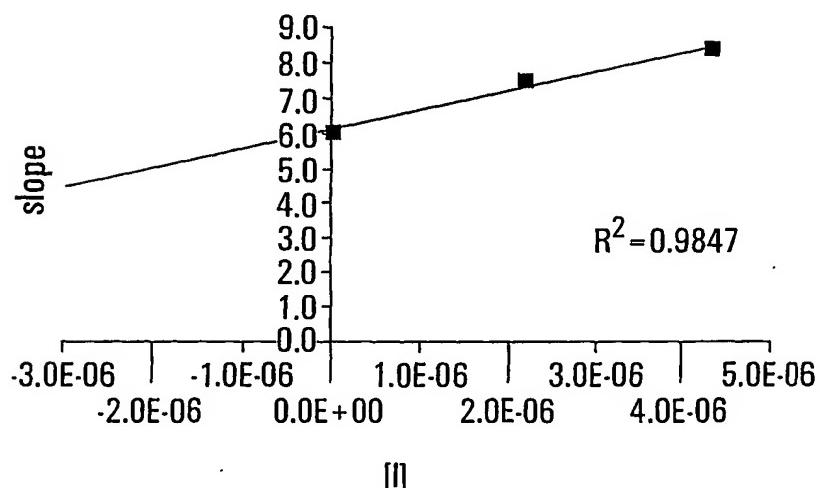


FIG. 26B

## INTERNATIONAL SEARCH REPORT

Intern	Application No
PCT/CA 01/00772	

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 C07D279/30 A61K31/5415 A61P25/28

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 C07D A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 05837 A (BAYER AG ;URBAHNS KLAUS (DE); HEINE HANS GEORG (DE); JUNGE BODO (D) 29 February 1996 (1996-02-29) the whole document ---	1-46
X	US 4 833 138 A (OLNEY JOHN W) 23 May 1989 (1989-05-23) the whole document ---	1-46
X	FR 2 303 542 A (FABRE SA PIERRE) 8 October 1976 (1976-10-08) the whole document ---	1-8, 15, 18 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

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- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the International search

28 August 2001

Date of mailing of the International search report

06/09/2001

Name and mailing address of the ISA

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Chouly, J

## INTERNATIONAL SEARCH REPORT

Inte	Application No
PCT/CA 01/00772	

## C/(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	CHEMICAL ABSTRACTS, vol. 96, no. 17, 26 April 1982 (1982-04-26) Columbus, Ohio, US; abstract no. 142872, SHOWA DENKO K.K.: "Antitumor phenothiazine derivatives" XP002175963 abstract & JP 81 166183 A 21 December 1981 (1981-12-21) ---	1-8, 18
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X	EP 0 138 481 A (MERCK FROSST CANADA INC) 24 April 1985 (1985-04-24) claims ---	1, 18
X	CH 653 675 A (CIBA GEIGY AG) 15 January 1986 (1986-01-15) the whole document ---	1, 18
A	FR 1 192 168 A (M. DELALANDE) 23 October 1959 (1959-10-23) the whole document ---	1, 18
A	GB 1 071 815 A (BOOTS PURE DRUG CO LTD) 14 June 1967 (1967-06-14) the whole document -----	1, 18

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Inte

Application No

PCT/CA 01/00772

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